

Report G16 - Marks and Collateral Collection Report

CFIPM01		XXXXXX		HKSCC - CCASS		MARKS AND COLLATERAL COLLECTION REPORT		RUN DATE : DDDMMYY	
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX								RUN TIME : HH:MM:SS	
								PAGE : Z,ZZ9	
DATE : DDDMMYY									
STM DATE	STOCK / CURRENCY	ISIN / MKT / STM POS NUM	QUANTITY / MKT PRICE (BASE CCY)	MARKET VALUE (BASE CCY)	POSITION AMOUNT (BASE CCY)	MARKS AMOUNT (BASE CCY)			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (CNY)		#ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-^			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (CNY)		XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING)	POS DUE FOR SETTLEMENT ON DDDMMYY)		: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (CNY)		#ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-^			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (CNY)		XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING)	POS DUE FOR SETTLEMENT ON DDDMMYY)		: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING POS)	BEFORE CROSS CURRENCY NETTING		: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING POS)	AFTER CROSS CURRENCY NETTING@		: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
			CREDIT LIMIT APPLIED@			: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
			MARKS REQUIREMENT (PENDING POS)			: CNY ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (HKD)		ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (HKD)		XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING)	POS DUE FOR SETTLEMENT ON DDDMMYY)		: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (HKD)		ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (HKD)		XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING)	POS DUE FOR SETTLEMENT ON DDDMMYY)		: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING POS)	BEFORE CROSS CURRENCY NETTING		: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING POS)	AFTER CROSS CURRENCY NETTING@		: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
			CREDIT LIMIT APPLIED@			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
			MARKS REQUIREMENT (PENDING POS)			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (USD)		#ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-^			
DDMMYY	XXXXX XXXXXXXXXXXXXXXXX (USD)		XXXXXXXXXXXXX HKMK XXXXXXXXX Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-			
			NET MARKS (PENDING)	POS DUE FOR SETTLEMENT ON DDDMMYY)		: USD ZZ,ZZZ,ZZZ,ZZ9.99-			

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RUN DATE : DDDMMYY
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STM DATE	STOCK / CURRENCY ISIN / MKT / STM POS NUM	QUANTITY / MKT PRICE (BASE CCY)	MARKET VALUE (BASE CCY)	POSITION AMOUNT (BASE CCY)	MARKS AMOUNT (BASE CCY)
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (PENDING POS DUE FOR SETTLEMENT ON DDDMMYY)				: USD ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (PENDING POS) BEFORE CROSS CURRENCY NETTING				: USD ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (PENDING POS) AFTER CROSS CURRENCY NETTING@				: USD ZZ,ZZZ,ZZZ,ZZ9.99-
	CREDIT LIMIT APPLIED@				: USD ZZ,ZZZ,ZZZ,ZZ9.99-
	MARKS REQUIREMENT (PENDING POS)				: USD ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(CNY) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(CNY) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DDDMMYY)				: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DDDMMYY)				: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (DUE / OVERDUE POS) BEFORE CROSS CURRENCY NETTING				: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (DUE / OVERDUE POS) AFTER CROSS CURRENCY NETTING@				: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
	MARKS REQUIREMENT (DUE / OVERDUE POS)				: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
	NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DDDMMYY)				: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX(HKD) XXXXXXXXXXXXXXX HKMK XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-

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RUN DATE : DMMYY
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DATE : DMMYY

STM DATE	STOCK / CURRENCY ISIN / MKT / STM POS NUM	QUANTITY / MKT PRICE (BASE CCY)	MARKET VALUE (BASE CCY)	POSITION AMOUNT (BASE CCY)	MARKS AMOUNT (BASE CCY)
		NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DMMYY)			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS) BEFORE CROSS CURRENCY NETTING			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS) AFTER CROSS CURRENCY NETTING@			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
		MARKS REQUIREMENT (DUE / OVERDUE POS)			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DMMYY)			: USD ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
DMMYY	XXXXX XXXXXXXXXXXXXXXX(USD) XXXXXXXXXXXXX HKMK XXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9- Z,ZZZ,ZZ9.99999	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS DUE FOR SETTLEMENT ON DMMYY)			: USD ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS) BEFORE CROSS CURRENCY NETTING			: USD ZZ,ZZZ,ZZZ,ZZ9.99-
		NET MARKS (DUE / OVERDUE POS) AFTER CROSS CURRENCY NETTING@			: USD ZZ,ZZZ,ZZZ,ZZ9.99-
		MARKS REQUIREMENT (DUE / OVERDUE POS)			: USD ZZ,ZZZ,ZZZ,ZZ9.99-

