

STI BATCH INPUT CONTROL REPORT

- Report ID** : CSEAT01
- Report Name** : *STI Batch Input Control Report*
- Purpose** : The *STI Batch Input Control Report* shows the processing results on Participants' uploaded STI records. Any error(s) detected in the input record will be listed together with error message to facilitate Participants to follow-up on the rejected records. When the whole STI file is rejected, there will be an error message indicating the whole file is rejected.
- Currency exchange rate is not used to convert HKD equivalent transaction Amount.
- Time available** : Shortly after completion of each STI batch file validation run scheduled at around 7:30 a.m., 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 7:30 p.m. and 8:00 p.m.
- Frequency** : Ten times daily, if applicable

This key refers to the *STI Batch Input Control Report* sample 4.3.46.

Field Description:

X-Ref	Field Name	Description
(1)	CSEAT01	Report ID for <i>STI Batch Input Control Report</i>
(2)	B00008	Participant ID
(3)	TESTER FULLNAME B00008	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	TRANSMISSION DATE	Date on which the SI Batch file was sent to CCASS
(7)	PROCESSING TIME	Time at which CCASS processed the SI Batch file
(8)	FILE INDICATOR	File Indicator
(9)	FILE REFERENCE	Internal file reference number maintained by CCASS
(10)	FILE NAME	Name of the file, i.e. SSA BATCH INPUT
(11)	(variable)	Section heading
(12)	REC TYPE	Record type : <ul style="list-style-type: none"> ➤ 0 – Control Header ➤ 1 – Detail Record ➤ 2 – Control Trailer
(13)	STOCK CODE	Stock Code
(14)	ISIN	ISIN Code
(15)	FROM A/C	From Stock Account
(16)	TO A/C	To Stock Account
(17)	TRANSFER QUANTITY	Number of shares
(18)	PMT INS	Payment Instruction: <ul style="list-style-type: none"> ➤ D – DVP ➤ F – FOP
(19)	MONEY VALUE	Money amount of the STI
(20)	REMARKS	Additional information on the STI
(21)	CONTROL TOTAL	Control total : sum of stock code, quantity and money value of shares
(22)	ERROR MESSAGES	Error code and error messages for the SSA Input
(23)	TOTAL NO OF RECORDS	Total number of STIs records (processed, accepted, rejected)

X-Ref	Field Name	Description
(24)	TOTAL QUANTITY	Total number of shares of STIs (processed, accepted, rejected)
(25)	TOTAL MONEY VALUE	Total amount of money of STIs (processed, accepted, rejected)
(26)	TOTAL NO OF FILES UPLOADED	Total number of files uploaded
(27)	PARTICIPANT ID	Participant ID
(28)	NO OF DETAIL RECORD	No. of detail record in the file
(29)	STOCK CODE SUM	Sum of all stock code from every detail record
(30)	TRANSFER QUANTITY SUM	Sum of all transfer quantity from every detail record
(31)	STOCK AMT SUM	Sum of all money value from every detail record
(32)	CONTROL TOTAL SUM	Sum of all record sum
(33)	INVALID RECORD	Message for the invalid record