Report G37 - Entitlement Report (CCNPT02)

```
BENEFIT ENTITLEMENT SECTION
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
    ------------------------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                    HKSCC - CCASS                                          RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             ENTITLEMENT STATEMENT                                    RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX            (MARKET - XXXXXXXXXXXXXXX)                                    PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  BENEFIT ENTITLEMENT
9.  ==================
10.
11. ORIGINAL STOCK/ISIN/ REFERENCE NO                                                                  BK CLOSE PERD/LAST REG DT
12. HLDG/RECV TYPE/      DSTR STK/CCY ORIG SHAREHOLDINGS           DIVD COLL FEE           ANNOUNCEMENT NO.    EVENT NO/EXP PAY DATE
13. FROM WARRANT         SBL POS NO   RECEIVABLE QTY/AMT            BANK CHARGES             NET PAYMENT       EVENT SUMMARY
14. -------------------- ------------ ------------------------- ------------------- -------------------------- ------------------------
15. SHARE ENTITLEMENT
16. =================
17. XXXXX XXXXXXXXXXXXXXX
18. XXXXXXXXXXXX         X99999999                                                        X99999999         DDMMMYY - DDMMMYY DDMMMYY
19.       XXXX XXX (XXXX)  XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99     DDMMMYY
20.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
21.       XXXX XXX (XXXX)  XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99     DDMMMYY
22.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
23. XXXXX XXXXXXXXXXXXXXX
24. XXXXXXXXXXXX         X99999999                                                        X99999999         DDMMMYY - DDMMMYY DDMMMYY
25.       XXXX XXX (XXXX)  XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99     DDMMMYY
26.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
27.       XXXX XXX (XXXX)  XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99     DDMMMYY
28.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
29.
30. CASH ENTITLEMENT
31. ================
32. XXXXX XXXXXXXXXXXXXXX
33. XXXXXXXXXXXX        X99999999*                                                        X99999999         DDMMMYY - DDMMMYY DDMMMYY
34.       XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-         Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)      99     DDMMMYY
35.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)           XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
36.       XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99    DDMMMYY
37.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
38.       XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-         Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)      99    DDMMMYY
39.                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)           XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
40.
41. XXXXX XXXXXXXXXXXXXXX
:   XXXXXXXXXXXX        X99999999#                                                        X99999999         DDMMMYY - DDMMMYY DDMMMYY
:         XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-         Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)      99     DDMMMYY
:                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)           XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
:         XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                            Z,ZZZ,ZZZ,ZZZ,ZZ9-           99    DDMMMYY
:                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9-                                     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
:         XXXX XXX (XXXX) XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-         Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)      99    DDMMMYY
:                        XXXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)           XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
:
:   REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

60. REMARK: # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT

Report G37 - Entitlement Report (CCNPT02)

EXCHANGE OF SHARES SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-----------------------------------------------------------------------------------------------------------------------------------------------------------
CCNPT02     XXXXXX                                          HKSCC - CCASS                                          RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                      RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                      PAGE : Z,ZZ9


DATE : DDMMMYY

EXCHANGE OF SHARES
==================


   ORIGINAL STOCK    HLDG RECV      NEW STOCK                                                CORPORATE           ANCM NO.
   ISIN              TYPE TYP    SBL POSITION NO    ORIG SHAREHOLDINGS   RECEIVABLE QTY    ACTION FEE      EVT NO/EXP PAY DATE
-------------------- ---- --- --------------------- ------------------ ------------------  -------------- ----------------------
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
XXXXX XXXXXXXXXXXXXX  XXXX XXX XXXXX XXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- X99999999-99   DDMMMYY
XXXXXXXXXXXX                  XXXXXXXXX
```

Report G37 - Entitlement Report (CCNPT02)

