
Special Enquire Functions

FUNCTION NAME: ENQUIRE INTEREST CALCULATION RESULT / ACCOMMODATION FEE

WHEN TO USE:

- To enquire on the calculated interest of cash collateral kept or accommodation fee of non-cash collateral used in any collateral account upto the past 30 calendar days.

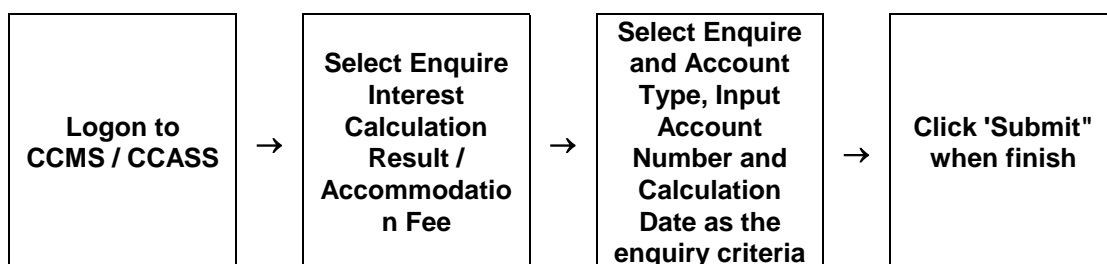
AVAILABLE FUNCTION TIME:

- This enquiry function is available from 9:00 a.m. till CCMS service close, Monday to Saturday (except holidays).

FUNCTIONAL DESCRIPTION:

- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.
- The function allows enquiry on interest calculation (excluding interest calculation on balances of Mainland Settlement Deposit and Mainland Security Deposit) or accommodation fee information of a particular collateral account on the selected day.

The access path for Enquire Interest Calculation Result / Accommodation Fee is:



