

General Enquire Services

FUNCTION NAME: ENQUIRE PARTICIPANT LIST

WHEN TO USE:

- To enquire CCASS Participant IDs and short names (other than Investor Participants) of all available CCASS Participants. The function is also available at those maintenance functions which require input of counterparty's Participant ID, e.g. SI Maintenance.

AVAILABLE FUNCTION TIME:

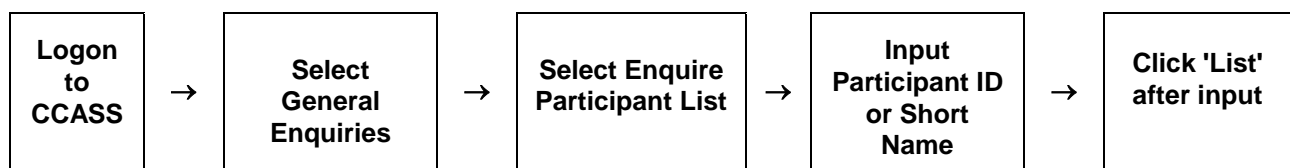
- This enquiry function is available between 8:00 a.m. to 8:00 p.m. Monday to Friday, 8:00 a.m. to 1:00 p.m. on Saturday (except public holiday).

FUNCTIONAL DESCRIPTION:

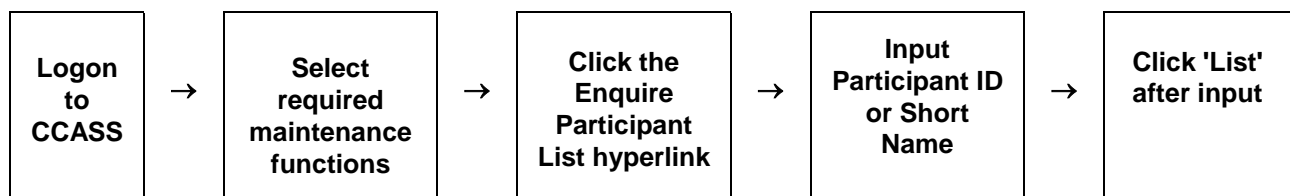
- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.
- By specifying the Participant ID or Short Name, the participant information can be listed either by **Participant ID** (ascending order) or by **short name** (alphabetical order).

The access paths for Enquire Participant List are:

A. Enquire through Function Menu:



B. Enquire through maintenance functions:



Section 9.3.1
Enquire Participant List

ENQUIRE PARTICIPANT LIST - Sample Screen

General Enquiries		Site Map	Broadcast Message	Report Download	B0050301
Eng Settlement Act / Status		Announcement Information	Change Password	Print	MPLE 02
Delivery Instruction (DI)				Logout	24-Jun-04 09:18
Enquire Participant List					
Participant ID	Short Name	Bank Identifier Code			
B01077	TESTER B01077				
B01078	TESTER B01078				
B01080	TESTER B01080				
B01086	SUN HUNG KAI	YHB01086			
B01088	IWAC SEC HK	YHB01088			
B01089	TESTER B01089				
B01103	TESTER B01103				
B01108	TESTER B01108				
B01110	TESTER B01110	CHASHKAL			
B01115	TESTER B01115				
B01116	TESTER B01116				
B01118	TESTER B01118				
B01119	TESTER B01119				
B01121	TESTER B01121	SGSEHKHH			
B01123	TESTER B01123				
B01124	TESTER B01124				
B01125	TESTER B01125				
B01127	TESTER B01127				
B01129	TESTER B01129				
B01130	BOCI SEC LTD	YHB01130			
B01133	TESTER B01133				
B01135	TESTER B01135				

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
PARTICIPANT ID	<ul style="list-style-type: none"> - type the Participant ID which is to be the starting position for a list sorted by Participant ID. - a Participant ID is a 6-character number beginning with a letter. 'A' for Clearing Agency Participants; 'B' for Participants which are also Exchange Participants of SEHK; 'C' for Custodian Participants / Clearing Participants which are not Exchange Participants of SEHK, e.g. registered institutions; 'H' for HKSCC; 'L' for Stock Lender Participants; 'P' for Stock Pledgee Participants. - the starting position must be a character only or a character followed by a number of up to five digits. For example, type 'B' list all Participant IDs from B00000 on, type 'B1' list all Participant IDs from B10000 on, type 'B12' list all Participant IDs from B12000 on, type 'B12345' list all Participant IDs from B12345 on, and so on. - display the Participant ID of the Participant.

<u>Field</u>	<u>Description/Format</u>
SHORT NAME	<ul style="list-style-type: none">- type the starting position for a list sorted alphabetically by Participant's short name.- the starting position can be any string of characters up to 15 letters (A to Z).- lower case and upper case letters are treated equally (e.g. ABC is the same as aBc).- display the short name of the Participant.
Bank Identifier Code	<ul style="list-style-type: none">- display the Bank Identifier Code (BIC) of the Participant, if available