

## General Enquire Services

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**FUNCTION NAME: ENQUIRE STOCK BORROWING INFORMATION**

**WHEN TO USE:**

- To enquire the stock borrowing information for CCASS eligible stocks. The function let participants have a general summary of stock borrowing or lending activities done in CCASS.

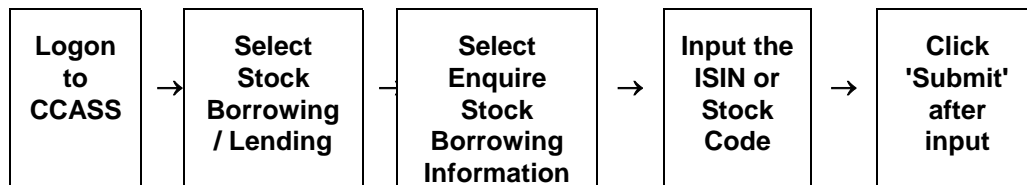
**AVAILABLE FUNCTION TIME:**

- This enquiry function is available between 9:00 a.m. to 7:00 p.m. on weekdays (i.e. Monday to Friday, except public holiday).

**FUNCTIONAL DESCRIPTION:**

- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.

The access path for Enquire Stock Borrowing Information is:



**Section 9.3.7**  
**Enquire Stock Borrowing Information**

**ENQUIRE STOCK BORROWING INFORMATION - Sample Screen**

General Enquiries	<b>Stock Borrowing / Lending</b>	<a href="#">Site Map</a>	<a href="#">Broadcast Message</a>	<a href="#">Report Download</a>	YSQE 02
Enq Settlement Act / Status		<a href="#">Announcement Information</a>	<a href="#">Change Password</a>	<a href="#">Print</a> <a href="#">Logout</a>	24-Mar-02 10:19
Delivery Instruction (DI)		<b>Enquire Stock Borrowing Information Detail</b>			
W/C Transfer Instr (ATI)		<b>Stock :</b>	1 PR TST STK 1		
Settlement Instruction (SI)		<b>ISIN :</b>			
Investor SIm Instr (ISI)		<b>Market</b>			
ISI Counterparty List		<b>New Borrowings (Current Day) :</b>	0		
Payment Instruction		<b>Total Unreturned Borrowings :</b>	0		
Cash Prepayment		<b>Total Lendable Position :</b>	0		
Stock Borrowing / Lending		<a href="#">Enquire Another Record</a> <a href="#">Help</a>			
SBL Bulletin Board					
General Stock Collateral					
Login Withdrawal Order					
Election Instruction					
Subscription Instruction					
Corp Voting Instruction					
EIPO Application					
Tender Instruction					
Unit Order					
Corp Communication					
Upload Batch File					
Report Profile Maintenance					
View Circular					

**DESCRIPTION OF FIELDS:**

<u>Field</u>	<u>Description/Format</u>
ISIN OR STOCK CODE	<ul style="list-style-type: none"> <li>- input the ISIN / stock code for listing of stock borrowing information for a particular stock.</li> <li>- CCASS overlooks leading zero(s); i.e. '17', '017', '0017' and '00017' are treated as the same.</li> </ul> <p><b><i>(input of ISIN to be effective upon 2<sup>nd</sup> rollout stage of CCASS/3)</i></b></p> <ul style="list-style-type: none"> <li>- can obtain the ISIN or stock code from the hyperlink of 'Enquire Stock List'.</li> <li>- leave blank if not used as a selection criterion.</li> </ul>
STOCK	<ul style="list-style-type: none"> <li>- display the stock code and stock name of the stock borrowing activities.</li> </ul>
ISIN	<p><b><i>to be effective upon 2<sup>nd</sup> rollout stage of CCASS/3</i></b></p> <ul style="list-style-type: none"> <li>- display the International Securities Identification Number input for the stock borrowing activities.</li> </ul>
MARKET	<p><b><i>to be effective upon 2<sup>nd</sup> rollout stage of CCASS/3</i></b></p> <ul style="list-style-type: none"> <li>- select the market code assigned by HKSCC via pull down menu to list the stock borrowing information of all stocks under that particular market by stock.</li> <li>- display the market code and short name of the market being enquired.</li> </ul>
NEW BORROWINGS (CURRENT DAY)	<ul style="list-style-type: none"> <li>- display the total number of shares of the new borrowing transacted in the current day as at the time of enquiry.</li> </ul>

<b><u>Field</u></b>	<b><u>Description/Format</u></b>
TOTAL UNRETURNED BORROWINGS	- display the total number of shares involved in all of the outstanding borrowings as at time of enquiry.
TOTAL LENDABLE POSITION	- display the total number of shares lendable (i.e. in all CCASS Participants' Stock Lending Accounts) as at time of enquiry.