

## General Enquire Services

**FUNCTION NAME: ENQUIRE STOCK LIST**

**WHEN TO USE:**

- To enquire CCASS stock codes, short names, ISIN, CMU Issue Number and related information of all available CCASS Stocks. The function is also available at those maintenance and enquiry functions which require input of stock codes, e.g. SI Maintenance.

**AVAILABLE FUNCTION TIME:**

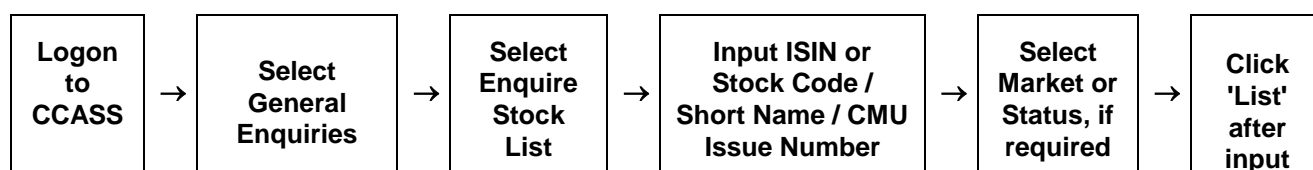
- This enquiry function is available between 8:00 a.m. to 8:00 p.m. Monday to Friday, 8:00 a.m. to 1:00 p.m. on Saturday (except public holiday).

**FUNCTIONAL DESCRIPTION:**

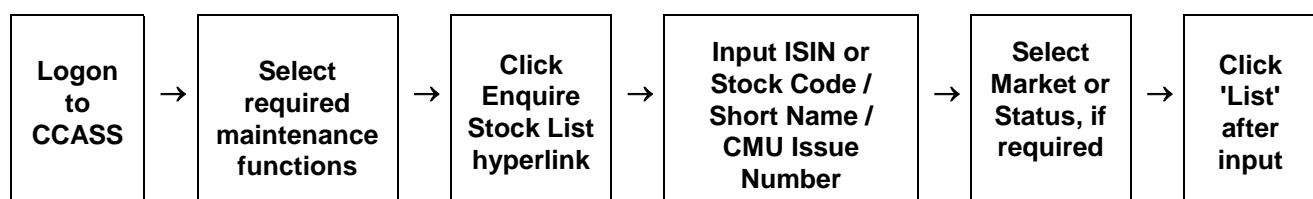
- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.
- By specifying the Stock Code, ISIN, Short Name, CMU Issue Number, Market or Status; the stock information can be listed by **Stock Code** (numerical order), by **ISIN** (alphanumerical order), by **Short Name** (alphabetical order) or by **CMU Issue Number** (alphanumerical order).
- By selecting appropriate option from the pull down menu, the list of stocks can be filtered by **Market** or by **Status**.

The access paths for Enquire Stock List are:

**A. Enquire through Function Menu:**



**B. Enquire through maintenance functions:**



**Section 9.3.2**  
**Enquire Stock List**

**ENQUIRE STOCK LIST - Sample Screen**

| General Enquiries                |                 | General Enquiries        |                  | Site Map             | Broadcast Message       | Report Download                      | B0007702                           |                                      |
|----------------------------------|-----------------|--------------------------|------------------|----------------------|-------------------------|--------------------------------------|------------------------------------|--------------------------------------|
| Enquire Announcement Information |                 | Announcement Information |                  | Change Password      | Print                   | Logout                               | MSLE 02                            |                                      |
| Enquire Participant List         |                 | Enquire Stock List       |                  |                      |                         |                                      |                                    | 23-Aug-04 14:22                      |
| A/C Tr Settler                   |                 | Market : ALL             |                  |                      |                         |                                      |                                    |                                      |
| Stock                            | Short Name      | ISIN                     | CMU Issue Number | Eligible for Deposit | Eligible for Withdrawal | Eligible for Clearing and Settlement | Settlement Period (Normal Session) | Settlement Period (Extended Session) |
| 1                                | CHEUNG KONG     | HK0001000014             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 2                                | CLP HOLDINGS    | HK0002007356             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 3                                | HK & CHINA GAS  | HK0003000038             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 4                                | WHARF HOLDINGS  | HK0004000045             |                  | NO                   | NO                      | YES                                  | T+2                                | N/A                                  |
| 5                                | HSBC HOLDINGS   | GB0005405286             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 6                                | HK ELECTRIC     | HK0006000050             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 7                                | KARL THOMSON    | BMG5215E1021             |                  | NO                   | YES                     | YES                                  | T+2                                | N/A                                  |
| 8                                | PCCW            | HK0008009653             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 9                                | MANDARIN ENT    | BMG5784P1086             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 10                               | HANG LUNG GROUP | HK0010000088             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 11                               | HANG SENG BANK  | HK0011000095             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 12                               | HENDERSON LAND  | HK0012000102             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 13                               | HUTCHISON       | HK0013000119             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 14                               | HYSAN DEV       | HK0014000126             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 15                               | VANTAGE INT'L   | BMG932121012             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 16                               | SHK PPT         | HK0016000132             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 17                               | NEW WORLD DEV   | HK0017000149             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |
| 18                               | ORIENTAL PRESS  | HK0018000155             |                  | YES                  | YES                     | YES                                  | T+2                                | N/A                                  |

