WITHDRAWAL ORDERS AUDIT TRAIL REPORT

Report ID : CCVWD04

Report Name : *Withdrawal Orders Audit Trail Report*

Purpose : The *Withdrawal Orders Audit Trail Report* lists the daily withdrawal activities transacted by the participant which include adding, changing, deleting, authorising, and cancelling of withdrawal orders. In addition, withdrawal orders which have been purged by the system are listed. The report also reflects the details related to the withdrawal orders.

The different types of withdrawal order activities are:

Added - new withdrawal orders added
Changed - change to the details of an existing pending withdrawal order
Deleted - deletion of an existing pending withdrawal order
Authorised - authorisation of an existing pending withdrawal order
Cancelled - cancellation of a 'withhold' odd lot withdrawal order or a withdrawal of bearer bonds denominated in global form

The before and after image of withdrawal order details which have been 'changed' are reflected in the ACTION TYPE field of the report and indicated in brackets as '(OLD)' and '(NEW)'.

Time Available : Starting from 9:00 a.m. on each business day.

Frequency : Daily

This key refers to the *Withdrawal Orders Audit Trail Report* sample 4.3.34.

Field Description :

X-Ref	Field Name	Description
(1)	CCVWD04	Report ID for *Withdrawal Orders Audit Trail Report*
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date the report is printed
(5)	RUN TIME	Time the report is printed
(6)	DATE	Date on which the withdrawals are transacted
(7)	LOG WTDL ORDER NO	Login Withdrawal Order Number assigned to the withdrawal order
(8)	ACTION TYPE	Action type shows the action performed to the withdrawal order. For withdrawal order details which have been 'changed', both the old and new images are reflected, and identified as '(OLD)' and '(NEW)'
(9)	STOCK / ISIN	Stock code, stock name and ISIN
(10)	STK A/C	Stock account
(11)	NO OF SHARES	Number of shares transacted for the withdrawal order
(12)	ODD/BOARD	Indicates the withdrawal order as either an odd lot or board lot withdrawal order
(13)	S/C	Indicates whether the withdrawn shares are resulted from settlement ('S') or custody ('C') purposes. This field is blank in the withdrawal of bearer bonds
(14)	TRANSACTION CLASS	Displays the type of withdrawal transaction class and its narrative: NW - normal withdrawal DW - withdrawal of delisted stock IW - withdrawal of non-eligible stock (a stock not admitted into CCASS for settlement) EW - normal withdrawal (scrip fee exempted). e.g. PAL withdrawal PW - withdrawal for parallel trading CW - withdrawal of unit trust
(15)	REMARKS	Remarks for the withdrawal order
(16)	ACTION BY	Indicates the operator's user ID, the terminal ID and the time of the withdrawal transaction
(17)	T/D	Number of Transfer Deeds required for the corresponding DENOMINATION. This field is not printed in the withdrawal of bearer bonds
(18)	DENOMINATION	Denomination requested for the corresponding T/D. This field is not printed in the withdrawal of bearer bonds
(19)	TOTAL NO. OF RECORDS	For each type of withdrawal activity, indicates the number of transaction records added, changed, deleted, authorised, cancelled, and purged
(20)	O/S NO OF CPNS	Outstanding number of coupons attached to the certificate of the bearer bond being withdrawn is printed for withdrawal orders once at the 'Accepted' status
(21)	MARKET	Market Short Name assigned by HKSCC