

Section 8.1.7
Input ATI

INPUT ATI – Sample Screen:

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17-Mar-08 17:04

Input Account Transfer Instruction Detail

Stock : 1 CHEUNG KONG
 ISIN : HK0001000014
 ATI Input Number : S09460342

Available Balance :	Account	Available Balance	Account	Available Balance	Account	Available Balance
	1	0	2	0	3	0
	4	0	5	0	6	0
	7	0	8	0	9	0
	10	0	11	0	12	0
	13	0	14	0	15	0
	16	0	17	0	19	0
	20	0				

From Account :
 To Account :
 Transfer Quantity :
 Remarks :

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DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
ISIN or STOCK CODE	<ul style="list-style-type: none"> - input the ISIN / stock code as a selection criterion. - can obtain the ISIN or stock code from the hyperlink of 'Enquire Stock List'. - from the prompt screen, type a valid stock code. The name of the stock is not required. - must not be a delisted stock. - CCASS overlooks leading zero(s); i.e. '17', '017', '0017' and '00017' are treated as the same. - display the ISIN code or stock code and short name of the stock being chosen at the list screen.
ATI INPUT NUMBER	<ul style="list-style-type: none"> - display the unique reference number for the current ATI. - it is automatically given by CCASS. - change not allowed.

<u>Field</u>	<u>Description/Format</u>
AVAILABLE BALANCE	<ul style="list-style-type: none"> - display the balance (in number of shares) that is available for use in all valid stock accounts for the selected stock. '1' represents the Clearing Account. '2', '3', ... '16' represent segregated accounts, '17' & '18' for TSF Accounts (only applicable to TSF CCASS Participants) and '20' for Stock Collateral Control Account. - for all stock accounts, the available balance may not be equal to the actual account balance since shares might be put on-hold by CCASS due to RDP settlement, ISI settlement pending payment confirmation by the participant's bank, linked SI settlement pending for delivery of linked delivering SI, odd lot withdrawal or deposit withheld pending for re-registration of share certificates. - for the Clearing Account, the available account balance may not be equal to the actual account balance because some of allocated shares from batch settlement runs may not be readily available for use for certain reasons. - for TSF Accounts, the available account balance is only applicable to transfer between TSF Account '17' & '18'. Share transfer from a non-TSF Account to a TSF Account only be allowed if there is outstanding TSF earmarking obligation. - for Stock Collateral Control Account, the available account balance may not be equal to the actual account balance because some of the shares are for collateral purpose and not be available for use. - change not allowed.
FROM ACCOUNT	<ul style="list-style-type: none"> - type the account number, except Stock Collateral Control Account and SSA with Statement Service, from which stock will move. - corresponds to one of the accounts listed in the AVAILABLE ACCOUNT BAL field (e.g. '2' for Entitlement Account) or one of the accounts grouped under the OTHER SEGREGATED ACCOUNT BALANCE field. It must be different from the account number in the TO ACCOUNT field. - CCASS overlooks leading zero(s); i.e. '5', '05' and '005' are treated as the same.
TO ACCOUNT	<ul style="list-style-type: none"> - default to Account '1 Clearing Account'. - can override the field by typing the account number, except SSA with Statement Service, to which stock will move. - correspond to one of the accounts listed in AVAILABLE ACCOUNT BALANCE field (e.g. '1' for the Clearing Account) or one of the accounts grouped under the OTHER SEGREGATED ACCOUNT BALANCE field. It must be different from the account number in FROM ACCOUNT field. - CCASS overlooks leading zero(s); i.e. '5', '05' and '005' are treated as the same.

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<u>Field</u>	<u>Description/Format</u>
TRANSFER QUANTITY	<ul style="list-style-type: none">- type the quantity of shares to be transferred.- cannot exceed the available balance of the account in the FROM ACCOUNT field.- must be greater than zero.- CCASS defaults to zero.- either one of the following format is accepted by CCASS: '3000' or '3,000'.
REMARKS	<ul style="list-style-type: none">- optional field.- any text less than 40 characters in length.