TAKEOVER OFFER / AUTO-EXERCISE OF STRUCTURED PRODUCTS SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345
    ---------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                   RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                   PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  TAKEOVER OFFER / AUTO-EXERCISE OF STRUCTURED PRODUCTS
9.  ======================================================
10.                                                                                                                   ANCM NO.
11. ORIGINAL STK/ISIN     REFERENCE NO                TAKE-UP QUANTITY              CA FEE                            EVT NO/
12. HLDG/RECV TYPE        DSTR STK/CCY SBL POS NO     RECEIVABLE QTY/AMT         BANK CHARGES     AD VALOREM STAMP DUTY EXP PAY DATE
13. --------------------- ------------ ----------  --------------------------  ------------------  ---------------------- -------------
14. XXXXX XXXXXXXXXXXXXXX X99999999*               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
15. XXXXXXXXXXXX
16.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
17.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
18.                       X99999999*               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
19.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
20.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
21.
22. XXXXX XXXXXXXXXXXXXXX X99999999#               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
23. XXXXXXXXXXXX
24.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
25.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
26.                       X99999999#               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
27.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
28.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-                      99   DDMMMYY
29.
30. XXXXX XXXXXXXXXXXXXXX X99999999*               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
31. XXXXXXXXXXXX
32.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)     Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
33.       XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)     Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:                         X99999999#               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
:         XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-       Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:         XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-       Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:
:   XXXXX XXXXXXXXXXXXXXX X99999999*               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
:   XXXXXXXXXXXX
:         XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)     Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:         XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-(XXX)     Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:                         X99999999*               Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                              X99999999
:         XXXX XXX        XXXXX        XXXXXXXXX   Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-       Z,ZZZ,ZZZ,ZZZ,ZZ9.99- 99   DDMMMYY
:
:   REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
60. REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

WARRANT SUBSCRIPTION SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
    ------------------------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                          HKSCC - CCASS                                          RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                      RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                      PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  WARRANT SUBSCRIPTION
9.  ====================
10.
11.                                                         SUBSCRIPTION MONEY                        EXERCISE FEE
12.                                                         CANCEL FEE                   CA FEE       TRANSFER FEE COLL ANCM NO.
13. ORIGINAL STOCK/ISIN  REFERENCE NO   WARRANT QUANTITY    AD VALOREM STAMP DUTY     T/D STAMP COLL TRANSFER FEE RFD  EVT NO/
14. HLDG/RECV TYPE       DSTR STK/CCY   RECEIVABLE QTY/AMOUNT MISC FEE                 T/D STAMP RFD  BANK CHARGES      EXP PAY DATE
15. -------------------- ------------ -------------------------- ------------------------- -------------- ----------------- ------------
16. XXXXX XXXXXXXXXXXXXXX R99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-     A99999999
17. XXXXXXXXXXXXX
18.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
19.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
20.                       R99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-     A99999999
21.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
22.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
23.
24. XXXXX XXXXXXXXXXXXXXX R99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-     A99999999
25. XXXXXXXXXXXXX
26.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
27.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
28.                       R99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-     A99999999
29.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
30.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
31.      XXXX XXX         XXX - (XXXXX)                          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)                Z,ZZZ,ZZ9.99-(XXX)99 DDMMMYY
32.                       R99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-     A99999999
33.                                                              Z,ZZZ,ZZZ,ZZZ,ZZ9.99-
34. XXXXX XXXXXXXXXXXXXXX                                        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-
35.                                                              Z,ZZZ,ZZZ,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- Z,ZZZ,ZZ9.99-
36.      XXXX XXX         XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    99 DDMMMYY
:        XXXX XXX         XXX - (XXXXX)                          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)                Z,ZZZ,ZZ9.99-(XXX)99 DDMMMYY
:
:   REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
:   REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

RIGHTS/EXCESS RIGHTS SUBSCRIPTION SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-----------------------------------------------------------------------------------------------------------------------------------
CCNPT02     XXXXXX                                        HKSCC - CCASS                                          RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                      RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                      PAGE : Z,ZZ9


DATE : DDMMMYY

RIGHTS / EXCESS RIGHTS SUBSCRIPTION
===================================

                                                                                                                      ANCM NO.
ORIGINAL STK/ISIN     REF NO     RIGHTS QUANTITY       CORPORATE                                                    EVT NO/
HLDG/RECV TYPE        DSTR STK   RECEIVABLE QUANTITY   ACTION FEE     SUB MONEY COLLECTED         SUB MONEY REFUNDED    EXP PAY DATE
--------------------  ---------  ------------------- ------------- -------------------------  -------------------------  ------------
ORDINARY RIGHTS
===============
XXXXX XXXXXXXXXXXXXXX X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
XXXXXXXXXXXX
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
                      X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
XXXXX XXXXXXXXXXXXXXX X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
XXXXXXXXXXXX
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
                      X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY


