

REPORT SAMPLE: A-SHARE SELLABLE BALANCE ADJUSTMENT AUDIT TRAIL REPORT – SHANGHAI MARKET

(1)CSEAS07 (2)B11668
 (3)GOODWILL & CO

HKSCC - CCASS
 A-SHARE SELLABLE BALANCE ADJUSTMENT AUDIT TRAIL REPORT
 (MARKET - SHANGHAI MARKET)

(4)RUN DATE : 27AUG15
 (5)RUN TIME : 21:45:15
 PAGE : 1

(6)CCASS DATE : 27AUG15

ADJUSTMENT MAINTENANCE ACTIVITY
 =====

(7) ACTION	(8) STOCK CODE	(9) INVESTOR ID	(10) STK AC NO	(11) REL SI IND	(12) REQUEST ADJUST QTY	(13) STATUS	(14) TIME	(15) UPDATE BY
ADD	90185 LOYAL LIGHT	000038		Y	10,000-	PENDING	19:10:29	B1166802
ADD	90185 LOYAL LIGHT	600035		Y	20,000-	PENDING	19:10:29	B1166802
ADD	90185 LOYAL LIGHT	200023		Y	10,000	PENDING	19:10:29	B1166802
ADD	90185 LOYAL LIGHT		1	Y	20,000	PENDING	19:10:29	B1166802
ADD	92924 EVER PLASTIC	000001			10,000-	PENDING	19:11:21	B1166801
ADD	92924 EVER PLASTIC		1		10,000	PENDING	19:11:21	B1166801
DELETE	92924 EVER PLASTIC	000001			10,000-	PENDING	19:12:01	B1166801
DELETE	92924 EVER PLASTIC		1		10,000	PENDING	19:12:01	B1166801
CHG BEF	90185 LOYAL LIGHT	000038		Y	10,000-	PENDING	19:18:50	B1166802
CHG BEF	90185 LOYAL LIGHT	600035		Y	20,000-	PENDING	19:18:50	B1166802
CHG BEF	90185 LOYAL LIGHT	200023		Y	10,000	PENDING	19:18:50	B1166802
CHG BEF	90185 LOYAL LIGHT		1	Y	20,000	PENDING	19:18:50	B1166802
CHG AFT	90185 LOYAL LIGHT	000038		Y	10,000-	PENDING	19:18:50	B1166802
CHG AFT	90185 LOYAL LIGHT	600035		Y	30,000-	PENDING	19:18:50	B1166802
CHG AFT	90185 LOYAL LIGHT	200023		Y	10,000	PENDING	19:18:50	B1166802
CHG AFT	90185 LOYAL LIGHT		1	Y	30,000	PENDING	19:18:50	B1166802
AUTH	90185 LOYAL LIGHT	000038		Y	10,000-	AUTHORISED	19:20:30	B1166811
AUTH	90185 LOYAL LIGHT	600035		Y	30,000-	AUTHORISED	19:20:30	B1166811
AUTH	90185 LOYAL LIGHT	200023		Y	10,000	AUTHORISED	19:20:30	B1166811
AUTH	90185 LOYAL LIGHT		1	Y	30,000	AUTHORISED	19:20:30	B1166811

(16)TOTAL NUMBER OF RECORDS ADDED (PER REQUEST) : 2
 (17)TOTAL NUMBER OF RECORDS CHANGED (PER REQUEST) : 1
 (18)TOTAL NUMBER OF RECORDS DELETED (PER REQUEST) : 1
 (19)TOTAL NUMBER OF RECORDS AUTHORISED (PER REQUEST) : 1
 (20)TOTAL NUMBER OF RECORDS CANCELLED (PER REQUEST) : 0

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ADJUSTMENT CONVERSION ACTIVITY
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(21)ORG STOCK CODE : 90185 LOYAL LIGHT				(22)NEW STOCK CODE :		
(23)	(24)	(25)		(26)	(27)	
INVESTOR ID	STK AC NO	ORIGINAL	ADJUST QTY	CONVERTED	ADJUST QTY	RECORD STS
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000038			10,000-		20,000-	AUTHORISED
600035			30,000-		60,000-	AUTHORISED
200023			10,000		20,000	AUTHORISED
	1		30,000		60,000	AUTHORISED

*** END OF REPORT ***