

MULIT-COUNTER TRANSFER INSTRUCTION BATCH INPUT CONTROL REPORT (CCVTF03)

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| Report ID | : | CCVTF03 |
| Report Name | : | <i>Multi-counter Transfer Instruction Batch Input Control Report</i> |
| Purpose | : | <i>The Multi-counter Transfer Instruction Batch Input Control Report shows the processing results on participants' uploaded Multi-counter Transfer Instruction records. Any error detected in the input record will be listed together with error messages to facilitate participants to follow-up on the rejected records. When the whole Multi-counter Transfer Instruction file is rejected, there will be an error message indicating the whole file is rejected.</i> |
| Time available | : | Shortly after completion of each batch validation run scheduled at around 09:15 a.m., 10:15 a.m., 12:30 p.m. and 2:30 p.m. |
| Frequency | : | After each batch validation |

Section 4.3
Report Description

This key refers to the sample of Multi-counter Transfer Instruction Batch Input Control Report 4.3.58.

Field Description :

| X-Ref | Field Name | Description |
|--------------|--------------------------------|---|
| (1) | CCVTF03 | Report ID for <i>Multi-counter Transfer Instruction Batch Input Control Report</i> |
| (2) | B03456 | Participant ID |
| (3) | CCASS COMPANY | Participant full name |
| (4) | RUN DATE | Date of running the report |
| (5) | RUN TIME | Time of running the report |
| (6) | DATE | Date to which the report details refer |
| (7) | TRANSMISSION DATE | Date on which the Multi-counter Transfer Instruction batch file was sent to CCASS |
| (8) | PROCESSING TIME | Time at which CCASS processed the Multi-counter Transfer Instruction batch file |
| (9) | FILE REFERENCE | Internal file reference number maintained by CCASS |
| (10) | RECORD TYPE | Record type :0 = Control header; 1 = Detail record; 2 = Control trailer; |
| (11) | PARTICIPANT ID | The ID of the Participant |
| (12) | FILE NAME | The file name of the file uploaded to CCASS by Participant |
| (13) | ERROR MESSAGES | Error code and error message for the Multi-counter Transfer Instruction input |
| (14) | REC TYP | Record type :0 = Control header; 1 = Detail record; 2 = Control trailer; |
| (15) | FROM STOCK CODE/ FROM ISIN | It is the stock code of the stock being transferred from (first row) It is the International Securities Identification Number of the stock being transferred from (second row) |
| (16) | FROM A/C | It is the account number of the stock being transferred from |
| (17) | TO STOCK CODE/ TO ISIN | It is the stock code of the stock being transferred to (first row) It is the International Securities Identification Number of the stock being transferred to (second row) |
| (18) | TO A/C | It is the account number of the stock being transferred to |
| (19) | TRANSFER QTY | The quantify of stock being transferred |
| (20) | REMARKS | Additional information of the Multi-counter Transfer Instruction batch file upload |
| (21) | CONTROL TOTAL | Sum of all numeric items of the record |
| (22) | TOTAL NO. OF DETAIL RECORDS | Total numbers of detail records |
| (23) | FROM STOCK CODE SUM | Sum of stock code transferred from original counter |
| (24) | TO STOCK CODE SUM | Sum of stock code transferred to new counter |
| (25) | TRANSFER QTY SUM | Sum of total quantity transferred |
| (26) | CONTROL TOTAL SUM | Sum of control total |
| (27) | INVALID RECORD | The file contains a record with an invalid record type, i.e. record type other than 0, 1 and 2 |