

Special Enquire Functions

FUNCTION NAME: ENQUIRE MONEY POSITION / INSTRUCTION

WHEN TO USE:

- To enquire the settled and unsettled money positions due to/from HKSCC (for CNS positions) or other participants (for STI to be settled on DVP basis; SI, ISI and IT positions to be settled on DVP or RDP basis) on **current** day; and the settled money positions with payment instructions generated (i) on previous day and (ii) during intra-day. This function helps participants plan their funding arrangements.

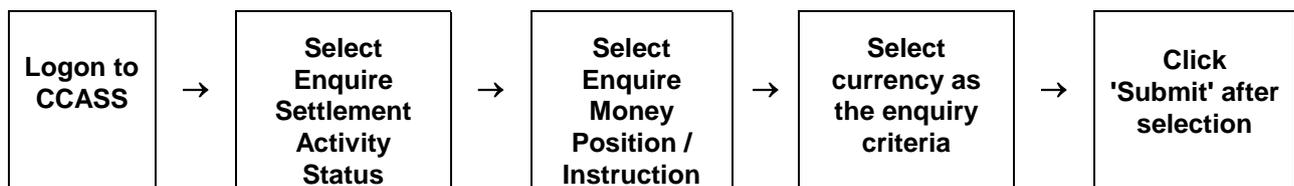
AVAILABLE FUNCTION TIME:

- This enquiry function is available between 8:00 a.m. to 8:00 p.m. on weekdays (i.e. Monday to Friday, except public holiday).

FUNCTIONAL DESCRIPTION:

- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.
- to list the money positions of each currency.
- CNS Money Obligation for Hong Kong market and Mainland A-share market will be shown separately for CNY; DVP SI money position for Hong Kong market and Mainland A-share market will be shown separately for each currency.

The access path for Enquire Money Position is:



Section 9.1.5
Enquire Money Position / Instruction

ENQUIRE MONEY POSITION/ INSTRUCTION DETAIL - Sample Screen

General Properties	Enquire Settlement Activity/Status	Site Menu	Business Message	Account Details	80145001
Enq Settlement Act / Status		Account/Investment Information	Change Password	Days	9496 02
Delivery Instruction (DI)				Legend	07-Jan-14 13:01
A/C Transfer Inst (ATI)					
Settling A/C Transfer Inst (STI)					
Maintain Inter-counter TDC/Inv Inst					
Inst					
Settlement Instruction (SI)					
Hide Unsettled SI Content					
Copy List					
Invoice Set Inst (ISI)					
Payment Instruction					
Cash Prepayment (CP)					
Cash Prepayment (Close Contact)					
Inst Cash Pre-pmt/IF Post Standing Inst					
Maintain Stock Release Request					
Stock Borrowing / Lending					
SI - Broker Board					
Logon Withdrawal Order					
Upload Batch File					
IP SA Delivery Failure Maintenance					
Report Profile Maintenance					
View Circular					
Enquire Money Position / Instruction Detail					
Currency : CNY					
Settled with Payment Generated on Previous Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (Hong Kong Market)		0.00	0.00	0.00	
IT & SI		0.00	0.00	0.00	
ISI		0.00	0.00	0.00	
SI		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
Settled with CHATS Payment Instruction Generated on Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
RDP (SI)		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
Settled with Evening Payment Generated on Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (Hong Kong Market)		0.00	0.00	0.00	
IT & SI		0.00	0.00	0.00	
ISI		0.00	0.00	0.00	
SI		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Settled with Payment Yet to Generate					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (Hong Kong Market)		0.00	0.00	0.00	
IT & SI		0.00	0.00	0.00	
ISI		0.00	0.00	0.00	
SI		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Unsettled Money Position / Instruction for Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (Hong Kong Market)		0.00	0.00	0.00	
IT & SI		0.00	0.00	0.00	
ISI		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
SI		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Money Obligation for A-share Market					
Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (Hong Kong Market)		0.00	0.00	0.00	
IT & SI		0.00	0.00	0.00	
ISI		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
RDP (SI)		0.00	0.00	0.00	
SI		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Money Obligation for A-share Market					
Settled with Payment Instruction Generated on Previous Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
SI (A-share Market) - Dayend		0.00	0.00	0.00	
SI (A-share Market)		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Settled with CHATS Payment Instruction Generated on Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (A-share Market)		0.00	0.00	0.00	
Settled with Payment Generated on Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
SI (A-share Market) - Same Day Settlement (Evening)		0.00	0.00	0.00	
SI (A-share Market) - Same Day Settlement (Night)		0.00	0.00	0.00	
Settled with Payment Yet to Generate					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (A-share Market)		0.00	0.00	0.00	
SI (A-share Market) - Same Day Settlement (Evening)		0.00	0.00	0.00	
SI (A-share Market) - Same Day Settlement (Night)		0.00	0.00	0.00	
SI (A-share Market) - Next Bank Day Settlement (Morning)		0.00	0.00	0.00	
SI (A-share Market)		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	
Unsettled Money Position for Current Day					
		Receivable/Received Amount	Payable/Paid Amount	Net Amount	
CNS (A-share Market)		0.00	0.00	0.00	
SI (A-share Market)		0.00	0.00	0.00	
SI (A-share Market)		0.00	0.00	0.00	
Total :		0.00	0.00	0.00	

