

REPORT SAMPLE – INTRA-DAY MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHENZHEN)

(1) CRMSD09 (2) B01234 HKSCC - CCASS (4) RUN DATE : 10AUG16
(3) ABC SECURITIES LTD INTRA-DAY MAINLAND SETTLEMENT DEPOSIT PAYABLE REPORT (SHENZHEN) (5) RUN TIME : 11:48:22
PAGE : 1

(6) DATE : 10AUG16

(7) BUY TURNOVER IN SZ SECURITIES	: CNY	50,000,000.00
(8) OVERDUE SHORT POSITION IN SZ SECURITIES	: CNY	200,000.00
(9) SPSA SELL TURNOVER IN SZ SECURITIES	: CNY	8,000.00
(10) SETTLEMENT DEPOSIT RATE (SZ) (%)	:	20.00
(11) MINIMUM AMOUNT	: CNY	1,000,000.00
(12) MONTHLY MSTD REQUIREMENT PER LAST MONTHLY REVIEW	: CNY	1,150,600.00
(13) MSTD REQUIREMENT	: CNY	10,041,600.00
(14) MSTD ON HAND BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	1,580,000.00
(15) INTRA-DAY MSTD SHORTFALL BEFORE OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	8,461,600.00
(16) MSTD ON HAND AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	2,000,000.00
(17) INTRA-DAY MSTD SHORTFALL AFTER OFFSETTING WITH EXCESS MSCD IN SH & SZ MARKETS	: CNY	8,041,600.00
(18) TOLERANCE LIMIT UTILIZED IN SZ MARKET	: CNY	0.00
(19) INTRA-DAY MSTD TO BE COLLECTED IN CASH	: CNY	8,041,600.00

REMARKS:

INTRA-DAY MAINLAND SETTLEMENT DEPOSIT (SHENZHEN) COLLECTED WILL BE INCLUDED AS PART OF MAINLAND SETTLEMENT DEPOSIT (SHENZHEN) BALANCE FOR CALCULATING OF MAINLAND SETTLEMENT DEPOSIT (SHENZHEN) REQUIREMENT AT DAY END.

*** END OF REPORT ***