

Special Enquire Functions

FUNCTION NAME: ENQUIRE AUTHORISED TENDER ANNOUNCEMENT

WHEN TO USE:

- To enquire the details of authorised tender announcements.

AVAILABLE FUNCTION TIME:

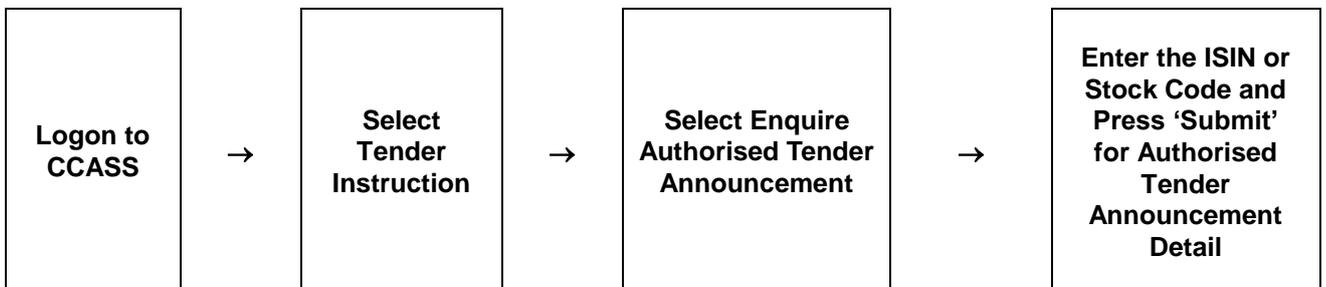
- The ENQUIRE AUTHORISED TENDER ANNOUNCEMENT function must be performed between 8:00 a.m. and 8:00 p.m. Monday to Friday, 8:00 a.m. to 1:00 p.m. on Saturday (except holidays).

FUNCTIONAL DESCRIPTIONS:

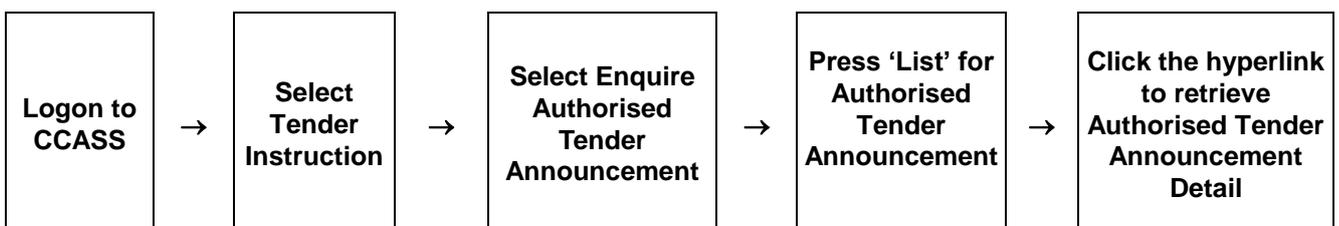
- This is an enquiry function. It is used to view information. Data cannot be entered or changed with this function.

The access path for ENQUIRE AUTHORISED TENDER ANNOUNCEMENT function is:

Path A: Enquiry for the details of a specific Tender Announcement



Path B: Enquiry for listing Authorised Tender Announcement



Section 9.2.7

Enquire Authorised Tender Announcement

ENQUIRE AUTHORISED TENDER ANNOUNCEMENT – Sample Screen:

<ul style="list-style-type: none"> General Enquiries Enq Settlement Act / Status Mtn Stk Segregated A/C with Stm Sev(SSA) Mtn SSA Bank A/C Delivery Instruction (DI) A/C Transfer Instr (ATI) Stk Seg A/C Transfer Instr (STI) Settlement Instruction (SI) Hide Unmatched SI Content Cpty List Investor Stm Instr (ISI) ISI (With Affirm) Cpty List ISI (W/O Affirm) Cpty List Payment Instruction Cash Prepayment Maintain Cash Prepayment Standing Instr Maintain Cash Prepmnt Received for IPI Stock Borrowing / Lending SBL Bulletin Board Login Withdrawal Order Election Instruction Subscription Instruction Corp Voting Instruction Maintain Early-Ended Voting EIPO Application Maintain Broker Number/Name Tender Instruction Maintain Unit Order - Creation 	B0200101 HAAE 01 18-Nov-10 16:51	
	<div style="display: flex; justify-content: space-between;"> Maintain Tender Instruction Site Map Broadcast Message Report Download </div> <div style="display: flex; justify-content: space-between;"> Announcement Information Change Password Print Logout </div>	
	Enquire Authorised Tender Announcement Prompt	
	ISIN or Stock Code :	<input type="text"/> Enquire Stock List <input type="button" value="Submit"/>
		<input type="button" value="List"/> <input type="button" value="Refresh"/> Help

