

## YELLOW FORM SHARE ALLOTMENT REPORT

<b>Report ID</b>	:	CEPSA01
<b>Report Name</b>	:	<i>Yellow Form Share Allotment Report</i>
<b>Purpose</b>	:	The <i>Yellow Form Share Allotment Report</i> lists the share allotment result information of participant's yellow form applications. This report has two sections: the first part will show the share allotment result details of the yellow form applications, and the second part will provide a discrepancy notification for participant to advise HKSCC in case of any discrepancies in the allotment result.
<b>Time available</b>	:	On the allotment result announcement day from the time when the report download function is available.  *** In case of contingency (e.g. file containing the yellow form allotment results is not available to HKSCC one day before the result announcement day), share registrars will transmit the reports to CCASS Participants directly via courier. ***
<b>Frequency</b>	:	Daily (including Saturday)

## Section 4.3

### Report Description

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This key refers to the *Yellow Form Share Allotment Report* sample 4.3.49.

#### Field Description :

X-Ref	Field Name	Description
(1)	CEPSA01	Report ID for Yellow Form Share Allotment Report
(2)	B01234	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date for allotment details shown in the report
(7)	STOCK	Stock code and stock name of new issue
(8)	SHARE POSTING DATE	Posting date of allotted shares
(9)	APPLICANT NAME	Applicant name of the yellow form application
(10)	ID NUMBER	Identity number of applicant (e.g. HKID card number or passport number). In case of joint applicants, ID number of first-named joint applicant is shown. The field is blank for applicants with company names.
(11)	BENEFICIAL OWNER	Beneficial owner of the application
(12)	NO. OF SHARES APPLIED	Number of shares applied
(13)	NO. OF SHARES ALLOTTED	Number of shares allotted
(14)	NO. OF SHARES REJECTED	Number of shares rejected
(15)	APPLICATION NO	Application number
(16)	TOTAL NO. OF APPLICATIONS	Total number of yellow form applications for the participant
(17)	TOTAL NO. OF SHARES APPLIED	Total number of shares applied for the participant
(18)	TOTAL NO. OF SHARES ALLOTTED	Total number of shares allotted for the participant
(19)	TOTAL NO. OF SHARES REJECTED	Total number of shares rejected for the participant
(20)	FIRM ID	Firm ID of the relevant Exchange Participant provided by the EIPO Applicant, if any
(21)	BROKER NO	Broker number of the relevant Exchange Participant provided by the EIPO Applicant, if any