ENQUIRE INTEREST CALCULATION RESULT - Sample Screens

<ul style="list-style-type: none"> Collateral Maintain Rejected DDI (CHATS) Maintain Rejected DDI (SWIFT) Enquire Collateral Account Movement Request Interest Calculation Enquire Interest Rate Request Ad-hoc Data Download Request Cover Call Request Sensitivity Analysis Maintain CAR Threshold Parameters Maintain CRMS Participant Remarks Mtn CRMS Cash Market Part Filter List Maintain CRMS Participant Group Review Maintain CRMS Participant Relationship Maintain CRMS CH Interface Files 	<div style="text-align: right;"> H00001 HKSCC H0000109 KMZE 02 25-Jul-03 09:53 </div> <p>Enquire Interest Calculation Result</p> <p style="text-align: right;"> Site Map Broadcast Message Report Download Part List Stock List Announcement Information Stm Position Change Participant Change Password Print Logout </p> <p>Enquire Interest Calculation Result List</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Firm ID :</td> <td>BF01301</td> </tr> <tr> <td>Participant ID :</td> <td>B01301</td> </tr> <tr> <td>Account Type :</td> <td>HOUSE</td> </tr> <tr> <td>Account Number :</td> <td>0001</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th>Calculation Date</th> <th>Usage</th> <th>Currency</th> <th>Bal Snapshot Time</th> <th>Total Amt</th> <th>FP/CH Retention Rate (%)</th> <th>Overriding Retention Rate Ind</th> <th>Overriding Retention Rate (%)</th> <th>Interest Rate (%)</th> <th>Total Interest Amt</th> </tr> </thead> <tbody> <tr> <td>04-FEB-03</td> <td>GEN</td> <td>HKD</td> <td>04-FEB-03 17:17:53</td> <td>427,832.42</td> <td>0.000000</td> <td>No</td> <td>0.000000</td> <td>0.010000</td> <td>0.12</td> </tr> </tbody> </table> <p style="text-align: center;">Enquire Another Record</p> <p style="text-align: right;">Help</p>	Firm ID :	BF01301	Participant ID :	B01301	Account Type :	HOUSE	Account Number :	0001	Calculation Date	Usage	Currency	Bal Snapshot Time	Total Amt	FP/CH Retention Rate (%)	Overriding Retention Rate Ind	Overriding Retention Rate (%)	Interest Rate (%)	Total Interest Amt	04-FEB-03	GEN	HKD	04-FEB-03 17:17:53	427,832.42	0.000000	No	0.000000	0.010000	0.12
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<ul style="list-style-type: none"> Maintain Participant Maintain Collateral Account Maintain Collateral Master Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct Mapping Mtn Currency Exchange Rate and Haircut Mtn Cash Collateral A/C Transfer Instr Mtn Non-Cash Coll A/C Transfer Instr Enq Coll Effective Haircut & Val Price Enquire Collateral Account Movement Enquire Int Cal Result / Accom Fee 	<div style="text-align: right;"> CKABC1 KMZE 03 02-Dec-02 16:09 </div> <p>Enquire Interest Calculation Result</p> <p style="text-align: right;"> Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout </p> <p>Enquire Accommodation Fee List</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Firm ID :</td> <td>BF00010</td> </tr> <tr> <td>Participant ID :</td> <td>HKABC1</td> </tr> <tr> <td>Account Type :</td> <td>CLIENT</td> </tr> <tr> <td>Account Number :</td> <td>0001</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th>Calculation Date</th> <th>ACCT Level Amt</th> <th>Part Pool Level Amt</th> <th>Firm Pool Level Amt</th> <th>Accommodation Fee Rate</th> <th>Total Fee Amt</th> </tr> </thead> <tbody> <tr> <td colspan="6" style="text-align: center;"> <p>Enquire Another Record</p> <p style="color: red;">C0184 BOTTOM OF LIST</p> <p style="text-align: right;">Help</p> </td> </tr> </tbody> </table>	Firm ID :	BF00010	Participant ID :	HKABC1	Account Type :	CLIENT	Account Number :	0001	Calculation Date	ACCT Level Amt	Part Pool Level Amt	Firm Pool Level Amt	Accommodation Fee Rate	Total Fee Amt	<p>Enquire Another Record</p> <p style="color: red;">C0184 BOTTOM OF LIST</p> <p style="text-align: right;">Help</p>					
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DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
FIRM ID	- firm identity assigned by HKEX for linking multiple participants of different clearing houses.
PARTICIPANT ID	- participant identity assigned by HKEX.
ACCOUNT TYPE	- select the type of collateral account via pull down menu. - can be 'HOUSE', 'CLIENT' or 'MARKET MAKER' - must be 'HOUSE' for CCASS participant.
ACCOUNT NUMBER	- input the account number of participant's collateral account of the account type on which details are going to be enquired. - must be '0001' for CCASS participant's 'HOUSE' collateral account.
CALCULATION DATE	- input the date as a starting date to enquire on the interest calculation details. - the date can be as early as 30 calendar days ago. - valid date must be typed in one of the following formats: '23JAN02', '230102', '23-01-02' or '23/01/02'.
USAGE	- display the usage of the collateral. DEP -- Depository services GEN -- General NOM -- Nominee services XSC -- Exceed settlement cap or other risk measures STM -- Settlement services SPS -- Specific stock collateral BTM -- Block trade special margin COM -- Concentration additional margin EFC -- Collateral for delivery of EFN Futures GRA -- Additional deposit for Guarantee/Reserve Fund GRI -- Initial deposit for Guarantee/Reserve Fund PLM -- Capital Based Position Limit additional margin MCM -- Marks, Collateral and Margin (applicable to Clearing Agency Participant in respect of the Clearing Link only) OCA -- Additional cash collateral (Other Collateral Type A) OCB -- Additional cash collateral (Other Collateral Type B) OCC -- Additional cash collateral (Other Collateral Type C) OCD -- Additional cash collateral (Other Collateral Type D) OCE -- Additional cash collateral (Other Collateral Type E)
CURRENCY	- display the currency code of the cash collateral.
BAL SNAPSHOT TIME	- display the date and time for taking the snapshot of cash collateral balance for interest calculation purpose.

<u>Field</u>	<u>Description/Format</u>
TOTAL AMT	- display the total cash collateral amount of the currency of the collateral purpose as at the snapshot time for interest calculation purpose.
FP/CH RETENTION RATE (%)	- display the retention rate of the 'Firm Pool' or 'Clearing House' applied for the interest calculation.
OVERRIDDING RETENTION RATE IND	- display the indicator whether the overriding retention rate would be applied or not.
OVERRIDDING RETENTION RATE (%)	- display the overriding retention rate that will be applied if the 'Overriding Retention Rate Indicator' is 'YES'.
INTEREST RATE (%)	- display the interest rate applied for the interest calculation.
TOTAL INTEREST AMT	- display the calculated interest amount.
ACCT LEVEL AMT	- display the amount of non-cash collateral in the collateral account is used and subject to accommodation fee.
PART POOL LEVEL AMT	- display the amount of non-cash collateral in the participant level common pool collateral account is used and subject to accommodation fee. - participant level common pool collateral account is reserved for future use.
FIRM POOL LEVEL AMT	- display the amount of non-cash collateral in the firm level common pool collateral account is used and subject to accommodation fee. - firm level common pool collateral account is reserved for future use.
ACCOMMODATION FEE RATE	- display the accommodation fee rate applied for the fee calculation.
TOTAL FEE AMT	- display the calculated accommodation fee amount.