

## Terminal Operations

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**FUNCTION NAME:**                    **USER PROFILE MAINTENANCE REPORT**

**WHEN TO USE:**

- For the Delegated Administrator (DA) to print/save the "User Profile Maintenance Report".

**AVAILABLE MAINTENANCE FUNCTIONS:**

- A. User Profile Maintenance Report  
    To request for "User Profile Maintenance Report".

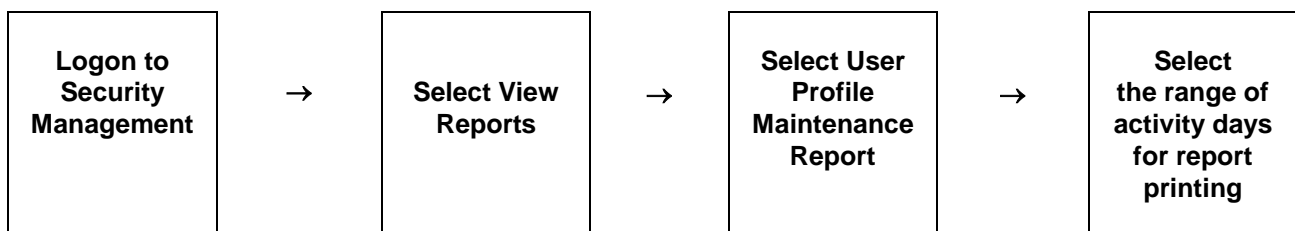
**AVAILABLE FUNCTION TIME:**

- The functions must be performed between 7:00 a.m. and 7:00 p.m. Monday to Friday, and between 7:00 a.m. and 1:00 p.m. Saturday (except holidays).

**FUNCTIONAL DESCRIPTIONS:**

- The "User Profile Maintenance Report" lists out the user profile maintenance activities performed by the participant during the specified range of days.
- The DA can specify a range of activity days for report printing. Data of the last 30 calendar days (including the current day) are available.
- The DA can print and/or save the listing.

The access path for User Profile Maintenance Report function is:



**Section 8.4.6**  
**User Profile Maintenance Report**

**USER PROFILE MAINTENANCE REPORT – Sample Screen:**

<table border="1"> <tr><td>Maintain User Profile</td></tr> <tr><td>View Listings</td></tr> <tr><td>View Reports</td></tr> <tr><td>Reset Smartcard Pwd</td></tr> <tr><td>Get Authorisation Code</td></tr> </table>	Maintain User Profile	View Listings	View Reports	Reset Smartcard Pwd	Get Authorisation Code	<table border="0"> <tr> <td><b>View Reports</b></td> <td><a href="#">Print</a></td> <td><a href="#">Site Map</a></td> <td><a href="#">Logout</a></td> <td><a href="#">Change Password</a></td> </tr> </table> <p style="text-align: right;"><b>DUPR 01</b> 08-May-02 17:59:34</p> <p><b>User Profile Maintenance Report</b></p> <p>Data of 30 calendar days will be available</p> <p>From Date: <input type="text" value="08-May-02"/> (DD-MMM-YY)</p> <p>To Date: <input type="text" value="08-May-02"/> (DD-MMM-YY)</p> <p style="text-align: center;"> <input type="button" value="Submit"/> <input type="button" value="Refresh"/> <a href="#">Help</a> </p>	<b>View Reports</b>	<a href="#">Print</a>	<a href="#">Site Map</a>	<a href="#">Logout</a>	<a href="#">Change Password</a>
Maintain User Profile											
View Listings											
View Reports											
Reset Smartcard Pwd											
Get Authorisation Code											
<b>View Reports</b>	<a href="#">Print</a>	<a href="#">Site Map</a>	<a href="#">Logout</a>	<a href="#">Change Password</a>							

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DMSDA05 B05601 HREX - CCASS RUN DATE : 08-May-02  
 BROKER 5601 USER PROFILE MAINTENANCE REPORT RUN TIME : 06:00:11

