Report G37c - Elected Quantity Exception Report for Structured Products (Before Election End) (CCNPT05)

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         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
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CCNPT05     XXXXXX                                          HKSCC - CCASS                                          RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX ELECTED QUANTITY EXCEPTION REPORT FOR STRUCTURED PRODUCTS                RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)  (BEFORE ELECTION END)              PAGE : Z,ZZ9


DATE : DDMMMYY

POSSIBLE EXCEPTION OCCURRED AS OF TODAY;
TOTAL ELECTED HOLDINGS SHOULD BE MAINTAINED IN CCASS ON SHAREHOLDING DATE
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                                   ELECTION END    SHAREHLDG                    HOLDINGS        TOTAL ELECTED
      STOCK             ANCM NO     DATE / TIME        DATE     INSTR NO       AS OF TODAY          HOLDINGS
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(A)  TOTAL ELECTED HOLDINGS > HOLDINGS BEFORE ELECTION END

XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9

(B)  TOTAL ELECTED HOLDINGS > 0, HOLDINGS BEFORE ELECTION END = 0

XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9
XXXXX XXXXXXXXXXXXXXX  X99999999  YYYY-MM-DD HH:MM  YYYY-MM-DD  X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZZ,ZZZ,ZZ9

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:                                                  *** END OF REPORT ***
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