<ul style="list-style-type: none"> General Enquiries Enq Settlement Act / Status Mtn Stk Segregated A/C with Stm Sev(SSA) Mtn SSA Bank A/C Delivery Instruction (DI) A/C Transfer Instr (ATI) Stk Seg A/C Transfer Instr (STI) Settlement Instruction (SI) Hide Unmatched SI Content Cpty List Investor Stm Instr (ISI) ISI (With Affirm) Cpty List ISI (W/O Affirm) Cpty List Payment Instruction Cash Prepayment Maintain Cash Prepayment Standing Instr Maintain Cash Prepmnt Received for IPI Stock Borrowing / Lending SBL Bulletin Board Login Withdrawal Order Election Instruction Subscription Instruction Corp Voting Instruction Maintain Early-Ended Voting EIPO Application Maintain Broker Number/Name Tender Instruction Maintain Unit Order - Creation 	B0200101 HAAE 03 18-Nov-10 16:31	
	<div style="display: flex; justify-content: space-between;"> Maintain Tender Instruction Site Map Broadcast Message Report Download </div> <div style="display: flex; justify-content: space-between;"> Announcement Information Change Password Print Logout </div>	
	Enquire Authorised Tender Announcement Detail	
	Stock :	3901 CMU-3901
	ISIN :	HK0000066814
	CMU Issue Number :	154FSDG
	Stock Full Name :	HKMA-2 YRS 0.36% EXCHANGE FUND NOTES DUE 22.11.2012
	Board Lot :	500
	Bid Type :	NON COMPETITIVE
	Initial Price Bid :	102.00 %
Application Money Currency :	HKD	
Brokerage Rate :	0.10000 %	
Rounding Method :	ROUND TO 2 DECIMAL PLACES	
Transaction Levy Rate :	0.00000 %	
Trading Fee Rate :	0.00000 %	
Application Start Date and Time :	22-NOV-10 09:00	
Application End Date and Time :	26-NOV-10 15:45	
CMU Tender Date :	29-NOV-10	
Allotment Date :	30-NOV-10	
Tender Result Date :	29-NOV-10	
Refund / Recollection Date :	29-NOV-10	
Registrar Code :	HKMA	
	Enquire Another Record Go To List Help	

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
STOCK	- display the stock code and stock short name of the tender announcement being enquired.
ISIN	- display the International Securities Identification Number of the tender announcement being enquired.
CMU ISSUE NUMBER	- display the CMU Issue Number of the corresponding stock code.
STOCK FULL NAME	- display the full name of the corresponding stock.
BOARD LOT	- display the board lot size of the tender announcement being enquired.
BID TYPE	- display the bid type of the corresponding tender : Competitive – Competitive Bid; Non-competitive – Non-competitive Bid.
INITIAL PRICE BID	- will only be displayed if the tender is non-competitive; - display the initial price bid announced by the Issuer or its Agent for the non-competitive tender in percentage.
APPLICATION MONEY CURRENCY	- display the currency code of the application money
BROKERAGE RATE	- display the brokerage rate in percentage.
ROUNDING METHOD	- display the rounding method adopted for the tender.
TRANS LEVY RATE	- display the transaction levy rate in percentage.
TRADING FEE RATE	- display the trading fee rate in percentage.
APPLICATION START DATE AND TIME	- display the application start date and time of the tender announcement.
APPLICATION END DATE AND TIME	- display the application end date and end time of the tender announcement, and on which tender moneys will be collected. - Usually at 15:45 on Monday to Friday.
CMU TENDER DATE	- display the tender date of the tender announcement, and on which authorised tender instructions will be sent to the Issuer or its Agent.
ALLOTMENT DATE	- display the allotment date of the tender announcement, and on which the allotment are credited to the participants' stock accounts.

Section 9.2.7Enquire Authorised Tender Announcement

<u>Field</u>	<u>Description/Format</u>
TENDER RESULT DATE	- display the tender result announcement date of the tender.
REFUND / RECOLLECTION DATE	- display the refund date of the tender announcement (for refund of tender moneys i) for those unsuccessful competitive tender instructions, either in whole or in part ii) for those successful non-competitive tender instructions owing to the “Final Accepted Price Bid” is lower than the “Initial Price Bid”); or - display the recollection date of the tender announcement (for recollection of additional tender moneys for successful non-competitive tender instructions owing to the “Final Accepted Price Bid” is higher than the “Initial Price Bid”).
REGISTRAR CODE	- display the registrar code of the tender.
REFERENCE EXCHANGE RATE (PRINCIPAL AMOUNT/PAR CURRENCY AGAINST APPLICATION MONEY CURRENCY)	- display the reference exchange rate of principal amount in par currency against application money currency