

SECTION 4.3
REPORT SAMPLE 4.3.37F: PARTICIPANT SHAREHOLDING CATEGORY DISCLOSURE EXCEPTION REPORT - ON VOTING DEADLINE (CCNPT08)

Sample 1

(1)CCNPT08	(2)B00512	HKSCC - CCASS		(4)RUN DATE : 22DEC16
(3)Goodwill & Company	PARTICIPANT SHAREHOLDING CATEGORY DISCLOSURE EXCEPTION REPORT		(5)RUN TIME : 16:20:00	
		ON VOTING DEADLINE		PAGE : 1
		(MARKET - SHANGHAI MARKET)		
(6)DATE : 22DEC16				
(7) STOCK	: 90469 AEOLUS TYRE CO LTD	(8)ANNOUNCEMENT NO.	: A00212972	
(9) MEETING DATE	: 23 DEC 16	(10)VOTING END DATE / TIME	: 22 DEC 16 / 16:15	
(11)SHAREHOLDING DATE	: 16 DEC 16	(12)HOLDINGS AS OF SHAREHOLDING DATE	: 1,000,000	
(13)MEETING TYPE	: AGM	(14)THE TOTAL NUMBER OF A SHARES	: 500,000,000	
(15)SHARE PERCENTAGE	: 0.20	LISTED & TRADED ON THE SSE	: 500,000,000	

(16) NO. IN PROXY FORM	(17) VOTING PREFERENCE	(18) VOTED QUANTITY	(19) CATEGORY	(20) DISCLOSED QUANTITY	(21) CATEGORY A QUANTITY	(22) REASON CODE
1	FOR	600,000	B	700,000		1
			E	800,000		2
	AGAINST	400,000	C	600,000	600,000	1
			E	500,000	400,000	2
	ABSTAIN/WITHHOLD	0	B	100,000		6
2	FOR	700,000	B	700,000		3
			C	100,000		3
	AGAINST	300,000	B	400,000		3
			C	300,000		3
	ABSTAIN/WITHHOLD	0	B	900,000		1
3	FOR	800,000	B	1,000,000	800,000	2
			E	600,000		1
	AGAINST	200,000	C	500,000	200,000	2
			E	100,000		6
	ABSTAIN/WITHHOLD	0	B	1,000,000		1
4	FOR	900,000	B	1,000,000	900,000	2
			E	1,000,000		2
	AGAINST	100,000	C	600,000		1
			E	200,000	100,000	2
	ABSTAIN/WITHHOLD	0	B	100,000		6

REASON CODE	CANCELLATION DESCRIPTION
1	INVALID DISCLOSED QUANTITY, DISCLOSED QUANTITY > VOTED QUANTITY IN CORRESPONDING VOTING PREFERENCE
2	INVALID CATEGORY E, CATEGORY E QUANTITY > CATEGORY A QUANTITY IN CORRESPONDING VOTING PREFERENCE
3	INVALID CATEGORY B AND C; SUM OF CATEGORY B AND C QUANTITY > VOTED QUANTITY IN CORRESPONDING VOTING PREFERENCE
4	INVALID CATEGORY B, SHAREHOLDING ON RECORD DATE < 1 %
5	INVALID CATEGORY C, SHAREHOLDING ON RECORD DATE < 5 %
6	NO CORRESPONDING VALID VOTING INSTRUCTION FOUND

*The total number of A shares listed and traded on the SSE of the relevant SSE-listed company used for calculating the percentage of shareholding may not be equal to the actual total number of issued shares of that company.

*** END OF REPORT ***

**SECTION 4.3
REPORT SAMPLE 4.3.37F: PARTICIPANT SHAREHOLDING CATEGORY DISCLOSURE EXCEPTION REPORT - ON
VOTING DEADLINE (CCNPT08)**

Sample 2

(1)CCNPT08	(2)B00511	HKSCC - CCASS		(4)RUN DATE : 22DEC16
(3)Star Tech & Company	PARTICIPANT SHAREHOLDING CATEGORY DISCLOSURE EXCEPTION REPORT		(5)RUN TIME : 16:20:00	PAGE : 1
		ON VOTING DEADLINE		
		(MARKET - SHANGHAI MARKET)		
(6)DATE : 22DEC16				
(7) STOCK	: 90469 AEOLUS TYRE CO LTD	(8)ANNOUNCEMENT NO.	: A00212972	
(9) MEETING DATE	: 23 DEC 16	(10)VOTING END DATE / TIME	: 22 DEC 16 / 16:15	
(11)SHAREHOLDING DATE	: 16 DEC 16	(12)HOLDINGS AS OF SHAREHOLDING DATE	: 1,000,000	
(13)MEETING TYPE	: AGM	(14)THE TOTAL NUMBER OF A SHARES	: 500,000,000	
(15)SHARE PERCENTAGE	: 0.20	LISTED & TRADED ON THE SSE	: 500,000,000	

(16) NO. IN PROXY FORM	(17) VOTING PREFERENCE	(18) VOTED QUANTITY	(19) CATEGORY	(20) DISCLOSED QUANTITY	(21) CATEGORY A QUANTITY	(22) REASON CODE
1	FOR	600,000	B	300,000		4
			C	300,000		5
	AGAINST	300,000	B	150,000		4
			C	150,000		5
	ABSTAIN/WITHHOLD	100,000	B	50,000		4
			C	50,000		5
2	FOR	800,000	B	400,000		4
			C	400,000		5
	AGAINST	100,000	B	50,000		4
			C	50,000		5
	ABSTAIN/WITHHOLD	100,000	B	50,000		4
			C	50,000		5
3	FOR	700,000	B	400,000		4
			C	300,000		5
	AGAINST	200,000	B	100,000		4
			C	100,000		5
	ABSTAIN/WITHHOLD	100,000	B	50,000		4
			C	50,000		5

REASON CODE	CANCELLATION DESCRIPTION
1	INVALID DISCLOSED QUANTITY, DISCLOSED QUANTITY > VOTED QUANTITY IN CORRESPONDING VOTING PREFERENCE
2	INVALID CATEGORY E, CATEGORY E QUANTITY > CATEGORY A QUANTITY IN CORRESPONDING VOTING PREFERENCE
3	INVALID CATEGORY B AND C; SUM OF CATEGORY B AND C QUANTITY > VOTED QUANTITY IN CORRESPONDING VOTING PREFERENCE
4	INVALID CATEGORY B, SHAREHOLDING ON RECORD DATE < 1 %
5	INVALID CATEGORY C, SHAREHOLDING ON RECORD DATE < 5 %
6	NO CORRESPONDING VALID VOTING INSTRUCTION FOUND

*The total number of A shares listed and traded on the SSE of the relevant SSE-listed company used for calculating the percentage of shareholding may not be equal to the actual total number of issued shares of that company.

*** END OF REPORT ***