

Terminal Operations

FUNCTION NAME: SSA BANK ACCOUNT MAINTENANCE

WHEN TO USE:

- For participant to maintain and enquire the designated bank account of a Stock Segregated Account with Statement Service ("SSA") i.e. stock number starting from 21 onwards.

AVAILABLE MAINTENANCE FUNCTIONS:

- A. Add SSA Bank Account
To create a designated bank account of a SSA
- B. Change SSA Bank Account
To change a designated bank account of a SSA
- C. Delete SSA Bank Account
To delete a designated bank account of a SSA
- D. Enquire SSA Bank Account
To enquire a designated bank account of a SSA

AVAILABLE FUNCTION TIME:

- For Add, Change and Enquire SSA Bank Account, the functions are available between 8:00 a.m. and 7:00 p.m. Monday to Friday.
- For Delete SSA Bank Account, the function is available between 4:15 p.m. and 7:00 p.m. Monday to Friday.

FUNCTIONAL DESCRIPTIONS:

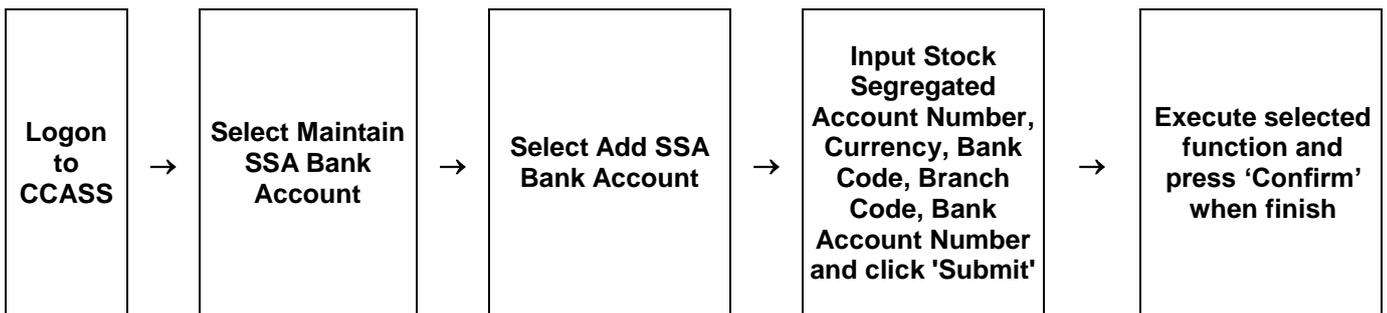
- The SSA designated bank account record maintained by participants is only for DVP STI with the SSA as the stock delivering party. DVP STI is not applicable to STI between SSA with Statement Service despite the set up of the designated bank account.
- The designated bank account input is by currency.
- Once the record of SSA designated bank account is created or changed, it will be authorized. Maker-checker mechanism is not required.
- Addition, deletion of SSA bank account and change of SSA bank account information performed after 3:45 pm will be effective the next day.

Section 8.5.1a

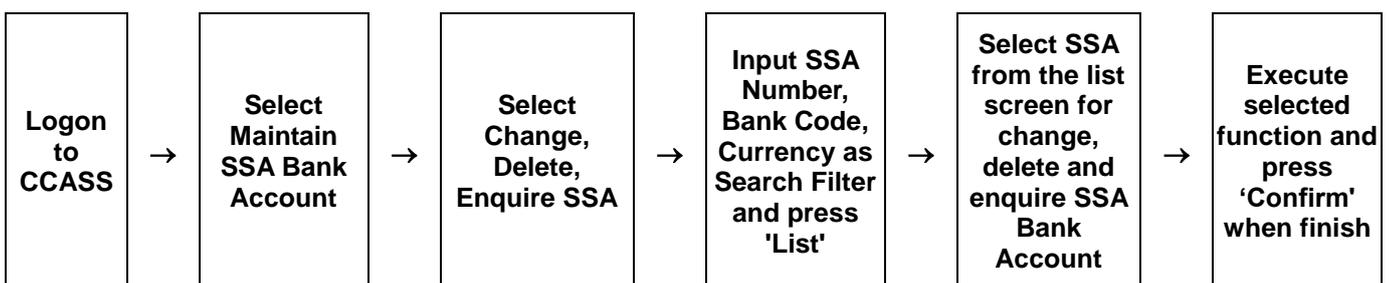
SSA with Statement Service Designated Bank Account Maintenance

- Participants should ensure their SSA Statement Recipients are aware that such bank account will be disclosed to their designated banks in relation to the money settlement of CCASS services before creating or changing the bank accounts for the SSA Statement Recipients.
- SSA Statement Recipients should also be notified that the name of the designated bank account should be the same as the SSA full Name in the SSA master. Also it must be identical with the one registered with their banks.
- Whenever a SSA designated bank account is added, changed or deleted, a notification letter will be sent to the SSA Statement Recipient to alert him/her about the change. In addition, the respective SMS and/or Email alert message will also be sent to those SSAs who subscribe SMS and/or Email alert services.
- After the designated bank account of a SSA is deleted, the accrued payment for the SSA on the deletion day, if any, will still be generated to the designated bank account.
- The above maintenance functions are not applicable to the Stock Segregated Account **without** Statement Service i.e. account number from 3 to 11.
- The access paths for the function are:

A. Attempt by direct input of Stock Segregated Account Number



B. Attempt by selection from list screen



SSA WITH STATEMENT SERVICE DESIGNATED BANK ACCOUNT MAINTENANCE – Sample Screen:

General Enquiries		Maintain SSA Bank Account		Site Map	Broadcast Message	Report Download	B0108901
Enq Settlement Act / Status		Announcement Information		Change Password	Print	Logout	MSBE 03
Mtn Stk Segregated A/C with Strm Sev(SSA)							21-May-07 15:44
Mtn SSA Bank A/C		Enquire SSA Bank Account Detail					
Delivery Instruction (DI)	SSA Account Number :	27 WSL A/C					
A/C Transfer Instr (ATI)	Currency :	HKD					
Stk Seg A/C Transfer Instr (STI)	Bank Code :	004					
Settlement Instruction (SI)	Branch Code :	27					
Hide Unmatched SI Content Cpty List	Bank Account Number :	12345678					
Investor Strm Instr (ISI)	Bank Account Name :	WSL A/C MAX LTD					
ISI (With Affirm) Cpty List							Enquire Another Record Go To List Help
ISI (W/O Affirm) Cpty List							
Payment Instruction							
Cash Prepayment							
Maintain Cash Prepayment Standing Instr							
Stock Borrowing / Lending							
SBL Bulletin Board							
Login Withdrawal Order							
Election Instruction							
Subscription Instruction							
Corp Voting Instruction							
EIPO Application							
Tender Instruction							
Maintain Unit Order - Creation							
Maintain Unit Order - Redemption							
Maintain In Kind Creation / Redemption							

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
SSA Number	<ul style="list-style-type: none"> - input the 2 to 8 digits SSA Account Number whose bank account to be maintained - CCASS overlooks leading zero(s); i.e. '21', '021' and '0021' are treated as the same
Currency	- input the currency code of the SSA bank account
Bank Code	<ul style="list-style-type: none"> - input the bank code of the SSA bank account - must be a CCASS designated bank
Branch Code	- input the branch code of the SSA bank account
Bank Account Number	- input the account number of the SSA bank account
Bank Account Name	<ul style="list-style-type: none"> - default the same as the SSA Full Name provided in SSA master - the account name input must be identical to the bank account name registered with the bank.