EXCESS RIGHTS
=============
XXXXX XXXXXXXXXXXXXXX X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
XXXXXXXXXXXX
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
                      X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
XXXXX XXXXXXXXXXXXXXX X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
XXXXXXXXXXXX
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
                      X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99- Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) X99999999
     XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                      99  DDMMMYY
:
```

Report G37 - Entitlement Report (CCNPT02)

```
:     REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
60.   REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT

 OPEN OFFER/ EXCESS OPEN OFFER SECTION

          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
      1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
      ------------------------------------------------------------------------------------------------------------------------------------
1.    CCNPT02     XXXXXX                                    HKSCC - CCASS                                      RUN DATE : DDMMMYY
2.    XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             ENTITLEMENT STATEMENT                                RUN TIME : HH:MM:SS
3.    XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX            (MARKET - XXXXXXXXXXXXXXX)                                PAGE : Z,ZZ9
4.
5.
6.    DATE : DDMMMYY
7.
8.    OPEN OFFER / EXCESS OPEN OFFER
9.    ==============================
10.                                                       CORPORATE ACTION FEE    TRANSACTION LEVY
11.                                                       SUBSCRIPTION MONEY      AND TRADING FEE     BROKERAGE FEE
12.                                                       (COLLECTED)             (COLLECTED)         (COLLECTED)         ANCM NO.
13.   ORIGINAL STK/ISIN     REF NO    ORIGINAL STK QTY    (RFD (PRC DIFF))        (RFD (PRC DIFF))    (RFD (PRC DIFF))    EVT NO/
14.   HLDG/RECV TYPE        DSTR STK  RECEIVABLE QUANTITY (RFD UNSUCCESS )        (RFD UNSUCCESS )    (RFD UNSUCCESS )    EXP PAY DATE
15.   -------------------- --------- -------------------- -------------------------- ------------------ ------------------ ------------
16.   OPEN OFFER
17.   ==========
18.   XXXXX XXXXXXXXXXXXXXX X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                                          X99999999
19.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
20.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
21.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
22.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
23.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
24.                         X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                                          X99999999
25.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
26.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
27.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
28.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
29.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
30.
31.   EXCESS OPEN OFFER
32.   =================
33.   XXXXX XXXXXXXXXXXXXXX X99999999# Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                                          X99999999
34.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
35.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
36.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
37.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
38.        XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
39.                         X99999999* Z,ZZZ,ZZZ,ZZZ,ZZ9-          Z,ZZZ,ZZ9.99-                                          X99999999
40.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
41.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
42.                                                   Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX)
:          XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
:          XXXX XXX         XXXXX      Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                 99  DDMMMYY
:
```

Report G37 - Entitlement Report (CCNPT02)

```
:      REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
60.    REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

