

SECTION 4.3

REPORT SAMPLE 4.3.65: MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHANGHAI)

(1) CRMSD01 (2) B01234 HKSCC - CCASS (4) RUN DATE : 30JUN16
(3) ABC SECURITIES LTD MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHANGHAI) (5) RUN TIME : 19:30:22
PAGE : 1

(6) DATE : 30JUN16

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| (7) BUY TURNOVER IN SH SECURITIES | : CNY | 10,000,000.00 |
| (8) OVERDUE SHORT POSITION IN SH SECURITIES | : CNY | 200,000.00 |
| (9) SPSA SELL TURNOVER IN SH SECURITIES | : CNY | 8,000.00 |
| (10) SETTLEMENT DEPOSIT RATE (SH) (%) | : | 20.00 |
| (11) MINIMUM AMOUNT | : CNY | 1,000,000.00 |
| (12) MONTHLY MSTD REQUIREMENT PER LAST MONTHLY REVIEW | : CNY | 1,150,600.00 |
| (13) MSTD REQUIREMENT | : CNY | 2,041,600.00 |
| (14) MSTD ON HAND BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS | : CNY | 1,580,000.00 |
| (15) MSTD (SHORTFALL) / EXCESS BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS | : CNY | 461,600.00- |
| (16) MSTD ON HAND AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS | : CNY | 2,000,000.00 |
| (17) MSTD (SHORTFALL) / EXCESS AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS | : CNY | 41,600.00- |

REMARKS:

MSTD SHORTFALL AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS WILL BE COLLECTED VIA DAY-END DDI.
EXCESS MSTD WILL BE REFUNDED VIA DAY-END DCI WHEN IT IS A REFUND DAY DETERMINED BY HKSCC.

*** END OF REPORT ***