

Special Enquire Functions

FUNCTION NAME: ENQUIRE NCP/GCP MAPPING

WHEN TO USE:

- For General Clearing Participants (GCPs) to enquire the details of Non-Clearing Participant (NCP) /GCP mapping with their underlying NCPs which are Exchange Participants of SEHK.

AVAILABLE FUNCTION TIME:

- This enquiry function is available between 8:00 a.m. to 8:00 p.m. Monday to Friday (except public holidays)..

FUNCTIONAL DESCRIPTION:

- This is an enquiry function for GCPs. It is used to view information only. Data cannot be entered or changed with this function. An empty list will be displayed for non-GCP.

The access paths for Enquire NCP/GCP Mapping are:

Logon to CCASS	→	Select General Enquiries	→	Select Enquire NCP/GCP Mapping
---------------------------	---	-------------------------------------	---	---

Section 9.3.10
Enquire TPC Mapping

ENQUIRE NCP/GCP MAPPING - Sample Screens

The screenshot displays the 'Enquire NCP/GCP Mapping' interface. On the left is a vertical menu with options like 'General Enquiries', 'Enq Settlement Act / Status', etc. The main area shows a table with the following data:

NCP Firm ID	NCP Short Name	Mapping Start Date	Mapping End Date
02801	SMARTN	27-JUL-07	31-AUG-07

Below the table, there is a red text message: '-1001 BOTTOM OF LIST'. At the top right, there are user identifiers: B01438, TESTER B01438, H0000129, and MTCE 01, along with a timestamp: 11-Sep-07 10:49. Utility links include Site Map, Broadcast Message, Report Download, Part List, Stock List, Announcement Information, Strm Position, Change Participant, Change Password, Print, and Logout.

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
NCP FIRM ID	- display the Firm ID of the underlying NCP. (For China Connect Markets, Firm ID of the NCP will be assigned with a prefix "8" if a different GCP is used)
NCP SHORT NAME	- display the short name of the underlying NCP.
MAPPING START DATE	- display the commencement date of the NCP/GCP relationship.
MAPPING END DATE	- display the end date of the NCP/GCP relationship.