CORPORATE ACTION SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-----------------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                    HKSCC - CCASS                                        RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              ENTITLEMENT STATEMENT                                 RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             (MARKET - XXXXXXXXXXXXXXX)                                 PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  CORPORATE ACTION
9.  ================
10. ORIGINAL STOCK              NEW STOCK                                                  CORPORATE      ANCM NO.
11.    ISIN              STOCK ACCOUNT NO./ISIN  ORIGINAL STK QTY       NEW STK QTY       ACTION FEE     EVT NO.
12. --------------------- ----------------------- ------------------   ------------------  -------------  ---------
13. XXXXX XXXXXXXXXXXXXXX XXXXX XXXXXXXXXXXXXXXX                                                          X99999999
14. XXXXXXXXXXXX          XXXXXXXXXXXXX
15.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
16.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
17. XXXXX XXXXXXXXXXXXXXX XXXXX XXXXXXXXXXXXXXXX                                                          X99999999
18. XXXXXXXXXXXX          XXXXXXXXXXXXX
19.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
20.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
21. XXXXX XXXXXXXXXXXXXXX XXXXX XXXXXXXXXXXXXXXX                                                          X99999999
22. XXXXXXXXXXXX          XXXXXXXXXXXXX
23.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
24.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
25. XXXXX XXXXXXXXXXXXXXX XXXXX XXXXXXXXXXXXXXXX                                                          X99999999
26. XXXXXXXXXXXX          XXXXXXXXXXXXX
27.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
28.                       XXXXXXXX                Z,ZZZ,ZZZ,ZZZ,ZZ9-   Z,ZZZ,ZZZ,ZZZ,ZZ9-  Z,ZZZ,ZZ9.99-         99
29.
30.
31.
32.
33.
:
:
60.
```

Report G37 - Entitlement Report (CCNPT02)

CLAIMS ON UNCLAIMS SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
--------------------------------------------------------------------------------------------------------------------------------------
CCNPT02      XXXXXX                                          HKSCC - CCASS                 RUN DATE: DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                ENTITLEMENT STATEMENT                RUN TIME: HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               (MARKET-XXXXXXXXXXXXXXX)                   PAGE    : Z,ZZ9


DATE : DDMMMYY

CLAIMS ON UNCLAIMS
==================


    ORIGINAL STOCK    TRAN NO.     ORIGINAL SHARE QTY        DVD/INT COLL FEE                                          ANCM NO./
    ISIN              DST STK/CCY  DISTRIBUTED QTY/AMT       BANK CHARGES                NET PAYMENT       DISB CHARGES   EVT NO.
--------------------- ----------- ------------------------- ------------------ -------------------------- -------------- ------------
XXXXX XXXXXXXXXXXXXXX X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-
XXXXXXXXXXXX          XXXXX       Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    X99999999-99
                      XXXXX       Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    X99999999-99
                      X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-
                      XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-  X99999999-99
                                                  Z,ZZZ,ZZ9.99-(XXX)
                      XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-  X99999999-99
                                                  Z,ZZZ,ZZ9.99-(XXX)
XXXXX XXXXXXXXXXXXXXX X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-
XXXXXXXXXXXX          XXXXX       Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    X99999999-99
                      XXXXX       Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                    X99999999-99
                      X99999999   Z,ZZZ,ZZZ,ZZZ,ZZ9-
                      XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-  X99999999-99
                                                  Z,ZZZ,ZZ9.99-(XXX)
                      XXX         Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-  X99999999-99
                                                  Z,ZZZ,ZZ9.99-(XXX)


                            TOTAL NO. OF ANNOUNCEMENT CLAIMED  : Z,ZZ9              TOTAL HANDLING FEE : Z,ZZZ,ZZZ,ZZZ,ZZ9.99-
```

Report G37 - Entitlement Report (CCNPT02)

BOOK-CLOSE REMINDER SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
--------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                    HKSCC - CCASS                                    RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              ENTITLEMENT STATEMENT                            RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             (MARKET - XXXXXXXXXXXXXXX)                            PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  BOOK-CLOSE REMINDER
9.  ===================
10.
11. VOTING/BOOK CLOSED
12. /INSTR/INPUT PERIOD                                                  EXPECTED                                                SCRIP
13. /EFFECT. DATE          ELECTION PERIOD          STOCK/ISIN     ANCM NO.   PAY DATE          ANNOUNCEMENT SUMMARY              FEE
14. --------------------  -----------------  --------------------  --------- ---------- ---------------------------------------  -----
15. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
16.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
17. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
18.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
19. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
20.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
21. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
22.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
23. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
24.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
25. DDMMMYY - DDMMMYY     DDMMMYY - DDMMMYY  XXXXX XXXXXXXXXXXXXXX X99999999  DDMMMYY   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX    X
26.                                          XXXXXXXXXXXX                                 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
27.
28. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
29. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
30.
31.
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Report G37 - Entitlement Report (CCNPT02)