General Properties	Current Day	Receivable/Received Amount	Payable/Paid Amount	Net Amount
Enq Settlement Act / Status	CNS (Hong Kong Market)	0.00	0.00	0.00
Delivery Instruction (DI)	IT & SI	0.00	0.00	0.00
A/C Transfer Inst (ATI)	ISI	0.00	0.00	0.00
Settling A/C Transfer Inst (STI)	RDP (SI)	0.00	0.00	0.00
Maintain Inter-counter TDC/Inv Inst	RDP (SI)	0.00	0.00	0.00
Inst	SI	0.00	0.00	0.00
Settlement Instruction (SI)	Total :	0.00	0.00	0.00
Hide Unsettled SI Content				
Copy List				
Invoice Set Inst (ISI)	Money Obligation for A-share Market			
Payment Instruction	Settled with Payment Instruction Generated on Previous Day			
Cash Prepayment (CP)		Receivable/Received Amount	Payable/Paid Amount	Net Amount
Cash Prepayment (Close Contact)	SI (A-share Market) - Dayend	0.00	0.00	0.00
Inst Cash Pre-pmt/IF Post Standing Inst	SI (A-share Market)	0.00	0.00	0.00
Maintain Stock Release Request	Total :	0.00	0.00	0.00
Stock Borrowing / Lending	Settled with CHATS Payment Instruction Generated on Current Day			
SI - Broker Board		Receivable/Received Amount	Payable/Paid Amount	Net Amount
Logon Withdrawal Order	CNS (A-share Market)	0.00	0.00	0.00
Upload Batch File	Settled with Payment Generated on Current Day			
IP SA Delivery Failure Maintenance		Receivable/Received Amount	Payable/Paid Amount	Net Amount
Report Profile Maintenance	SI (A-share Market) - Same Day Settlement (Evening)	0.00	0.00	0.00
View Circular	SI (A-share Market) - Same Day Settlement (Night)	0.00	0.00	0.00
	SI (A-share Market) - Next Bank Day Settlement (Morning)	0.00	0.00	0.00
	SI (A-share Market)	0.00	0.00	0.00
	Total :	0.00	0.00	0.00
	Unsettled Money Position for Current Day			
		Receivable/Received Amount	Payable/Paid Amount	Net Amount
	CNS (A-share Market)	0.00	0.00	0.00
	SI (A-share Market)	0.00	0.00	0.00
	SI (A-share Market)	0.00	0.00	0.00
	Total :	0.00	0.00	0.00

