

Report Data File Layout

STOCK BORROWING / LENDING POSITION STATUS REPORT

This file contains the data information of the *Stock Borrowing/Lending Position Status Report*. The record length is 236 bytes.

<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Control Record		
Record type	X(1)	"A" = control header
Participant ID	X(6)	
Report ID	X(7)	"CBLBP01"
Report file name	X(15)	"SBL POS STS RPT"
CCASS date	9(8)	YYYYMMDD
Market code	X(4)	
Filler	X(192)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	"M" = detail records of 'active' lending position
Position status	X(1)	"A" = active
Stock code	9(5)	
ISIN	X(12)	
Loan start date	9(8)	YYYYMMDD
Loan type	X(1)	"O" = open "T" = term
Lending position number	X(9)	
Original loan quantity	9(11)	
Outstanding loan quantity	9(11)	
Trading Currency of the stock	X(3)	
Market value of o/s loan quantity	9(11)V9(2)	In trading currency of the stock
Maturity Date	9(8)	YYYYMMDD "99991231" if Loan type = "O"
Cash compensation Indicator	X(1)	"Y" – cash compensation elected if no stock return "N" – cash compensation not elected
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from the loan start date
Record checksum	9(16)	Sum of stock code, loan start date,

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<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Filler	X(94)	o/s loan quantity, market value of o/s loan qty, lending fee posted today, MTD lending fee & total accumulated lending fee Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	"N" = detail records of 'recalled' lending position
Position status	X(1)	"R" = recalled
Date of recall request	9(8)	YYYYMMDD
Stock code	9(5)	
ISIN	X(12)	
Lending position number	X(9)	
Original loan quantity	9(11)	
Outstanding loan quantity	9(11)	
Trading Currency of the stock	X(3)	
Market value of o/s loan quantity	9(11)V9(2)	In trading currency of the stock
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from the loan start date
Receiving stock account number	X(8)	Padded with leading blank space if account number contains less than 8 digits
Remark	X(40)	Remark of the recall request
Recall by HKC Indicator	X(1)	"Y" - recalled by HKC "N" - not recalled by HKC
Expected return date	9(8)	YYYYMMDD
Elect cash compensation date	9(8)	YYYYMMDD
Cash compensation elected by	X(1)	"Y" - elected by HKC "N" - elected by lender blank - not elected
Expected cash compensation date	9(8)	YYYYMMDD
Record checksum	9(16)	Sum of stock code, date of recall request, o/s loan quantity, market value of o/s loan qty, lending fee posted today, MTD lending fee & total accumulated lending fee

<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Filler	X(30)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	“O” = detail records of ‘fully returned’ lending position
Position status	X(1)	“T” = fully returned
Stock code	9(5)	
ISIN	X(12)	
Lending position number	X(9)	
Original loan quantity	9(11)	
Returned loan quantity today	9(11)	
Trading Currency of the stock	X(3)	
Market value of returned loan quantity	9(11)V9(2)	In trading currency of the stock
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from the loan start date
Loan start date	9(8)	YYYYMMDD
Loan type	X(1)	“O” - open “T” - term
Maturity date / date of recall request	9(8)	YYYYMMDD Maturity date if Loan type = “T”, date of recall request if Loan type = “O”
Expected return date	9(8)	YYYYMMDD
Receiving stock account number	X(8)	Receiving stock account number specified in recall request. Padded with leading blank space if account number contains less than 8 digits
Remark of the recall request	X(40)	
Record checksum	9(16)	Sum of stock code, loan start date, returned loan quantity, market value of returned loan qty, lending fee posted today, MTD lending fee & total accumulated lending fee
Filler	X(39)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	“P” = detail records of ‘frozen’ lending position
Position status	X(1)	“F” = frozen

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<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Frozen date	9(8)	YYYYMMDD
Stock code	9(5)	
ISIN	X(12)	
Lending position number	X(9)	
Original loan quantity	9(11)	
Outstanding loan quantity	9(11)	
Trading Currency of the stock	X(3)	
Market value of o/s loan quantity	9(11)V9(2)	In trading currency of stock
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from loan start date
Loan start date	9(8)	YYYYMMDD
Loan type	X(1)	"O" - open "T" - term
Maturity date / date of recall request	9(8)	YYYYMMDD Maturity date if Loan type = "T", date of recall request if Loan type = "O"
Expected return date	9(8)	YYYYMMDD
Receiving stock account number	X(8)	Receiving stock account number specified in recall request. Padded with leading blank space if account number contains less than 8 digits
Remark of the recall request	X(40)	
Cash compensation indicator	X(1)	"Y" - cash compensation elected "N" - cash compensation not elected blank - not specified
Record checksum	9(16)	Sum of stock code, loan start date, o/s loan quantity, market value of o/s qty, lending fee posted today, MTD lending fee & total accumulated lending fee
Filler	X(30)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	"Q" = detail records of 'cancelled' lending position "C" = cancelled
Position status	X(1)	
Stock code	9(5)	
ISIN	X(12)	
Lending position number	X(9)	
Original loan quantity	9(11)	