CORPORATE ACTION REMINDER SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
1.   CCNPT02     XXXXXX                                    HKSCC - CCASS                                      RUN DATE : DDMMMYY
2.   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              ENTITLEMENT STATEMENT                              RUN TIME : HH:MM:SS
3.   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             (MARKET - XXXXXXXXXXXXXXX)                              PAGE : Z,ZZ9
4.
5.
6.   DATE : DDMMMYY
7.
8.   CORPORATE ACTION REMINDER
9.   =========================
10.
11.
12.  EFFECTIVE                                                                    EVT
13.    DATE            STOCK/ISIN     ANCM NO.           ANNOUNCEMENT SUMMARY     NO.          EVENT SUMMARY
14.  ---------  --------------------  ---------  ----------------------------------------  ---  ----------------------------------------
15.  DDMMMYY    XXXXX XXXXXXXXXXXXXXX X99999999  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX   99  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
16.             XXXXXXXXXXXXX          XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
17.             XXXXX XXXXXXXXXXXXXXX X99999999  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX   99  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
18.             XXXXXXXXXXXXX          XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
19.  DDMMMYY    XXXXX XXXXXXXXXXXXXXX X99999999  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX   99  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
20.             XXXXXXXXXXXXX          XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
21.             XXXXX XXXXXXXXXXXXXXX X99999999  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX   99  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
22.             XXXXXXXXXXXXX          XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
23.
24.
25.
26.
27.
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31.
32.
33.
34.
35.
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60.
```

Report G37 - Entitlement Report (CCNPT02)

SCRIP FEE SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
--------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                    HKSCC - CCASS                                        RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              ENTITLEMENT STATEMENT                                 RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX             (MARKET - XXXXXXXXXXXXXXX)                                 PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  SCRIP FEE
9.  =========
10.                                          RUSH S.F.ST DTE-1/  SUBSCRIBED QTY/                     NO. OF
11.              CHRG LAST    COLL DATE BALANCE  LAST COLL DATE BAL  ENTITLEMENT QTY       SCRIP FEE      BOARD LOT
12. STOCK/ISIN    CODE COLL DT WTDL QTY (CONV)    SPECIAL DEP QTY     NET INCREASE        CONCESSION QTY   INCREASE        SCRIP FEE
13. --------------- -- ------- ------------------ ------------------ ------------------ ----------------- ---------------- -------------
14. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-ZZ,ZZZ,ZZ9.99
15. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
16. XXXXXXXXXXXX
17. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-ZZ,ZZZ,ZZ9.99
18. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
19. XXXXXXXXXXXX
20. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-ZZ,ZZZ,ZZ9.99
21. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
22. XXXXXXXXXXXX
23. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-ZZ,ZZZ,ZZ9.99
24. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
25. XXXXXXXXXXXX
26.
27.                                                                                                TOTAL : Z,ZZZ,ZZZ,ZZZ,ZZ9-ZZ,ZZZ,ZZ9.99
28.
29. HOLDING/CONCESSION FROM PREVIOUS PART ID XXXXXX
30. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-
31. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
32. XXXXXXXXXXXX
33. XXXXX           X  DDMMMYY Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-Z,ZZZ,ZZZ,ZZZ,ZZ9-
34. XXXXXXXXXXXXXXX            Z,ZZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZZ,ZZZ,ZZ9-
35. XXXXXXXXXXXX
:
:
60.
```

Report G37 - Entitlement Report (CCNPT02)

CORPORATE ACTION FEE SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-------------------------------------------------------------------------------------------------------------------------------------
CCNPT02     XXXXXX                                    HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              ENTITLEMENT STATEMENT                                 RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                PAGE : Z,ZZ9


DATE : DDMMMYY

CORPORATE ACTION FEE
====================

                         COLLECTION                ANCM    STOCK       ORIG.             NO.            CORPORATE
        STOCK/ISIN          DATE        ANCM NO    TYPE     A/C     SHAREHOLDINGS     OF BOARD LOTS     ACTION FEE
 ---------------------   ----------    ---------   ----   --------  --------------    ---------------   --------------
 XXXXX XXXXXXXXXXXXXXX    DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
 XXXXXXXXXXXX
                          DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                       X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                          XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99

