

HANDLING FEE COLLECTION REPORT FOR THE EARLY RELEASE OF SECURITIES-ON-HOLD FOR CHINA CONNECT MARKETS

Report ID	:	CSECC03
Report Name	:	<i>Handling Fee Collection Report for the Early Release of Securities-on-hold for China Connect Markets</i>
Purpose	:	<p>The <i>Handling Fee Collection Report for the Early Release of Securities-on-hold for China Connect Markets</i> lists the calculation of Handling Fee based on the utilized collateral value for the early release instruction on the current business day. Such fee covers from the day of early release application until the next money settlement of China Connect Markets.</p> <p>If the expected next money settlement day of China Connect Markets is deferred due to whole-day typhoon, HKSCC will debit the fee applicable on whole-day typhoon on a pro-rata basis on the next business day, and which will not be reflected on this report.</p>
Time available	:	On each business day from the time when the report download function is available
Frequency	:	Daily

Section 4.3

Report Description

Field Description:

X-Ref	Field Name	Description
(1)	CSECC03	Report ID for <i>Handling Fee Collection Report for the Early Release of Securities-on-hold for China Connect Markets</i>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	CCASS DATE	Date of relevant early release application
(7)	FEE RATE	Handling Fee rate per annum
(8)	HKD / CNY EXCHANGE RATE	Exchange rate of CNY to HKD to calculate (13)
(9)	NO. OF DAYS	Number of days from the day of early release application to next China Connect money settlement day
(10)	NET CNS AMT MINUS CASH PREPMT (CNY)	Difference between CNS payable amount and CNY cash prepayment
(11)	CNY EQV OF EARLY RLSE INSTR	CNY equivalent value of the USD / HKD early release application
(12)	CNY UTILISED COLLATERAL VALUE	The lower of (10) and (11)
(13)	HKD EQV UTILISED COLLATERAL VALUE	HKD equivalent value of (12)
(14)	FEE (HKD)	$(13) \times (7) / 360 \times (9)$