

REPORT SAMPLE – ADDITIONAL CASH COLLATERAL PAYABLE REPORT

(1)CRMAC01 (2)B08888
(3)ABC SECURITIES LIMITED

HKSCC - CCASS
ADDITIONAL CASH COLLATERAL PAYABLE REPORT

(4)RUN DATE : 18APR16
(5)RUN TIME : 20:08:01
PAGE : 1

(6)DATE : 18APR16

(7) TOTAL CROSS-DAY NET CNS POSITIONS	: HKD	15,000,000.00
(8) ADJUSTMENT IN SSC	: HKD	1,000,000.00
(9) ADJUSTMENT IN SCC	: HKD	0.00
(10)TOTAL CROSS-DAY NET CNS POSITIONS AFTER ADJUSTMENT	: HKD	14,000,000.00
(11)POSITION LIMIT	: HKD	4,000,000.00
(12)EXCESS AMOUNT	: HKD	10,000,000.00
(13)MARGIN RATE (%)	:	6.00
(14)APPLICABLE RATE (%)	:	25.00
(15)MINIMUM CASH COLLATERAL REQUIREMENT	: HKD	500,000.00
(16)ADDITIONAL CASH COLLATERAL REQUIREMENT	: HKD	750,000.00
(17)OTHER COLLATERAL	: HKD	20,000.00
(18)ADDITIONAL CASH COLLATERAL ON HAND (EXCLUDED OTHER COLLATERAL)	: HKD	300,000.00
(19)ADDITIONAL CASH COLLATERAL TO BE (COLLECTED) / REFUNDED*	: HKD	450,000.00-

* FOR -VE VALUE, AMOUNT TO BE COLLECTED VIA DAY-END DDI
FOR +VE VALUE, AMOUNT TO BE REFUNDED BY RELEASING SURPLUS AMOUNT AS GENERAL CASH COLLATERAL BEFORE DAY-END COLLATERALISATION

REMARKS:

1. SSC REFERS TO SPECIFIC STOCK COLLATERAL.
2. SCC REFERS TO SPECIFIC CASH COLLATERAL.
3. IF MARGIN REQUIREMENT (AFTER DEDUCTION OF MARGIN CREDIT) > 0:
ADDITIONAL CASH COLLATERAL REQUIREMENT = EXCESS AMOUNT X MARGIN RATE X APPLICABLE RATE (%)
4. IF MARGIN REQUIREMENT (AFTER DEDUCTION OF MARGIN CREDIT) <= 0:
ADDITIONAL CASH COLLATERAL REQUIREMENT = EXCESS AMOUNT X MARGIN RATE X (1 + APPLICABLE RATE (%))
5. OTHER COLLATERAL IS COLLATERAL ON HOLD FOR OTHER PURPOSE AND WILL NOT BE USED TO COVER ADDITIONAL CASH COLLATERAL REQUIREMENT.

*** END OF REPORT ***