 XXXXX XXXXXXXXXXXXXXX    DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
 XXXXXXXXXXXX
                          DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                       X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                          XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
 XXXXX XXXXXXXXXXXXXXX    DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
 XXXXXXXXXXXX
                          DDMMMYY      X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                       X99999999    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                    XX    XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                          XXXXXXXX  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9     Z,ZZZ,ZZ9.99
                                                                                      ---------------   --------------
                                                                            TOTAL :ZZZ,ZZZ,ZZZ,ZZ9     ZZ,ZZZ,ZZ9.99
:
:
```

Report G37 - Entitlement Report (CCNPT02)

PERIODIC INTEREST ENTITLEMENT SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-----------------------------------------------------------------------------------------------------------------------------------------
CCNPT02     XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                    RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                    PAGE : Z,ZZ9


DATE : DDMMMYY

PERIODIC INTEREST ENTITLEMENT
=============================


   ORIGINAL STOCK    REFERENCE NO                                  INT COLL FEE                        BK CLOSE PERIOD/LAST REG DT
   HLDG/RECV TYPE    DSTR STK/CCY  ORIG SHAREHOLDINGS              BANK CHARGES          ANCM NO.      EVENT NO/EXPECTED PAY DATE
   ISIN              SBL POS NO    RECEIVABLE AMT                  NET PAYMENT           DISB CHARGES  EVENT SUMMARY
-------------------- ------------ ------------------------- -------------------------  ------------- ---------------------------
XXXXX XXXXXXXXXXXXXXX X99999999*                                                            X99999999  DDMMMYY - DDMMMYY  DDMMMYY
XXXXXXXXXXXX
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
                      X99999999#                                                            X99999999  DDMMMYY - DDMMMYY  DDMMMYY
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
XXXXX XXXXXXXXXXXXXXX X99999999*                                                            X99999999  DDMMMYY - DDMMMYY  DDMMMYY
XXXXXXXXXXXX
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
      XXXX XXX       XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9-                  Z,ZZZ,ZZ9.99-(XXX)  Z,ZZZ,ZZ9.99-    99     DDMMMYY
                     XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)          Z,ZZZ,ZZ9.99-(XXX)  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                                               Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)
:
:     REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
60.   REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

BOND CONVERSION SECTION

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
-------------------------------------------------------------------------------------------------------------------------------------
CCNPT02     XXXXXX                                    HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX            ENTITLEMENT STATEMENT                                   RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX           (MARKET - XXXXXXXXXXXXXXX)                                   PAGE : Z,ZZ9


DATE : DDMMMYY

BOND/PREF.SHS CONVERSION
========================

    ORIGINAL STOCK                                                                    CONV FEE       EXERCISE FEE    ANCM NO.
    HLDG/RECV TYPE    REFERENCE NO      SUBSCRIPTION QTY          SUBSCRIPTION MONEY   CA FEE (PREF.SHS)  SCRIP FEE COL   EVT NO/
    ISIN              DSTR STK/CCY      RECEIVABLE QTY/AMT        BANK CHARGES             INT COLL FEE   DISB CHARGES  EXP PAY DATE
--------------------- ------------ ------------------------ ------------------------ ------------------ ------------- ------------
XXXXX XXXXXXXXXXXXXXX X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
XXXXXXXXXXXX                                                                         Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY
                      X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
                                                                                     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY

XXXXX XXXXXXXXXXXXXXX X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
XXXXXXXXXXXX                                                                         Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY
                      X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
                                                                                     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY

XXXXX XXXXXXXXXXXXXXX X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
XXXXXXXXXXXX                                                                         Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY
                      X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
                                                                                     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY

XXXXX XXXXXXXXXXXXXXX X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
XXXXXXXXXXXX                                                                         Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY
                      X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- X99999999
                                                                                     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99-
      XXXX XXX        XXX          Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)        Z,ZZZ,ZZ9.99-(XXX)Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99- 99     DDMMMYY

REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
```

Report G37 - Entitlement Report (CCNPT02)

WARRANT SUBSCRIPTION WITH BOND CONVERSION SECTION

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         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
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CCNPT02     XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                   RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                   PAGE : Z,ZZ9


DATE : DDMMMYY

WARRANT SUBSCRIPTION WITH BOND CONVERSION
=========================================

ORIGINAL STOCK/ISIN                 SUBSCRIPTION QTY                                                                  ANCM NO.
BOND/BOND ISIN        REFERENCE NO  BOND QUANTITY                SUBSCRIPTION MONEY         CA FEE                    EVT NO/
HLDG/RECV TYPE        DSTR STK/CCY  RECEIVABLE QTY/AMOUNT        BANK CHARGES            INT COLL FEE   DISB CHARGES  EXP PAY DATE
--------------------- ------------- ------------------------- ------------------------- ------------------ ------------- ------------
XXXXX XXXXXXXXXXXXXXX X99999999*    Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-                   X99999999
XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX               Z,ZZZ,ZZZ,ZZZ,ZZ9-
XXXXXXXXXXXX
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                Z,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- 99     DDMMMYY
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                99     DDMMMYY

