

Terminal Operations

FUNCTION NAME: INPUT RECALL REQUEST

WHEN TO USE:

- To input a Recall Request for an active lending position of Loan Type 'O' (i.e. open-ended loan), and not created on the current day.

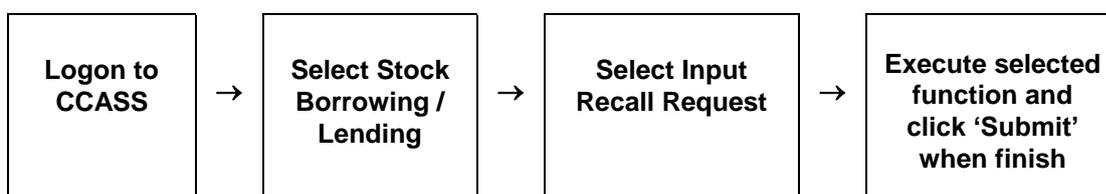
AVAILABLE FUNCTION TIME:

- The available function time is between 9:30 a.m. to 3:45 p.m.

FUNCTIONAL DESCRIPTIONS:

- Lending positions of Loan Type 'O' (i.e. open-ended loan) can be recalled by inputting a recall request.
- A lending position will be immediately settled by a stock return upon the input of a recall request (i.e. will be updated to 'T – Fully Returned' status) if a replacement lender with sufficient lendable position is found.
- A lending position status is updated to 'R – Recalled' by inputting a recall request if a replacement lender is not immediately and successfully found.
- A recall request will be recognised as a recall of the current day if it is successfully input before 12:00 noon on any business day. Current day will be regarded as the 'Notification Day'.
- A recall request will be treated as a recall of next business day if it is successfully input on or after 12:00 noon. Next business day will be regarded as the 'Notification Day'.
- Participants can specify
 - a) which stock account is to be used for receiving the returned stock.
 - b) whether cash in lieu of stock will be elected if there is no stock return by five business days following the notification date.
- If the market value of the outstanding quantity of stock of a lending position exceeds the input transaction limit of the authorised user, CCASS will reject the input. An authorised user with higher and sufficient transaction limit has to input the recall request instead.

The access path for Input Recall Request function is:



Section 8.1.11
Input Recall Request

INPUT RECALL REQUEST – Sample Screen:

General Enquiries	Stock Borrowing / Lending	Site Map	Broadcast Message	Report Download	B0561101	
Enq Settlement Act / Status		Announcement Information	Change Password	Print	Logout	YLRA 02
Delivery Instruction (DI)		Input Recall Request Detail				26-Apr-02
A/C Transfer Instr (ATI)		LP Number :	LP0000364	Status :	ACTIVE	
Settlement Instruction (SI)		Stock Code :	5838 STK 5838 - HKD			
Investor Stm Instr (ISI)		ISIN :	SU-ISIN05838			
ISI Counterparty List		Original Quantity :	2,000			
Payment Instruction		Loan Start Date :	02-APR-02			
Cash Prepayment		Outstanding Quantity :	2,000			
Stock Borrowing / Lending		Receive Stock Account Number :	<input type="text"/>			
SBL Bulletin Board		Cash Compensation Indicator :	<input type="radio"/> YES <input checked="" type="radio"/> NO			
General Stock Collateral		Remarks :	<input type="text"/>			
Login Withdrawal Order		<input type="button" value="Submit"/> <input type="button" value="Refresh"/>				Input Another Request Help
Election Instruction						
Subscription Instruction						
Corp Voting Instruction						
EIPO Application						
Tender Instruction						
Corp Communication						
Upload Batch File						
Report Profile Maintenance						
View Circular						

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
LP NUMBER	<ul style="list-style-type: none"> - the unique reference number assigned by CCASS when the lending position is created. - type the LP number being recalled in the prompt screen (obtain the LP number from the Enquire Lending Position function or Stock Borrowing/Lending Position Status Report). - the selected lending position must be <u>active</u>. - LP number is a 9-character code beginning with 'LP'. The system automatically displays the 'LP'. Type the remaining seven digits. E.g. for LP number 'LP4000375', only enter '4000375'.
STATUS	- display the status of the lending position - 'ACTIVE'.
STOCK CODE	- display the stock code and short name of the stock.
ISIN	- display the International Securities Identification Number of the stock.
ORIGINAL QUANTITY	- display the original number of shares of the lending position.
LOAN START DATE	- display the start date of the loan.
OUTSTANDING QUANTITY	- display the outstanding number of shares of the lending position.

<u>Field</u>	<u>Description/Format</u>
RECEIVING STOCK ACCOUNT NUMBER	<ul style="list-style-type: none">- type only the account number of the account used to receive the returned stock in the detail screen.- CCASS overlooks leading zero(s); i.e. '5', '05' and '005' are treated as the same.- must not be a TSF Account '17' or '18'
CASH COMPENSATION INDICATOR	<ul style="list-style-type: none">- select 'Yes' for electing cash compensation if there is no stock return by 5 business days following the notification day in the detail screen.- select 'No' for not electing cash compensation even if there is no stock return by 5 business days following the notification day.- the system defaults to 'N'.
REMARKS	<ul style="list-style-type: none">- type any additional remarks for the recall request, if desired in the detail screen.- any text should be less than 40 characters in length.