

## SECTION 4.3

## REPORT SAMPLE 4.3.68: MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHENZHEN)

(1) CRMSD04 (2) B01234 HKSCC - CCASS (4) RUN DATE : 30JUN16  
(3) ABC SECURITIES LTD MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHENZHEN) (5) RUN TIME : 19:30:22  
PAGE : 1

(6) DATE : 30JUN16

(7) BUY TURNOVER IN SZ SECURITIES	: CNY	20,000,000.00
(8) OVERDUE SHORT POSITION IN SZ SECURITIES	: CNY	400,000.00
(9) SPSA SELL TURNOVER IN SZ SECURITIES	: CNY	16,000.00
(10) SETTLEMENT DEPOSIT RATE (SZ) (%)	:	20.00
(11) MINIMUM AMOUNT	: CNY	1,000,000.00
(12) MONTHLY MSTD REQUIREMENT PER LAST MONTHLY REVIEW	: CNY	2,300,000.00
(13) MSTD REQUIREMENT	: CNY	4,083,200.00
(14) MSTD ON HAND BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	1,000,000.00
(15) MSTD (SHORTFALL) / EXCESS BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	3,083,200.00-
(16) MSTD ON HAND AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	2,000,000.00
(17) MSTD (SHORTFALL) / EXCESS AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	2,083,200.00-

## REMARKS:

MSTD SHORTFALL AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS WILL BE COLLECTED VIA DAY-END DDI.  
EXCESS MSTD WILL BE REFUNDED VIA DAY-END DCI WHEN IT IS A REFUND DAY DETERMINED BY HKSCC.

\*\*\* END OF REPORT \*\*\*