                      X99999999#    Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)                Z,ZZZ,ZZ9.99- X99999999
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                Z,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- 99     DDMMMYY
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                99     DDMMMYY
XXXXX XXXXXXXXXXXXXXX X99999999#     Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-                   X99999999
XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX               Z,ZZZ,ZZZ,ZZZ,ZZ9-
XXXXXXXXXXXX
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                Z,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- 99     DDMMMYY
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                99     DDMMMYY

                      X99999999*    Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)                Z,ZZZ,ZZ9.99- X99999999
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                Z,ZZZ,ZZ9.99-     Z,ZZZ,ZZ9.99-      Z,ZZZ,ZZ9.99- 99     DDMMMYY
      XXXX XXX        XXXXX         Z,ZZZ,ZZZ,ZZZ,ZZ9-                                                                99     DDMMMYY
:
:     REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
      REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
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Report G37 - Entitlement Report (CCNPT02)

REDEMPTION SECTION

```
        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
    ------------------------------------------------------------------------------------------------------------------------------------
1.  CCNPT02     XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                                    RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                                    PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.  REDEMPTION
9.  ==========
10.     ORIGINAL STOCK    REFERENCE NO
11.     HLDG/RECV TYPE    DSTR STK/CCY  REDEMPTION QUANTITY                        INT COLL FEE                          ANCM NO.
12.     ISIN              SBL POS NO    RECEIVABLE QTY/AMT       REDEMPTION FEE    BANK CHARGES    DISB CHARGES  EVT NO/EXP PAY DATE
13. --------------------- ------------ ------------------------- -------------- ------------------ ------------- -------------------
14. XXXXX XXXXXXXXXXXXXXX X99999999    Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
15. XXXXXXXXXXXX
16.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
17.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
18.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
19.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
20.                       X99999999    Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
21.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
22.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
23.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
24.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
25. XXXXX XXXXXXXXXXXXXXX X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
26. XXXXXXXXXXXX
27.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                        Z,ZZZ,ZZ9.99-(XXX) Z,ZZZ,ZZ9.99-    99    DDMMMYY
28.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9.99-(XXX)                                   Z,ZZZ,ZZ9.99-(XXX)
29.                       X99999999*   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
30.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
31.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
32.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
33.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
34. XXXXX XXXXXXXXXXXXXXX X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
35. XXXXXXXXXXXX
36.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
37.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
38.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
39.                       XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
40.                       X99999999#   Z,ZZZ,ZZZ,ZZZ,ZZ9-        Z,ZZZ,ZZ9.99-                                      X99999999
41.       XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
:                         XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
:         XXXX XXX        XXXXX        Z,ZZZ,ZZZ,ZZZ,ZZ9-                                          Z,ZZZ,ZZ9.99-    99    DDMMMYY
:                         XXXXXXXXX    Z,ZZZ,ZZZ,ZZZ,ZZ9-
60. REMARK:  * MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT
    REMARK:  # MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT
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Report G37 - Entitlement Report (CCNPT02)

REMINDER MESSAGE AT END OF REPORT

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        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
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1.  CCNPT02     XXXXXX                                      HKSCC - CCASS                                      RUN DATE : DDMMMYY
2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX               ENTITLEMENT STATEMENT                               RUN TIME : HH:MM:SS
3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX              (MARKET - XXXXXXXXXXXXXXX)                               PAGE : Z,ZZ9
4.
5.
6.  DATE : DDMMMYY
7.
8.
9.  PLEASE NOTE THAT ALL DOLLAR FIGURES IN THIS STATEMENT ARE EXPRESSED IN HONG KONG DOLLARS UNLESS OTHERWISE STATED.
10. PARTICIPANTS ARE REMINDED TO MAINTAIN DESIGNATED BANK ACCOUNT(S) DENOMINATED IN ELIGIBLE CURRENCY FOR SETTLEMENT
11. OF ANY DIRECT CREDIT/DEBIT INSTRUCTION.
12.
13.
14.                                                     *** END OF REPORT ***
15.
16.
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