Report G14a: Intra-day Settled Position Report

```
      +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
 1.  CSESP04   XXXXXX                                        HKSCC - CCASS                                          RUN DATE : DDMMMYY
 2.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          INTRA-DAY SETTLED POSITION REPORT                            RUN TIME : HH:MM:SS
 3.  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX      (MARKET - XXXXXXXXXXXXXXXX SESSION - XXXXXXXXXXXXXXXXX)            PAGE : Z,ZZ9
 4.
 5.  DATE : DDMMMYY
 6.  STK/
 7.  ISIN POS  STM    SETTLEMENT                          STM   STM       SETTLEMENT    MNY OBLIGATION /       INT REF /       USER ID /
 8.  CCY  TYP  DATE   POSITION NO      COUNTERPARTY      MTD   A/C        QUANTITY     ACCRUED INTEREST /SCCY STM OBL         SI LINK REF
 9.  ---- --- ------- ---------- --------------------- --- -------- --------------- ---------------------- --------------------------
10  XXXXX XXXXXXXXXXXXXXX XXXXXXXXXXXX (HKD)
11       SI  DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
12                                                                                                                  XXXXXXXXXXXXXXX
13                                                                    --------------- -----------------
14                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
15
16       CNS DDMMMYY P99999999   H00001 XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99 XXX P XXXXXXXXXXXXXXXXXXX XXXXXXXX
17       IT  DDMMMYY P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
18       SI  DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
19                                                                                                                  XXXXXXXXXXXXXXX
20       ISI DDMMMYY P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
21                                                                    --------------- -----------------
22                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
23
24       SI  DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
25                                                                                                                  XXXXXXXXXXXXXXX
26                                                                    --------------- -----------------
27                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
28
29  XXXXX XXXXXXXXXXXXXXX XXXXXXXXXXXX (XXX)
30       CNS DDMMMYY P99999999   H00001 XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99 XXX P                   XXXXXXXX
31       SI  DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
32                                                                                                                  XXXXXXXXXXXXXXX
33       ISI DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
34                                                                    --------------- -----------------
35                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
36
37  XXXXX XXXXXXXXXXXXXXX XXXXXXXXXXXX (XXX)
38       CNS DDMMMYY P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX
39                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
40       IT  DDMMMYY P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX P
41                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
42       ISI DDMMMYY P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99 XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
43                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99       ZZ,ZZZ,ZZZ,ZZ9.99
44                  P99999999 X XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99 XXX   XXXXXXXXXXXXXXXXXXX XXXXXXXX
45                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99
46                                                                    --------------- -----------------       -----------------
47                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
48                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
49   XXXXX XXXXXXXXXXXXXXX XXXXXXXXXXXX (XXX)  RATE = ZZ9.999999%
50     * IT  DDMMMYY P99999999   XXXXXX XXXXXXXXXXXXXXX XX  XXXXXXXX ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9.99-XXX
51                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99       ZZ,ZZZ,ZZZ,ZZ9.99-
52                                                                    --------------- -----------------       -----------------
53                                                 STOCK XXXXX TOTAL : ZZ,ZZZ,ZZZ,ZZ9- ZZ,ZZZ,ZZZ,ZZ9.99-XXX
54                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
55        NOTE : * ADJUSTMENT DUE TO FLOATING RATE CHANGE
56
57                                                                 MARKET TOTAL : XXX ZZ,ZZZ,ZZZ,ZZ9.99-
58                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
59                                                                             XXX ZZ,ZZZ,ZZZ,ZZ9.99-
60                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
61                                                                             XXX ZZ,ZZZ,ZZZ,ZZ9.99-
62                                                                                    ZZ,ZZZ,ZZZ,ZZ9.99-      ZZ,ZZZ,ZZZ,ZZ9.99-
63                                                        *** END OF REPORT ***
64
```