

## Participant Shareholding Category Disclosure Activity Report

<b>Report ID</b>	:	CCNPT07
<b>Report Name</b>	:	<i>Participant Shareholding Category Disclosure Activity Report</i>
<b>Market Specific:</b>		For MAMK and SZMK only
<b>Purpose</b>	:	<p>The Participant Shareholding Category Disclosure Activity Report contains the Shareholding Category Disclosure maintenance activities (including multiple updates) of the day, which is also for the purpose of audit trail.</p> <p>This report lists all Shareholding Category Disclosure maintained by CCASS Participants, including ADD, CHANGE, DELETE, AUTHORISE, during voting period of the corresponding voting announcement.</p> <p>Separate reports will be generated to show the Shareholding Category Disclosure maintenance activities for Shanghai market (MAMK) and Shenzhen market (SZMK).</p>
<b>Time available</b>	:	Day End
<b>Frequency</b>	:	Daily

## Section 4.3

### Report Description

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This key refers to the *Participant Shareholding Category Disclosure Activity Report 4.3.37e*.

#### Field Description:

X-Ref	Field Name	Description
(1)	CCNPT07	Report ID for <i>Participant Shareholding Category Disclosure Activity Report</i>
(2)	B00512	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date of activity
(7)	ACT TYPE	Type of disclosure activity including ADD, CHANGE, DELETE AND AUTHORISE
(8)	ANCM NO.	Announcement No. of the corresponding voting announcement
(9)	NO. IN PROXY FORM	Reference number displayed in the proxy form for each resolution
(10)	STOCK CODE/NAME	Stock code and stock name of the corresponding voting announcement
(11)	VOTING PREFERENCE	Choice of voting in shareholder meeting
(12)	CATEGORY B	represent Shareholding Disclosure under Category B (1% <= B < 5%)
(13)	CATEGORY C	represent Shareholding Disclosure under Category C (>= 5%)
(14)	CATEGORY E	represent Shareholding Disclosure under Category E (<1% and Market value ≥ RMB500,000)
(15)	STS	Status of disclosure activity (P = pending, A=Authorised)
(16)	USER	User ID
(17)	TERL	Terminal ID from which activity of disclosure is performed
(18)	TIME	Time of activity
(19)	FOR	Disclosure quantity of voting "FOR" on the resolution
(20)	AGAINST	Disclosure quantity of voting "AGAINST" on the resolution
(21)	ABSTAIN/WITHHOLD	Disclosure quantity of voting "ABSTAIN/WITHHOLD" on the resolution
(22)	TOTAL NO. OF RECORDS ADDED	The no of disclosure activity for ADD function
(23)	TOTAL NO. OF RECORDS CHANGED	The no of disclosure activity for CHANGE function
(24)	TOTAL NO. OF RECORDS DELETED	The no of disclosure activity for DELETED function
(25)	TOTAL NO. OF RECORDS AUTHORISED	The no of disclosure activity for AUTHORISED function