

## INTER-COUNTER TRANSFER/CONVERSION INSTRUCTION ACTIVITIES REPORT (CCVTF01)

**Report ID** : CCVTF01

**Report Name** : *Inter-counter Transfer/Conversion Instruction Activities Report*

**Purpose** : The *Inter-counter Transfer/Conversion Instruction Activities Report* shows the details of the Multi-counter Transfer Instructions and Parallel Trading Conversion Instructions performed during the day (including input of Multi-counter Transfer Instructions/Parallel Trading Conversion Instructions by Participants, cancellation of Multi-counter Transfer Instructions/Parallel Trading Conversion Instructions by Participants or the system, Multi-counter Transfer Instructions/Parallel Trading Conversion Instructions successfully processed in Inter-counter Transfer/Conversion Instruction Batch Transfer Run by the system and file upload of Multi-counter Transfer Instructions/Parallel Trading Conversion Instructions by Participants).

**Time available** : On each business day from the time when the report download function is available

**Frequency** : Daily

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This key refers to the sample of Inter-counter Transfer/Conversion Instruction Activities Report 4.3.56.

**Field Description :**

<b>X-Ref</b>	<b>Field Name</b>	<b>Description</b>
(1)	CCVTF01	Report ID for <i>Inter-counter Transfer/Conversion Instruction Activities Report</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date to which the report details refer
(7)	ACTION	The following actions can be done to Multi-counter Transfer Instructions/Parallel Trading Conversion Instructions: INPUT – instruction inputted by Participant CANCEL – instruction cancelled by Participant CNL (SYS) – instruction cancelled by the system after the Inter-counter Transfer/Conversion Instruction Batch Transfer Run TRF (SYS) – instruction transferred by the system after the Inter-counter Transfer/Conversion Instruction Batch Transfer Run UPLOAD – Multi-counter Transfer Instruction / Parallel Trading Conversion Instruction uploaded by Participant
(8)	INSTR NO	Unique instruction number generated by the system for Multi-counter Transfer Instruction and Parallel Trading Conversion Instruction
(9)	STATUS	TRANSFERRED - for instruction effected immediately when there is sufficient number of shares in the "from account" upon the instruction submission via online maintenance function PENDING TRF - for instruction inputted via online maintenance function but shares not yet available for transfer. The instruction will be processed in the Inter-counter Transfer / Conversion Instruction Batch Transfer Run or can be cancelled by the Participant before the run CANCELLED - for instruction with PENDING TRF / PEND TRF (SYS) status cancelled by Participants via the cancel function PEND TRF(SYS) - for valid Multi-counter Transfer Instruction / Parallel Trading Conversion Instruction uploaded via FileTransfer function . The instruction will be processed in the next Inter-counter Transfer / Conversion Instruction Batch Transfer Run TRF(SYS) - for "PENDING TRF / PEND TRF(SYS) instructions effected when there is sufficient number of shares in the delivering account during an Inter-counter Transfer / Conversion Instruction Batch Transfer Run CNL(SYS) - for instruction cancelled by the system after the specified Inter-counter Transfer / Conversion Instruction Batch Transfer Run

X-Ref	Field Name	Description
(10)	FM/TO AC	Stock account from / to which the inter-counter transfer of shares to be delivered / be transferred
(11)	TRANSFER QUANTITY	Number of shares to be transferred
(12)	REMARKS	Remarks inputted by the Participants
(13)	TRF FEE	Transfer Fee for each Multi-counter Transfer Instruction (Transfer Fee is not applicable to Parallel Trading Conversion Instruction)
(14)	USER	USER ID of the person who performed the instruction, "CCASS" will be shown if the instruction is processed in Inter-counter Transfer/Conversion Instruction Batch Transfer Run
(15)	TIME	Time when the action performed
(16)	FROM STOCK / ISIN	The stock code /ISIN of the "from" counter of the inter-counter transfer of shares
(17)	TO STOCK / ISIN	The stock code /ISIN of the "to" counter of the inter-counter transfer of shares
(18)	TOTAL TRANSFERRED QUANTITY	The total number of shares successfully transferred between a "from" counter and a "to" counter in relation to Multi-counter Transfer Instruction / Parallel Trading Conversion Instruction
(19)	TOTAL TRANSFER FEE (HKD)	The total transfer fee calculated for all Multi-counter Transfer Instructions effected (Transfer Fee is not applicable to Parallel Trading Conversion Instructions)
<b>Summary Total of Multi-counter Transfer Instruction</b>		
(20)	TOTAL NO. OF RECORDS INPUT	The total number of Multi-counter Transfer Instructions inputted by Participant via input function on the report generation date
(21)	TOTAL NO. OF RECORDS TRANSFERRED(ONLINE)	The total number of Multi-counter Transfer Instructions transferred online on the report generation date
(22)	TOTAL NO. OF RECORDS TRANSFERRED(SYSTEM)	The total number of Multi-counter Transfer Instructions transferred in the Inter-counter Transfer/Conversion Instruction Batch Transfer Run by the system on the report generation date
(23)	TOTAL NO. OF RECORDS CANCELLED(ONLINE)	The total number of Multi-counter Transfer Instructions cancelled by Participant via cancel function on the report generation date
(24)	TOTAL NO. OF RECORDS CANCELLED(SYSTEM)	The total number of Multi-counter Transfer Instructions cancelled in the Inter-counter Transfer/Conversion Instruction Batch Transfer Run by the system on the report generation date
(25)	TOTAL TRANSFER FEE (HKD)	The total transfer fee charged on the report generation date
(26)	TOTAL NO. OF RECORDS UPLOAD	The total number of Multi-counter Transfer Instructions uploaded to CCASS via file transfer function
<b>Summary Total of Parallel Trading Conversion Instruction</b>		
(27)	TOTAL NO. OF RECORDS INPUT	The total number of Parallel Trading Conversion Instructions inputted by Participant via input function on the report generation date
(28)	TOTAL NO. OF RECORDS TRANSFERRED(ONLINE)	The total number of Parallel Trading Conversion Instructions transferred online on the report generation date
(29)	TOTAL NO. OF RECORDS TRANSFERRED(SYSTEM)	The total number of Parallel Trading Conversion Instructions transferred in the Inter-counter Transfer/Conversion Instruction Batch Transfer Run by the system on the report generation date
(30)	TOTAL NO. OF RECORDS	The total number of Parallel Trading Conversion Instructions

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<b>X-Ref</b>	<b>Field Name</b>	<b>Description</b>
	CANCELLED(ONLINE)	cancelled by Participant via cancel function on the report generation date
(31)	TOTAL NO. OF RECORDS CANCELLED(SYSTEM)	The total number of Parallel Trading Conversion Instructions cancelled in the Inter-counter Transfer/Conversion Instruction Batch Transfer Run by the system on the report generation date
(32)	TOTAL NO. OF RECORDS UPLOAD	The total number of Parallel Trading Conversion Instructions uploaded to CCASS via file transfer function