

## Report Data File Layout

### NEW ISSUES ENTITLEMENT REPORT

This file contains the data information of the *New Issues Entitlement Report*. The record length is 295 bytes.

<u>Data Item</u>	<u>Data Size</u>	<u>Remarks</u>
<b>Control Record :</b>		
Record Type	X(1)	'0' = control header
Part ID	X(6)	
Report ID	X(7)	CEPBE01
Report Name	X(15)	'NEW ISSUE ENTIT'
CCASS Date	9(8)	YYYYMMDD
Filler	X(255)	Spaces
Filler	X(3)	Reserved for system used

#### Detail Record 1 - Reminder Section:

*"EIPO" Reminder Section*

Record Type	X(1)	'1' = reminder section
New Issue Type	X(1)	'T' = EIPO
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Application Period Start Date	9(8)	YYYYMMDD 99991231 = N/A
Application Period End Date	9(8)	YYYYMMDD 99991231 = N/A
Allotment Date	9(8)	YYYYMMDD 99991231 = N/A
Currency Code	X(3)	
Stock Price	9(7)V9(5)	00000000000 = N/A
Refund Date	9(8)	YYYYMMDD 99991231 = N/A
Record checksum	9(18)	Stock code + application period start date + application period end date + allotment date + stock price + refund date
Filler	X(193)	Spaces
Filler	X(3)	Reserved for system used

#### Detail Record 1 - Reminder Section:

*"Tendering" Reminder Section*

Record Type	X(1)	'1' = reminder section
New Issue Type	X(1)	'T' = Tendering
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Application Period Start Date	9(8)	YYYYMMDD 99991231 = N/A

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<u>Data Item</u>	<u>Data Size</u>	<u>Remarks</u>
Application Period End Date	9(8)	YYYYMMDD 99991231 = N/A
Tender Date	9(8)	YYYYMMDD 99991231 = N/A
Refund/ Recollection Date	9(8)	YYYYMMDD 99991231 = N/A
Allotment Date	9(8)	YYYYMMDD 99991231 = N/A
Record checksum	9(18)	Stock code + application period start date + application period end date + tender date + refund date + allotment date
Currency Code	X(3)	
Bid Type	X(1)	'C' – competitive; 'N' – non-competitive
Price Bid (%)	9(3)v9(2)	
Filler	X(191)	Spaces
Filler	X(3)	Reserved for system used

**Detail Record 1 - Reminder Section:**

*“EIPO Confirmation” Reminder Section*

Record Type	X(1)	'1' = reminder section
New Issue Type	X(1)	'R' = EIPO confirmation
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Confirmation Start Date	9(8)	YYYYMMDD
Confirmation Start Time	9(4)	HHMM
Confirmation End Date	9(8)	YYYYMMDD
Confirmation End Time	9(4)	HHMM
Currency Code	X(3)	
Unconfirmed Application Refund Date	9(8)	YYYYMMDD
Record Checksum	9(18)	Stock code + Confirmation start date + Confirmation start time + Confirmation end date + Confirmation end time + Unconfirmed application refund date
Filler	X(205)	Spaces
Filler	X(3)	Reserved for system used

**Detail Record 2 - Application Section:**

*“EIPO” Application Section*

Record Type	X(1)	'2' = application section
New Issue Type	X(1)	'I' = EIPO
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Currency Code	X(3)	
Stock Price	9(7)V9(5)	
Second Stock Price	9(7)V9(5)	

<u>Data Item</u>	<u>Data Size</u>	<u>Remarks</u>
Refund Date	9(8)	YYYYMMDD 99991231 = N/A
Second Refund Date	9(8)	YYYYMMDD 99991231 = N/A
Share Posting Date	9(8)	YYYYMMDD 99991231 = N/A
Application Instruction No	X(9)	
Application Quantity	9(11)	
Application Money	9(13)V9(2)	
EPI No	X(6)	
Successful Quantity	9(11)	
Unsuccessful Quantity	9(11)	
Refund Amount	9(13)V9(2)	
Second Refund Amount	9(13)V9(2)	
Unsuccessful Reason Code	X(2)	'01' — Not allotted '02' — Rejected EPI '03' — Duplicate application '04' — Incomplete information '99' — Others
Beneficial Owner	X(32)	
Record checksum	9(18)	Stock code + stock price + second stock price + refund date + second refund date + share posting date + application quantity + application money + successful quantity + unsuccessful quantity + refund amount + second refund amount
Firm ID	X(5)	
Broker Number	X(5)	
Filler	X(52)	Spaces
Filler	X(3)	Reserved for system used

