

MONTHLY PORTFOLIO FEE COLLECTION REPORT OF CHINA CONNECT MARKETS

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| Report ID | : | CCDPC02 |
| Report Name | : | <i>Monthly Portfolio Fee Collection Report of China Connect Markets</i> |
| Purpose | : | The Monthly Portfolio Fee Collection Report of China Connect Markets lists (i) the total portfolio values of Shanghai market stocks and Shenzhen market stocks in different accounts (clearing and other segregated accounts) subject to portfolio fee; and (ii) aggregate amounts of portfolio fee of the Participant on each day of the month in both Markets. |
| Time available | : | On the first working day of each month from the time when the report download function is available |
| Frequency | : | Monthly |

Section 4.3

Report Description

Field Description:

| X-Ref | Field Name | Description |
|-------|---------------------------------------|---|
| (1) | CCDPC02 | Report ID for <i>Monthly Portfolio Fee Collection Report of China Connect Markets</i> |
| (2) | B11668 | Participant ID |
| (3) | GOODWILL & COMPANY | Participant full name |
| (4) | RUN DATE | Date of running the report |
| (5) | RUN TIME | Time of running the report |
| (6) | DATE | Date of extracting the report data |
| (7) | VALUE DATE | Date when the portfolio value of the Participant is subject to portfolio fee |
| (8) | MAMK PORTFOLIO VALUE | Summation of total value of Shanghai market stocks subject to portfolio fee in each account held by the Participant Portfolio value calculated in this report may not be the same as Daily Stock Balance Report (CSESB01). Only values of stocks subject to portfolio fee are included in this report. |
| (9) | SZMK PORTFOLIO VALUE | Summation of total value of Shenzhen market stocks subject to portfolio fee in each account held by the Participant Portfolio value calculated in this report may not be the same as Daily Stock Balance Report (CSESB01). Only values of stocks subject to portfolio fee are included in this report. |
| (10) | MAMK + SZMK PORTFOLIO VALUE | Sum of (8) MAMK portfolio value and (9) SZMK portfolio Value |
| (11) | HIGHEST TIER LEVEL | Level of regressive tier applicable to the Participant's portfolio value when calculating portfolio fee on each day |
| (12) | PORTFOLIO FEE | Portfolio fee charged to the Participant on each day |
| (13) | TOTAL | Summation of the total portfolio fee of the Participant on each day of the month |
| (14) | TIER STRUCTURE AND RATE FOR REFERENCE | Fee rate of the portfolio fee |