SSA BATCH INPUT CONTROL REPORT

Report ID : CCSSU01

Report Name : *SSA Batch Input Control Report*

Purpose : The *SSA Batch Input Control Report* shows the processing results on Participant's SSA Batch Upload Files. Any error(s) detected in the input record will be listed together with error message to facilitate the Participants to follow-up on the rejected records. When the whole SSA file is rejected, there will be an error message indicating the whole file is rejected.

Time available : Shortly after daily SSA batch upload file validation run at around 2:45 p.m.

Frequency : Daily

Section 4.3
Report Description
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This key refers to the *SSA Batch Input Control Report* sample 4.3.45.

Field Description:

X-Ref	Field Name	Description
(1)	CCSSU01	Report ID for *SSA Batch Input Control Report*
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	TRANSMISSION DATE	Date on which the SSA Batch file was sent to CCASS
(7)	PROCESSING TIME	Time at which CCASS processed the SSA Batch file
(8)	FILE INDICATOR	File Indicator
(9)	FILE REFERENCE	Internal file reference number maintained by the Participant
(10)	FILE NAME	Name of the file, i.e. SSA BATCH INPUT
(11)	(variable)	Section heading
(12)	REC TYPE	Record type : ➢ 0 – Control Header ➢ 1 – Detail Record ➢ 2 – Control Trailer
(13)	SSA NO	Account Number of the SSA
(14)	AC TYP	Account Type of the SSA: ➢ I – Individual ➢ J – Joint ➢ C – Corporate
(15)	LANGUAG	Selected Language for Statement/ SMS/ Email ➢ E – English ➢ C – Traditional Chinese ➢ S – Simplified Chinese
(16)	PASSWD	CCASS Phone Password of the SSA, this field will be shown in plain text if it has error; otherwise it is masked as ********
(17)	FULL NAME	Full Name of the SSA Statement Recipient(s)
(18)	SHORT NAME	Short Name of the SSA Statement Recipient(s)
(19)	CONTACT PHONE NO.	Contact Phone Number of the SSA
(20)	CLIENT AC NO.	Client Account Number of the SSA

X-Ref	Field Name	Description
(21)	DOMN	Domain of the SSA's correspondence address: ➢ HK – Hong Kong ➢ MA – Macau ➢ CH – Mainland China ➢ OT – Others
(22)	STMT	Statement Type subscribed for the SSA: ➢ A – Activity Statement only ➢ M – Monthly Statement only ➢ B – Both Activity and Monthly Statement ➢ N – None
(23)	AFF REQ	Affirmation Requirement indicator for STI: ➢ Y – Yes ➢ N – No
(24)	CORP COMM	Subscription indicator for Corporate Communication documents: ➢ Y – To receive corporate communications from listed issuers ➢ N – Not to receive corporate communications from listed issuers
(25)	ADDRESS	Correspondence address of the SSA
(26)	SMS ALERT (STK MVT,STI,VT)	Subscription indicator for SMS ALERT (STK MVT) – Stock Movement: ➢ I – Stock Movement – In ➢ O – Stock Movement – Out ➢ A – Both Stock Movement In and Out ➢ N – None Subscription indicator for SMS ALERT (STI) – STI Reminder: ➢ Y – Yes ➢ N – No Subscription indicator for SMS ALERT (VT) – Voting Notification: ➢ Y – Yes ➢ N – No
(27)	VOTING DLGT	Voting Delegation indicator: ➢ Y – Yes ➢ N – No
(28)	SMS PHONE & CARRIER	Mobile Phone Number for SMS Alert and the Mobile Carrier of the Mobile Phone Number being subscribed for SMS Alert: ➢ 3DB – 3 Dualband ➢ 1010 – 1010

X-Ref	Field Name	Description
(29)	EMAIL ALERT (STK MVT,STI,VT,ANCM)	Subscription indicator for EMAIL ALERT (STK MVT) – Stock Movement: ➢ I – Stock Movement – In ➢ O – Stock Movement – Out ➢ A – Both Stock Movement In and Out ➢ N – None Subscription indicator for EMAIL ALERT (STI) – STI Reminder: ➢ Y – Yes ➢ N – No Subscription indicator for EMAIL ALERT (VT) – Voting Notification: ➢ Y – Yes ➢ N – No Subscription indicator for EMAIL ALERT (ANCM) – Issuer Announcement Notification: ➢ Y – Yes ➢ N – No
(30)	EMAIL ADDRESS	Email Address for Email Alert
(31)	REMARKS1/REMARKS2	Additional information of the SSA: Remarks Line 1 and Remarks Line 2
(32)	ERROR MESSAGES	Error code and error messages for the SSA Input
(33)	TOTAL NUMBER OF RECORDS PROCESSED	Total number of record processed
(34)	TOTAL NUMBER OF RECORDS ACCEPTED	Total number of record accepted
(35)	TOTAL NUMBER OF RECORDS REJECTED	Total number of record rejected
(36)	PARTICIPANT ID	Participant ID
(37)	NO OF DETAIL RECORD	Number of detail record
(38)	INVALID RECORD	Message for the invalid record