**Detail Record 2 - Application Section:**

*“Tendering” Application Section*

Record Type	X(1)	'2' = application section
New Issue Type	X(1)	'T' = Tendering
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Currency Code	X(3)	
Allotment Status	X(1)	'P' = Preliminary 'F' = Final
Tender Date	9(8)	YYYYMMDD
Refund/Recollection Date	9(8)	YYYYMMDD
Allotment Date	9(8)	YYYYMMDD
Tender Instruction No	X(9)	
Price Bid (%)	9(3)V9(2)	
Application Quantity	9(11)	
Successful Quantity	9(11)	
Unsuccessful Quantity	9(11)	
Tender Money	9(14)V9(2)	
Brokerage	9(14)V9(2)	
Transaction Levy and Trading Fee	9(14)V9(2)	

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<u>Data Item</u>	<u>Data Size</u>	<u>Remarks</u>
Refund/Recollect Amount	9(16)V9(2)	
Sign of Refund/Recollect Amount	X(1)	Blank = 0 or positive amount “-” = negative amount
Unsuccessful Reason Code	X(2)	‘30’ — Not allotted at the lowest accepted price / others ‘31’ — Below the lowest accepted price / others
Unsuccessful Reason Description	X(29)	
Firm ID	X(5)	
Broker Number	X(5)	
Beneficial Owner	X(32)	
Final Accepted Price	9(3)v(2)	
Record checksum	9(18)	Stock code + tender date + refund/recollection date + allotment date + price bid + application quantity + successful quantity + unsuccessful quantity + tender money + brokerage + transaction levy + refund/recollect amount
Filler	X(20)	
Filler	X(3)	Reserved for system used

**Detail Record 2 - Application Section:**

*“EIPO Confirmation – Unconfirmed Application Refund” Section*

Record Type	X(1)	‘2’ = application section
New Issue Type	X(1)	‘R’ = EIPO Confirmation
Stock Code	9(5)	
ISIN	X(12)	
Stock Short Name	X(15)	
Currency Code	X(3)	
Unconfirmed Application Refund Date	9(8)	YYYYMMDD
Application Instruction No	X(9)	
Application Quantity	9(11)	
Successful Quantity	9(11)	
Unconfirmed Quantity	9(11)	
Refund Amount for Unconfirmed Application	9(13)V9(2)	
Beneficial Owner	X(32)	
Record Checksum	9(18)	Stock code + Unconfirmed Application refund date + Application quantity + Successful quantity + Unconfirmed quantity + Refund amount for unconfirmed application (ignore decimal point)
Filler	X(140)	Spaces
Filler	X(3)	Reserved for system used

**Control Record:**

Record Type	X(1)	‘9’ = control trailer
Total Number of Type 1 detail record	9(9)	

<u>Data Item</u>	<u>Data Size</u>	<u>Remarks</u>
Total Number of Type 2 detail record	9(9)	
Sum of All Type 1 detail records checksum	9(18)	
Sum of All Stock Code of Type 2 detail records	9(9)	
Sum of All Stock Price of Type 2 detail records	9(18)	
Sum of All Second Stock Prices	9(18)	
Sum of All Application Quantities	9(16)	
Sum of All Application Moneys	9(18)	
Sum of All Successful Quantities	9(16)	
Sum of All Unsuccessful/Unconfirmed Quantities	9(16)	
Sum of All Refund/Recollect Amounts	9(18)	
Sum of All Second Refund Amounts	9(18)	
Sum of All Type 2 detail records checksum	9(18)	
Sum of All Price Bids	9(8)	
Sum of All Tender Moneys	9(14)	
Sum of All Transaction Levies	9(14)	
Sum of All Brokerage	9(14)	
Filler	X(40)	Spaces
Filler	X(3)	Reserved for system use