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
CURRENCY	<ul style="list-style-type: none"> - select the currency as the enquiry criterion. - display the currency of the money positions being enquired.
SETTLED WITH PAYMENT GENERATED ON PREVIOUS DAY	<ul style="list-style-type: none"> - display the settled money positions with payment instructions generated on previous business day.
SETTLED WITH INTRA-DAY PAYMENT INSTRUCTIONS GENERATED ON CURRENT DAY	<ul style="list-style-type: none"> - display the settled money positions with intra-day payment instructions generated to the Designated Bank of Participant via HKSCC's banker at around 2:30 p.m. on the same day. - <i>section only available to those Clearing Participants which maintained valid Intra-day Payment Standing Instructions with "CNS Money Obligations" being opted for the selected currency.</i>
SETTLED WITH CHATS PAYMENT INSTRUCTION GENERATED ON CURRENT DAY	<ul style="list-style-type: none"> - display the settled money positions via CHATS payment instructions generated on the same day.
SETTLED WITH EVENING PAYMENT GENERATED ON CURRENT DAY	<ul style="list-style-type: none"> - display the settled money positions with payment instructions generated on the same day via evening interbank money settlement run.
SETTLED WITH PAYMENT YET TO GENERATE	<ul style="list-style-type: none"> - display the settled positions with payment instruction yet to generate.
UNSETTLED MONEY POSITION / INSTRUCTION FOR CURRENT DAY	<ul style="list-style-type: none"> - unsettled due / overdue money positions of current day.
RECEIVABLE / RECEIVED AMOUNT	<ul style="list-style-type: none"> - display the payment amount received or to be received for the selected currency. - should always be a positive value. - include the accrued interest amount of debt securities.
PAYABLE / PAID AMOUNT	<ul style="list-style-type: none"> - display the payment amount paid or to be paid for the selected currency. - should always be a negative value. - include the accrued interest amount of debt securities.

Section 9.1.5
Enquire Money Position / Instruction

<u>Field</u>	<u>Description/Format</u>
NET AMOUNT	<ul style="list-style-type: none"> - display the net receivable/received amount and payable/paid amount of the participant for the selected currency. - NET AMOUNT is equal to RECEIVABLE/RECEIVED AMOUNT minus PAYABLE/ PAID AMOUNT for each row. - should be positive for net receivable/received amount; and negative for net payable/paid amount. - include the accrued interest of debt securities.
CNS	<ul style="list-style-type: none"> - display the money positions of CNS positions settled or to be settled. - CNS money obligations for Hong Kong market are settled via DDIs / DCIs - CNS money obligations for Mainland A-share market are settled via CPIs
IT & SI	<ul style="list-style-type: none"> - display the money positions of IT and SI positions settled or to be settled on a DVP (Delivery versus Payment) basis. <p>Note: For SI in Stock Connect Markets, the money settlement for SI may be classified as:</p> <ol style="list-style-type: none"> 1) Same Day Settlement (Night): for money settlement of the first, second, third and final SI BSR that will be settled in the Night Interbank Bulk Settlement Run if the Designated Banks of both the CCCP and its SI counterparty support the Night Interbank Bulk Settlement Run 2) Next Bank Day Settlement (Morning): for money settlement of the first, second, third and final SI BSR not covered in (1) and will be settled in the Morning Interbank Bulk Settlement Run
ISI	<ul style="list-style-type: none"> - display the money positions of ISI positions settled or to be settled on a DVP (Delivery versus Payment) basis.
STI	<ul style="list-style-type: none"> - display the money positions of STI transactions settled or to be settled on a DVP (Delivery versus Payment) basis.
RDP (ISI)	<ul style="list-style-type: none"> - display the money positions of ISI positions settled or to be settled on an RDP (Realtime Delivery versus Payment) basis.
RDP (SI)	<ul style="list-style-type: none"> - display the money positions for SI positions settled or to be settled on an RDP (Realtime Delivery versus Payment) basis.