
Terminal Operations

FUNCTION NAME: USER PROFILE LISTING

WHEN TO USE:

- For the Delegated Administrator (DA) to print/save the "User Profile Listing".

AVAILABLE MAINTENANCE FUNCTIONS:

- A. User Profile Listing
To request for "User Profile Listing".

AVAILABLE FUNCTION TIME:

- The functions must be performed between 7:00 a.m. and 7:00 p.m. Monday to Friday, and between 7:00 a.m. and 1:00 p.m. Saturday (except holidays).

FUNCTIONAL DESCRIPTIONS:

- The "User Profile Listing" lists out the user group IDs, the transaction limits and other user profile details set for each user of the participant.
- The DA can print and/or save the listing.

The access path for User Profile Listing function is:



Section 8.4.3
User Profile Listing

USER PROFILE LISTING – Sample Screen:

```

DMSDA04 B05601                HHEX - CCASS                RUN DATE : 08-May-02
BROKER 5601                    USER PROFILE LISTING        RUN TIME : 05:56:24

DATE : 08-May-02

USER ID(DELEGATED ADMINISTRATOR)/  ASSIGNED USER GROUP ID      TRANSACTION LIMIT
USER NAME                            -----
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B05601

SEN                                13 1C 2C 3C 4C 5C 6C 7C 8C 9 A  DEFAULT:          999,999,999,999.00
CLEARING HOUSE OPTIONS : NONE SELECTED  C CR CE CG CL D E F F1 F2 F3  DI:
ENABLED FROM           :                F4 F5 F6 F7 F8 G H I J K L H  DIR:
DISABLED AFTER        :                N 0                               ISI:
ACCESS CHANNEL        : C3T                ER:
USER STATUS           : ENABLED              CCI:
                                           ATI:
                                           CP:
                                           SI:

B0560101
BROWN CHARLIE

SEN                                1C 2C 3C 4C 5C 6C 7C 8C A C D  DEFAULT:          99,999,999,990.00
CLEARING HOUSE OPTIONS : NONE SELECTED  E F F1 F2 F3 F4 F5 F6 F7 F8 G  DI:
ENABLED FROM           :                H I J K L H N 0               DIR:
DISABLED AFTER        :                ISI:
ACCESS CHANNEL        : C3T                ER:
USER STATUS           : ENABLED              CCI:
                                           ATI:
                                           CP:
                                           SI:

```

DESCRIPTION OF FIELDS:

| <u>Field</u> | <u>Description/Format</u> |
|--------------------------------|--|
| SRN (for user using smartcard) | - Subscriber Reference Number of the user's smartcard. |
| User Name | - the name of the user. |
| Clearing House Options | - For determining whether the user is a CCMS user, and if yes, whether the user is a firm-level or participant-level user. - Either "CASH" or "NONE SELECTED" in the initial launch of CCMS. - "CASH" if user is allowed to access CCMS. - "NONE SELECTED" if user is not allowed to access CCMS. |
| Access Channel | - 'C3T' for CCASS/3 Terminal. - 'PG' for Participant Gateway. |
| Enable From | - if a date is set and the user status is 'Enabled', the user profile is enabled from the date. - if the field is blank and the user status is 'Enabled', the user profile is effective until the 'Disable after' date. |

| <u>Field</u> | <u>Description/Format</u> |
|--|---|
| Disable After | <ul style="list-style-type: none"> - if a date is set and the user status is 'Enabled', the user profile will be disabled after the date. - if the field is blank and the user status is 'Enabled', the user profile is effective immediately or from the 'Enable from' date. |
| User Status | <ul style="list-style-type: none"> - 'Enabled' if the user profile is enabled. - 'Disabled' if the user profile is disabled. |
| Assigned User Group ID | <ul style="list-style-type: none"> - The IDs of the users groups assigned to the users. |
| Transaction Limit (HKD) <ul style="list-style-type: none"> - Default - DI / ISI / Cash Compensation Indicator / Cash Prepayment / DI Requirement / Recall Request / ATI / SI | <ul style="list-style-type: none"> - the transaction limit applies to DI / ISI / Change Cash Compensation Indicator / Cash Prepayment / Change DI Requirement / Recall Request / ATI / SI functions if there are no specific transaction limits set for the functions. - the transaction limit of the respective function. This transaction limit supersedes the default transaction limit. |