Cash Prepayment/RMB TSF Instruction Maintenance A/T Report (Participant) (CSECP03)

```
     +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
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CSECP03     XXXXXX                                          HKSCC - CCASS                                        RUN DATE :  DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              CASH PREPAYMENT/TSF PAYMENT /                               RUN TIME :  HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         INTRA-DAY PAYMENT INSTR MAINT A/T REPORT                         PAGE     :  Z,ZZ9


DATE : DDMMMYY

                           PYMT              BENEFICIARY
ACTION        STATUS     MTD   INSTR NUM     BANK      PURPOSE  CCY            AMOUNT   VALUE DATE  TIME   UPDATE BY TERM ID MARKET
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GENERATE      MATCHED     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  CCASS             XXXXXX
GENERATE      MATCHED     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  CCASS             XXXXXX
ADD           PENDING     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
ADD           MATCHED     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
ADD           UNMATCHED   O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
CHANGE (OLD)  PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
CHANGE (NEW)  PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
CHANGE (OLD)  PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
CHANGE (NEW)  MATCHED     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
ADD           PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
DELETE        PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
DELETE        PENDING     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
ADD           PENDING     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
AUTHORIZE     UNMATCHED   O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
AUTHORIZE     MATCHED     C    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
ADD           PENDING     O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9.99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
REVOKE        UNMATCHED   O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9,99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX
REVOKE        UNMATCHED   O    XXXXXXXXX      XXX         X     XXX  ZZ,ZZZ,ZZZ,ZZ9,99-    DDMMMYY   HH:MM  XXXXXXXX   XXXX   XXXXXX


TOTAL NUMBER OF RECORDS ADDED      : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS CHANGED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS DELETED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS AUTHORIZED : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS REVOKED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS GENERATED  : Z,ZZZ,ZZ9
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```
    +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234
-------------------------------------------------------------------------------------------------------------------------------------
CSECP03     XXXXXX                                          HKSCC - CCASS                                   RUN DATE :  DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              CASH PREPAYMENT/TSF PAYMENT /                           RUN TIME :  HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         INTRA-DAY PAYMENT INSTR MAINT A/T REPORT                     PAGE     :  Z,ZZ9


DATE : DDMMMYY

CASH PREPAYMENT/TSF STANDING INSTRUCTION MAINTENANCE ACTIVITY

                        PYMT    BENEFICIARY                PYMT
ACTION         STATUS   METHOD    BANK       PURPOSE  CCY/ BASIS    PERCENTAGE TIME   UPDATE BY   TERM ID
------------  ---------  ------  -----------  -------  ------------  ---------- -----  ---------   -------
ADD           PENDING    XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

CHANGE (OLD)  PENDING    XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

CHANGE (NEW)  PENDING    XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

DELETE        PENDING    XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

AUTHORIZE     AUTHORIZED XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

PURGE         PENDING    XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

CANCEL        AUTHORIZED XXXXXX                                                 HH:MM  XXXXXXXX     XXXX

ADD           PENDING    XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX

CHANGE (OLD)  PENDING    XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX
CHANGE (NEW)  PENDING    XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX

DELETE        PENDING    XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX

AUTHORIZE     AUTHORIZED XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX

PURGE         PENDING    XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX

CANCEL        AUTHORIZED XXXXXX    XXX           1     XXX - XXXXX   999%       HH:MM  XXXXXXXX     XXXX
                                                 2     XXX
                                   XXX           1     XXX - XXXXX   999%
                                                 2     XXX
```

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    +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234
-------------------------------------------------------------------------------------------------------------------------------------
CSECP03     XXXXXX                                          HKSCC - CCASS                                   RUN DATE :  DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              CASH PREPAYMENT/TSF PAYMENT /                           RUN TIME :  HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         INTRA-DAY PAYMENT INSTR MAINT A/T REPORT                     PAGE     :  Z,ZZ9


DATE : DDMMMYY

CASH PREPAYMENT/TSF STANDING INSTRUCTION MAINTENANCE ACTIVITY

                        PYMT    BENEFICIARY                PYMT
ACTION         STATUS   METHOD    BANK       PURPOSE  CCY/ BASIS    PERCENTAGE TIME   UPDATE BY   TERM ID
------------  ---------  ------  -----------  -------  ------------  ---------- -----  ---------   -------
REMARK: PURPOSE - 1 (RELEASE CNS ALLOCATED SHARES), 2 (RELEASE BUY-IN SHARES)

TOTAL NUMBER OF RECORDS ADDED      : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS CHANGED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS DELETED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS AUTHORIZED : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS CANCELLED  : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS PURGED     : Z,ZZZ,ZZ9
```

```
    +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
-------------------------------------------------------------------------------------------------------------------------------------
CSECP03     XXXXXX                                          HKSCC - CCASS                                    RUN DATE :  DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              CASH PREPAYMENT/TSF PAYMENT /                          RUN TIME :  HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         INTRA-DAY PAYMENT INSTR MAINT A/T REPORT                     PAGE     :  Z,ZZ9


DATE : DDMMMYY

INTRA-DAY PAYMENT STANDING INSTRUCTION ACTIVITY

                                          OVERPAID CASH
                                 NOMINEES RELATED PREPAYMENT     CNS MONEY         PAYMENT                                      TERM
ACTION         STATUS    CCY   PAYMENT           REFUND         OBLIGATIONS       THRESHOLD                  TIME   UPDATE BY   ID
------------  ---------  ---  ----------------  -------------  ----------------- ------------------------  ------ ----------  ----
ADD           PENDING    HKD   Y                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   Y                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
CHANGE (NEW)  PENDING    HKD   Y                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   Y                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
CHANGE (OLD)  PENDING    HKD   Y                 N              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   N                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
DELETE        PENDING    HKD   Y                 N              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   N                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
AUTHORIZE     AUTHORIZED HKD   Y                 N              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   N                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
CANCEL        AUTHORIZED HKD   Y                 N              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX
                         USD   N                 Y              Y                 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  HH:MM  XXXXXXXX    XXXX


TOTAL NUMBER OF RECORDS ADDED      : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS CHANGED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS DELETED    : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS AUTHORIZED : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS CANCELLED  : Z,ZZZ,ZZ9
TOTAL NUMBER OF RECORDS PURGED     : Z,ZZZ,ZZ9

                                          *** END OF REPORT ***
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