

Terminal Operations

FUNCTION NAME: IN KIND CREATION ORDER MAINTENANCE

WHEN TO USE:

- The in kind creation order maintenance is used in relation to In Kind Creation Order for unit trust.

AVAILABLE MAINTENANCE FUNCTIONS:

- A. Add In Kind Creation Order
To input details of an in kind creation order for unit trust.
- B. Change In Kind Creation Order
To change the details of a pending In Kind Creation Order entered by the participant if any error is found.
- C. Delete In Kind Creation Order
To delete a pending In Kind Creation Order.
- D. Authorise In Kind Creation Order
To authorise a pending In Kind Creation Order.
- E. Enquire In Kind Creation Order
To make enquiries on the details and current status of In Kind Creation Orders.

AVAILABLE FUNCTION TIME:

- Except the ENQUIRE IN KIND CREATION ORDER function, in kind creation order maintenance must be performed between 9:15 a.m. and 4:25 p.m. Monday to Friday (except holidays). The ENQUIRE IN KIND CREATION ORDER function must be performed between 9:00 a.m. and 8:00 p.m. Monday to Friday (except holidays).

FUNCTIONAL DESCRIPTIONS:

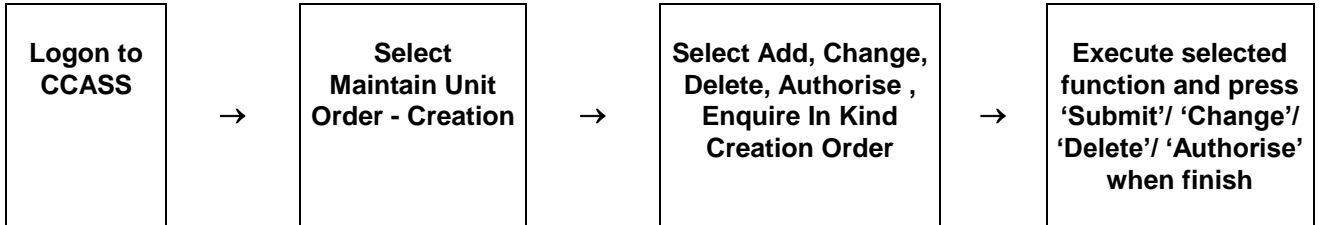
- Only participating dealers can input In Kind Creation Order via CCASS terminal.
- Newly added In Kind Creation Order will have a 'Pending' status. Details of a 'Pending' order can be changed using the CHANGE IN KIND CREATION ORDER function. 'Pending' In Kind Creation Orders require authorisation using the AUTHORISE IN KIND CREATION ORDER function in order for the status to be updated to 'Accepted'. Once an in kind creation order is accepted, no further changes or deletion will be allowed.
- Only pending In Kind Creation Orders can be deleted with the DELETE IN KIND CREATION ORDER function.

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In Kind Creation Order Maintenance

- ENQUIRE IN KIND CREATION ORDER function can be used to view the details and current status of In Kind Creation Orders. Data cannot be entered or changed with this function.
- Pending In Kind Creation Order will be purged by the system at day end.

The access path for in kind creation maintenance function is:



IN KIND CREATION ORDER MAINTENANCE – Sample Screen:

Maintain Unit Order

Site Map Broadcast Message Report Download
 Announcement Information Change Password Print Logout

B0306001
 NCSE 03
 21-May-07 16:02

Enquire In Kind Creation Order Detail

Creation Order Number :	R00004753	Status :	PENDING
Stock :	5800 CRACKER FUND		
ISIN :	HK2800008000		
Market :	HONG KONG MARKET		
Stock Account :	1 CLEARING A/C		
Creation Quantity :	6,000,000		
Expected Settlement Date :	03-JUL-07		
Creation Acceptance Date :			

[Enquire Another Instruction](#) [Go To List](#) [Help](#)

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
CREATION ORDER NUMBER	<ul style="list-style-type: none"> - display the unique reference number assigned by CCASS when the ADD IN KIND CREATION ORDER DETAIL screen is retrieved. - the Creation Order Number is a 9-digit reference number beginning with the character 'R'. - no entry is required for ADD IN KIND CREATION ORDER function
SELECT	<ul style="list-style-type: none"> - click the check box for the particular in kind creation order to be authorised or deleted. - click multiple check boxes for selection of multiple in kind creation orders to be authorised or deleted. - 'Select All' for all in kind creation orders to be authorised or deleted.
STOCK	<ul style="list-style-type: none"> - display the stock code and stock short name of the stock of the in kind creation order.
ISIN	<ul style="list-style-type: none"> - display the International Securities Identification Number of the stock of the in kind creation order.
ISIN OR STOCK CODE	<ul style="list-style-type: none"> - input the ISIN / stock code for display of a particular stock for the in kind creation order. - can obtain the ISIN or stock code from the hyperlink of 'Enquire Stock List'. - must not be a delisted stock. - CCASS overlooks leading zero(s); i.e. '17', '017', '0017', and '00017' are treated as the same.
MARKET	<ul style="list-style-type: none"> - select the market code assigned by HKSCC via pull down menu. - display the market code and market short name of the market being enquired.
STOCK ACCOUNT	<ul style="list-style-type: none"> - type a valid stock account code for the credit of units being created. - '1' for the Clearing Account; '2' for Entitlement Account; '3', '4', ... for other segregated accounts. - must not be TSF Account (17 and 18) - CCASS overlooks leading zero(s); i.e. '5', '05' and '005' are treated as the same.
CREATION QUANTITY	<ul style="list-style-type: none"> - type the quantity of units to be created for the in kind creation order; - must be greater than 0 and in multiple of the in kind creation unit.

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In Kind Creation Order Maintenance

<u>Field</u>	<u>Description/Format</u>
EXPECTED SETTLEMENT DATE	<ul style="list-style-type: none">- display the expected settlement day of the transaction, i.e. 2 settlement days after the input day;- no input is required.
CREATION ACCEPTANCE DATE	<ul style="list-style-type: none">- display the acceptance date of the creation order;- not applicable for ADD IN KIND CREATION ORDER function.
STATUS	<ul style="list-style-type: none">- display the status of the in kind creation order. CCASS defaults to 'Pending';- no input is required.