<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Cancelled loan quantity	9(11)	
Trading Currency of the stock	X(3)	
Market value of cancelled loan quantity	9(11)V9(2)	In trading currency of the stock
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from the loan start date
Loan start date	9(8)	YYYYMMDD
Loan type	X(1)	"O" - open "T" - term
Maturity date / date of recall request	9(8)	YYYYMMDD Maturity date if Loan type = "T", date of recall request if Loan type = "O"
Expected return date	9(8)	YYYYMMDD
Cancel Reason	X(1)	"C" - cash compensation handled manually "A" - due to corporate action activities, e.g. exchange of shares "O" - miscellaneous
Receiving stock account number	X(8)	Receiving stock account number specified in recall request. Padded with leading blank space if account number contains less than 8 digits
Remark of the recall request	X(40)	
Record checksum	9(16)	Sum of stock code, loan start date, cancelled loan quantity, market value of cancelled loan qty, lending fee posted today, MTD lending fee & total accumulated lending fee
Filler	X(38)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	"R" = detail records of 'cash compensated' lending position "K" = cash compensated
Position status	X(1)	
Stock code	9(5)	
ISIN	X(12)	
Lending position number	X(9)	
Original loan quantity	9(11)	
Cash compensated loan quantity	9(11)	
Trading Currency of the stock	X(3)	
Market value of cash compensated loan quantity	9(11)V9(2)	In trading currency of the stock

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<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Lending fee posted today	9(11)V9(2)	In HKD
Month-to-date lending fee	9(11)V9(2)	Lending fee earned (in HKD) for the current calendar month
Total accumulated lending fee	9(11)V9(2)	Total lending fee earned (in HKD) from the loan start date
Loan start date	9(8)	YYYYMMDD
Loan type	X(1)	"O" - open "T" - term
Maturity date / date of recall request	9(8)	YYYYMMDD Maturity date if Loan type = "T", date of recall request if Loan type = "O"
Expected return date	9(8)	YYYYMMDD
Receiving stock account number	X(8)	Receiving stock account number specified in recall request. Padded with leading blank space if account number contains less than 8 digits
Remark of the recall request	X(40)	
Elect cash compensation date	9(8)	YYYYMMDD
Cash Compensation elected by	X(1)	"Y" - elected by HKSCC "N" - elected by the lender
Record checksum	9(16)	Sum of stock code, loan start date, cash compensated loan quantity, market value of cash compensated loan qty, lending fee posted today, MTD lending fee & total accumulated lending fee
Filler	X(30)	Spaces
Filler	X(3)	Reserved for system use
Detail Record		
Record type	X(1)	"S" = detail records of 'converted' lending position
Section	X(1)	"V" = stock conversion
Position status	X(1)	"A" - active "F" - frozen "R" - recalled
Original stock code	9(5)	
ISIN	X(12)	
New stock code	9(5)	
ISIN	X(12)	
Trading currency of the original stock code	X(3)	
Trading currency of the new stock code	X(3)	
Lending position number	X(9)	
Loan start date	9(8)	YYYYMMDD

<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
Original outstanding loan quantity	9(11)	
Converted outstanding loan quantity	9(11)	
Loan type	X(1)	"O" - open "T" - term
Maturity date / date of recall request	9(8)	YYYYMMDD Maturity date if Loan type = "T", date of recall request if Loan type = "O"
Record checksum	9(16)	Sum of original stock code, new stock code, loan start date, original o/s loan qty, converted o/s loan qty
Filler	X(126)	Spaces
Filler	X(3)	Reserved for system use
Control Record		
Record type	X(1)	"Z" = Control Trailer
Filler	9(42)	Zeros
Total number of Active Lending Position Record	9(6)	
Total number of Recalled Lending Position Record	9(6)	
Total number of Returned Lending Position Record	9(6)	
Total number of Frozen Lending Position Record	9(6)	
Total number of Cancelled Lending Position Record	9(6)	
Total number of Cash Compensated Lending Position Record	9(6)	
Total number of Converted Lending Position Record	9(6)	
Sum of all record checksum	9(18)	
Filler	X(130)	Spaces
Filler	X(3)	Reserved for system use