Special Enquire Functions

FUNCTION NAME: **ENQUIRE ALLOTMENT RESULT**

WHEN TO USE:

-   To enquire on the allotment results of EIPO announcements.
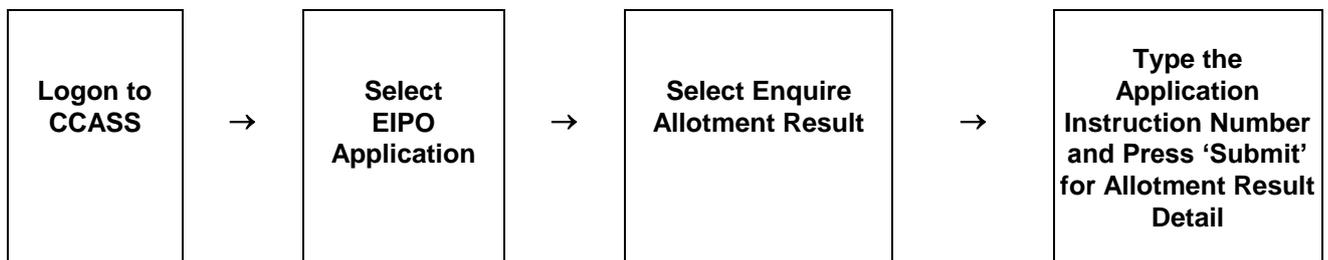
AVAILABLE FUNCTION TIME:

-   The ENQUIRE ALLOTMENT RESULT function must be performed between 8:00 a.m. to 8:30 p.m. Monday to Friday, 8:00 a.m. to 1:00 p.m. on Saturday (except holidays).
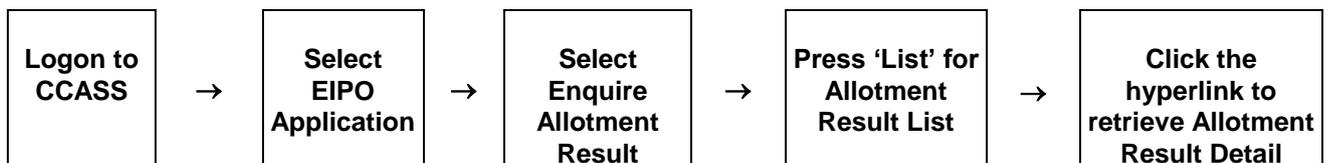

FUNCTIONAL DESCRIPTIONS:

-   this is an enquiry function. It is used to view information. Data cannot be entered or changed with this function.

    The access path for ENQUIRE ALLOTMENT RESULT function is:

    Path A: Enquiry for the details of a specific Allotment Result

Logon to CCASS	→	**Select EIPO Application**	→	**Select Enquire Allotment Result**	→	**Type the Application Instruction Number and Press 'Submit' for Allotment Result Detail**

    Path B: Enquiry for by listing EIPO Allotment Result

Logon to CCASS	→	**Select EIPO Application**	→	**Select Enquire Allotment Result**	→	**Press 'List' for Allotment Result List**	→	**Click the hyperlink to retrieve Allotment Result Detail**

ENQUIRE ALLOTMENT RESULT – Sample Screen:

General Enquiries				B0460201
Eng Settlement Act / Status				QERE 03
Delivery Instruction (DI)	**Maintain EIPO Application**	Site Map	Broadcast Message	Report Download
A/C Transfer Instr (ATI)		Announcement Information	Change Password	Print Logout 03-Apr-02 18:23

Enquire EIPO Allotment Result Detail	
Application Instruction Number :	204000136
Stock :	24601 STK 24601-EIPO
ISIN :	HK-ISIN24601
Price :	1.70000 HKD
Second Price :	1.50000 HKD
Application Quantity :	20,000	Application Amount :	34,344.08 HKD
Successful Quantity :	20,000		
Unsuccessful Quantity :	0	Refund Amount :	4,040.48 HKD
Allotment Result Announcement Date :	24-DEC-01		
Share Posting Date :	25-DEC-01		
Refund Date :	25-DEC-01		
Second Refund Amount :	0.00 HKD		
Second Refund Date :	25-DEC-01		
Beneficial Owner :	B04602-A/C001		
HKID/BRN/Passport :	B4602-001		
Unsuccessful Reason :			

Enquire Another Instruction   Go To List   Help

Left menu items:
- General Enquiries
- Eng Settlement Act / Status
- Delivery Instruction (DI)
- A/C Transfer Instr (ATI)
- Settlement Instruction (SI)
- Investor Stm Instr (ISI)
- ISI Counterparty List
- Payment Instruction
- Cash Prepayment
- Stock Borrowing / Lending
- SBL Bulletin Board
- General Stock Collateral
- Login Withdrawal Order
- Election Instruction
- Subscription Instruction
- Corp Voting Instruction
- EIPO Application
- Tender Instruction
- Unit Order
- Corp Communication
- Upload Batch File
- Report Profile Maintenance
- View Circular

DESCRIPTION OF FIELDS:

Field	*Description/Format*
APPLICATION INSTRUCTION NUMBER	- display the application instruction number of which the allotment result of an EIPO application is being enquired.
STOCK	- display the stock code and stock short name of the stock of which the allotment result of an EIPO application is being enquired.
ISIN	- display the International Securities Identification Number of the stock of which the allotment result of an EIPO application is being enquired.
PRICE	- display the offering price per share of the EIPO.
SECOND PRICE	- display the second price per share of the EIPO. - display '0.00000' if there is no second refund or if current day is earlier than the Second Price Announcement Date.
APPLICATION QUANTITY	- display the quantity of shares being applied for with the application instruction.
SUCCESSFUL QUANTITY	- display the quantity of shares being successfully allotted to the application.

Field	Description/Format
UNSUCCESSFUL QUANTITY	- display the quantity of shares which failed to be allotted to the application.
ALLOTMENT RESULT ANNOUNCEMENT DATE	- display the allotment result announcement date of the EIPO.
SHARE POSTING DATE	- display the share posting date of the EIPO.
REFUND DATE	- display the refund date of the EIPO (for refund of application money).
SECOND REFUND AMOUNT	- display the total amount of money to be refunded to the application due to share price adjustment if the amount is refunded separately.
SECOND REFUND DATE	- display the second refund date of the EIPO (for refund of application money after price adjustment).
BENEFICIAL OWNER	- display the name of the beneficial owner of the application.
HKID/BRN/PASSPORT	- display the HKID number, Hong Kong Business Registration Number or the Passport Number of the beneficial owner.
UNSUCCESSFUL REASON	- displays the reason if application is failed to be allotted.
APPLICATION AMOUNT	- display the total application money and charges of the application instruction.
REFUND AMOUNT	- display the total amount of money to be refunded to the application due to those unsuccessful quantity of shares. If the issue price has been adjusted and there is no second refund, also include refund due to share price adjustment.