**DESCRIPTION OF FIELDS:**

| <b><u>Field</u></b> | <b><u>Description/Format</u></b>   |
|---------------------|--|
| ISIN OR STOCK CODE  | <ul style="list-style-type: none"> <li>- input the ISIN / stock code as the starting point for listing the stock information by ISIN (in alphanumerical order) or stock code (in numerical order).</li> <li>- CCASS overlooks leading zero(s); i.e. '17', '017', '0017' and '00017' are treated as the same.</li> <li>- optional field.</li> </ul>   |
| SHORT NAME          | <ul style="list-style-type: none"> <li>- type the stock short name, which is the starting point for listing the stock information by stock short name in alphabetical order.</li> <li>- the starting point can be any string of characters up to 15 letters (A to Z).</li> <li>- lower case and upper case letters are treated equally (e.g. ABC is the same as aBc).</li> <li>- display the short name of the stock.</li> <li>- optional field.</li> </ul>  |
| CMU ISSUE NUMBER    | <ul style="list-style-type: none"> <li>- type the CMU Issue Number., which is the starting point for listing the stock information sorted by CMU Issue No. in alphanumerical order.</li> <li>- the CMU Issue Number is an alphanumeric code with a maximum of 11 characters assigned by Central Moneymarkets Unit (CMU) of Hong Kong Monetary Authority.</li> <li>- display the CMU Issue Number of the stock, if any.</li> <li>- optional field.</li> </ul> |

| <u><b>Field</b></u>                  | <u><b>Description/Format</b></u>  |
|--------------------------------------|---|
| MARKET                               | <ul style="list-style-type: none"> <li>- select the market code assigned by HKSCC via pull down menu to list the all stocks under that particular market.</li> <li>- display the market short name of the market being enquired.</li> </ul>   |
| STATUS                               | <ul style="list-style-type: none"> <li>- select one of the following status from the pull down menu to filter the stock list:<br/>ALL<br/>Eligible for Deposit, Withdrawal, Clearing and Settlement in CCASS<br/>Eligible only for Withdrawal in CCASS<br/>Non-Eligible.</li> </ul> |
| STOCK                                | <ul style="list-style-type: none"> <li>- display the stock code.</li> </ul>   |
| SHORT NAME                           | <ul style="list-style-type: none"> <li>- display the stock short name.</li> </ul>   |
| ISIN                                 | <ul style="list-style-type: none"> <li>- display the International Securities Identification Number of the stock being enquired.</li> </ul>   |
| ELIGIBLE FOR DEPOSIT                 | <ul style="list-style-type: none"> <li>- indicate whether the stock is eligible for deposit in CCASS.</li> <li>- display 'Yes' for eligible and 'No' for non-eligible.</li> </ul>   |
| ELIGIBLE FOR WITHDRAWAL              | <ul style="list-style-type: none"> <li>- indicate whether the stock is eligible for withdrawal in CCASS.</li> <li>- display 'Yes' for eligible and 'No' for non-eligible.</li> </ul>  |
| ELIGIBLE FOR CLEARING AND SETTLEMENT | <ul style="list-style-type: none"> <li>- indicate whether the stock is eligible for clearing and settlement in CCASS.</li> <li>- display 'Yes' for eligible and 'No' for non-eligible.</li> </ul>   |
| SETTLEMENT PERIOD (NORMAL SESSION)   | <ul style="list-style-type: none"> <li>- indicate settlement period for the stock under CCASS settlement cycle in normal session.</li> </ul>  |
| SETTLEMENT PERIOD (EXTENDED SESSION) | <p><b><i>Reserve for future use : only applicable to the extended settlement scenarios</i></b></p> <ul style="list-style-type: none"> <li>- indicate settlement period for the stock under CCASS settlement cycle in extended session.</li> </ul>                                   |