PERIOD: 07-May-02 TO: 08-May-02

TRANSACTION TYPE	USER ID USER NAME	DESCRIPTION	ADMIN ID / CLIENT IP / TIMESTAMP
CHANGE	B0560101 BROWN CHARLIE	(OLD PROFILE) USER NAME: BROWN CHARLIE CLEARING HOUSE OPTS : N ENABLE FROM : DISABLE AFTER : USER STATUS : ENABLED ASSIGNED USER GROUP: LC 2C 3C 4C 5C 6C 7C 8C A C D E F F1 F2 F3 F4 F5 F6 F7 F8 G H I J K L H N O  TRANSACTION LIMIT (HKD) DEFAULT : 99,999,999,990.00 DI : DIR : ISI : ER : CCI : ATI : CF : SI :	ADMIN : B0560110 CHECKER: B0560111 10.50.26.22 07/MAY/2002:15:03:17
		(NEW PROFILE) USER NAME: BROWN CHARLIE CLEARING HOUSE OPTS : N ENABLE FROM : DISABLE AFTER : USER STATUS : ENABLED ASSIGNED USER GROUP: LC 2C 3C 4C 5C 6C 7C 8C A C D E F F1 F2 F3 F4 F5 F6 F7 F8 G H I J K L H N O  TRANSACTION LIMIT (HKD) DEFAULT : 99,999,999,990.00 DI : DIR : ISI : ER : 4,000.00 CCI : 4,000.00 ATI : CF : SI :	

**DESCRIPTION OF FIELDS:**

<u>Field</u>	<u>Description/Format</u>
From Date	- The date from which the user profile maintenance activities are to be listed.
To Date	- The date to which the user profile maintenance activities are to be listed.

<b><u>Field</u></b>	<b><u>Description/Format</u></b>
Transaction Type	<ul style="list-style-type: none"> <li>- 'Change' for Change User Profile activities;</li> <li>- 'Delete' for Delete User Profile activities.</li> </ul>
User ID	<ul style="list-style-type: none"> <li>- The ID of the user.</li> </ul>
User Name	<ul style="list-style-type: none"> <li>- The Name of the user.</li> </ul>
(Old Profile)	<ul style="list-style-type: none"> <li>- The user profile before the user profile maintenance activity.</li> </ul>
(New Profile)	<ul style="list-style-type: none"> <li>- The user profile after the user profile maintenance activity.</li> </ul>
Clearing House Options	<ul style="list-style-type: none"> <li>- For determining whether the user is a CCMS user, and if yes, whether the user is a firm-level or participant-level user.</li> <li>- Either "CASH" or "NONE SELECTED" in the initial launch of CCMS.</li> <li>- "CASH" if user is allowed to access CCMS.</li> <li>- "NONE SELECTED" if user is not allowed to access CCMS.</li> </ul>
Enable From	<ul style="list-style-type: none"> <li>- if a date is set and the user status is 'Enabled', the user profile is enabled from the date.</li> <li>- if the field is blank and the user status is 'Enabled', the user profile is effective until the 'Disable after' date.</li> </ul>
Disable After	<ul style="list-style-type: none"> <li>- if a date is set and the user status is 'Enabled', the user profile will be disabled after the date.</li> <li>- if the field is blank and the user status is 'Enabled', the user profile is effective immediately or from the 'Enable from' date.</li> </ul>
User Status	<ul style="list-style-type: none"> <li>- 'Enabled' if the user profile is enabled.</li> <li>- 'Disabled' if the user profile is disabled.</li> </ul>
Assigned User Group ID	<ul style="list-style-type: none"> <li>- The IDs of the users groups assigned to the users.</li> </ul>
Transaction Limit (HKD)	<ul style="list-style-type: none"> <li>- Default</li> <li>- the transaction limit applies to DI / ISI / Change Cash Compensation Indicator / Cash Prepayment / Change DI Requirement / Recall Request / ATI / SI functions if there are no specific transaction limits set for the functions.</li> <li>- DI / ISI / Cash Compensation Indicator / Cash Prepayment / DI Requirement / Recall Request / ATI / SI</li> <li>- the transaction limit of the respective function. This transaction limit supersedes the default transaction limit.</li> </ul>

## Section 8.4.6

### User Profile Maintenance Report

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<b><u>Field</u></b>	<b><u>Description/Format</u></b>
Maker	- The user ID of the maker who inputted the user profile maintenance activity.
Checker	- The user ID of the checker who authorised the user profile maintenance activity.
Client IP	- The IP address of the PC on which the user profile maintenance activity was executed.
Timestamp	- The date and time when the user profile maintenance activity was authorised.