

General Enquire Services

FUNCTION NAME: ENQUIRE STOCK BORROWING LIST

WHEN TO USE:

- To enquire the list of stock borrowing requests input by all participants in the Stock Borrowing and Lending ("SBL") Bulletin Board in CCASS within current day.

AVAILABLE FUNCTION TIME:

- This enquiry function is available between 9:00 a.m. to 7:00 p.m. on weekdays (i.e. Monday to Friday, except public holiday).

FUNCTIONAL DESCRIPTION:

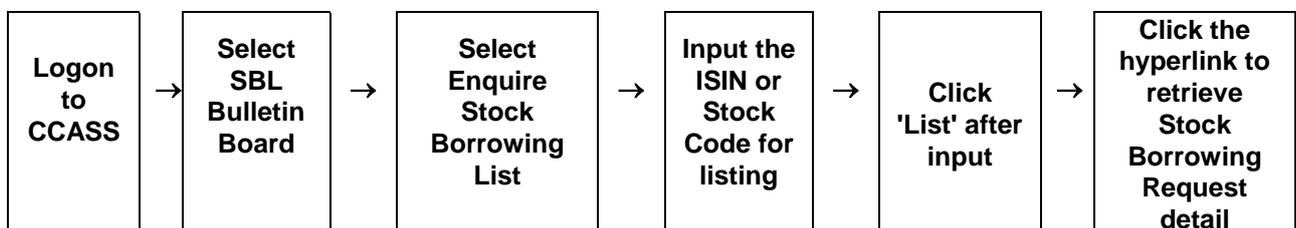
- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.

The access paths for Enquire Stock Borrowing List are:

A. Enquire by Borrow Request Number:



B. Enquire by listing with ISIN or Stock as the selection criteria:



Section 9.3.5
Enquire Stock Borrowing List

ENQUIRE STOCK BORROWING LIST - Sample Screen

<ul style="list-style-type: none"> General Enquiries Enq Settlement Act / Status Delivery Instruction (DI) A/C Transfer Instr (AT) Settlement Instruction (SI) Investor Strm Instr (ISI) ISI Counterparty List Payment Instruction Cash Prepayment Stock Borrowing / Lending SBL Bulletin Board General Stock Collateral Login Withdrawal Order Election Instruction Subscription Instruction Corp Voting Instruction EIPO Application Tender Instruction Unit Order Corp Communication Upload Batch File Report Profile Maintenance View Circular 	<p>SBL Bulletin Board Site Map Broadcast Message Report Download GEBE 03</p> <p>Announcement Information Change Password Print Logout 07-May-02 18:11</p> <p>Enquire Stock Borrowing List Detail</p> <p>Borrow Request Number : BR00000499</p> <p>Stock : 2 PR TST STK 2</p> <p>ISIN :</p> <p>Number of Shares : 1,000</p> <p>SBL Settlement Date : 21-JAN-02</p> <p>Type of Collateral : SECURITIES</p> <p>Partial Loan : NO</p> <p>Contact Person 1 : HANCY LI Telephone 1 : 12345678</p> <p>Contact Person 2 :</p> <p>Telephone 2 :</p> <p><small>This information is for reference only. Any queries should be referred to the borrower.</small></p> <p style="text-align: right;">Enquire Another Request Go To List Help</p>
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DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
BORROW REQUEST NUMBER	<ul style="list-style-type: none"> - type the unique stock borrowing request number of the stock borrowing request to be enquired. (the number can be obtained from ENQUIRE STOCK BORROW REQUEST MAINTENANCE functions). - stock borrowing request number is a 10-character code beginning with the characters 'BR'. The system automatically displays the 'BR' prefix. Type the remaining eight digits. e.g. for stock borrowing request number 'BR39991234', enter '39991234' only. - leading zeros do not need to be entered. e.g. '00001234' can be entered as '1234'. - display the unique borrowing request reference number assigned by CCASS.
ISIN OR STOCK CODE	<ul style="list-style-type: none"> - input the ISIN / stock code for display of the stock borrowing request for a particular stock. - CCASS overlooks leading zero(s); i.e. '17', '017', '0017' and '00017' are treated as the same. (input of ISIN to be effective upon 2nd rollout stage of CCASS/3) - can obtain the ISIN or stock code from the hyperlink of 'Enquire Stock List'. - leave blank if not used as a selection criterion.
STOCK	<ul style="list-style-type: none"> - display the stock code of the stock borrowing request.

<u>Field</u>	<u>Description/Format</u>
ISIN	<i>to be effective upon 2nd rollout stage of CCASS/3</i> - display the International Securities Identification Number input for the stock borrowing request.
NUMBER OF SHARES	- display the number of shares requested for the stock borrowing request.
SBL SETTLEMENT DATE	- display the desired settlement date of the borrowing request.
TYPE OF COLLATERAL	- display the type of collateral to be offered for the borrowing request.
PARTIAL LOAN	- display 'Yes' to indicate that partial loan is acceptable. - display 'No' when partial loan will not be accepted.
CONTACT PERSON 1	- display the name of the first contact person of the borrowing participant.
TELEPHONE 1	- display the telephone number of the first contact person of the borrowing participant.
CONTACT PERSON 2	- display the name of the second contact person of the borrowing participant.
TELEPHONE 2	- display the telephone number of the second contact person of the borrowing participant.