DATE : DDMMYY

LIQUID CAPITAL (HKD) : ZZ,ZZZ,ZZZ,ZZ9.99-
CONC COLLATERAL THRESHOLD (HKD) : ZZ,ZZZ,ZZZ,ZZ9.99- CONC COLLATERAL THRESHOLD %: ZZZ,ZZ9.99 MARKET VOLATILITY %: Z,ZZ9

STOCK / ISIN CODE	CCY / CNS NET LONG POS AMT (BASE CCY)	MARKS AMOUNT (BASE CCY)	CONC COLLATERAL REQUIRED (BASE CCY)
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	CNYZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	CNYZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
CONCENTRATION COLLATERAL (CNS NET LONG POS)			: CNY ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	HKDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
CONCENTRATION COLLATERAL (CNS NET LONG POS)			: HKD ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	USDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	USDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	USDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	USDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
99999 XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX	USDZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-	ZZ,ZZZ,ZZZ,ZZ9.99-
CONCENTRATION COLLATERAL (CNS NET LONG POS)			: USD ZZ,ZZZ,ZZZ,ZZ9.99-
CONCENTRATION COLLATERAL REQUIREMENT			: CNY ZZ,ZZZ,ZZZ,ZZ9.99- : HKD ZZ,ZZZ,ZZZ,ZZ9.99- : USD ZZ,ZZZ,ZZZ,ZZ9.99-
TOTAL MARKS AND COLLATERAL REQUIREMENT INCLUDING EOT			: CNY ZZ,ZZZ,ZZZ,ZZ9.99- : HKD ZZ,ZZZ,ZZZ,ZZ9.99- : USD ZZ,ZZZ,ZZZ,ZZ9.99-
TOTAL MARKS AND COLLATERAL REQUIREMENT WITHOUT EOT			: CNY ZZ,ZZZ,ZZZ,ZZ9.99- : HKD ZZ,ZZZ,ZZZ,ZZ9.99- : USD ZZ,ZZZ,ZZZ,ZZ9.99-

DATE : DMMYY

LIQUID CAPITAL (HKD) : ZZ,ZZZ,ZZZ,ZZ9.99-
 CONC COLLATERAL THRESHOLD (HKD) : ZZ,ZZZ,ZZZ,ZZ9.99- CONC COLLATERAL THRESHOLD %: ZZ,ZZ9.99 MARKET VOLATILITY %: Z,ZZ9

STOCK / ISIN CODE	CCY / CNS NET LONG POS AMT (BASE CCY)	MARKS AMOUNT (BASE CCY)	CONC COLLATERAL REQUIRED (BASE CCY)

	ADDITIONAL MARKS AND COLLATERAL REQUIREMENT DUE TO EOT		: CNY ZZ,ZZZ,ZZZ,ZZ9.99- : HKD ZZ,ZZZ,ZZZ,ZZ9.99- : USD ZZ,ZZZ,ZZZ,ZZ9.99-

MARKS AND COLLATERAL SHORTFALL

MARKS AND COLLATERAL SHORTFALL IN RESPECTIVE CURRENCY** : CNY ZZ,ZZZ,ZZZ,ZZ9.99-
 : HKD ZZ,ZZZ,ZZZ,ZZ9.99-
 : USD ZZ,ZZZ,ZZZ,ZZ9.99-

MARKS AND COLLATERAL SHORTFALL TO BE COLLECTED BY HKSCC VIA DDI : CNY ZZ,ZZZ,ZZZ,ZZ9.99-
 : HKD ZZ,ZZZ,ZZZ,ZZ9.99-
 : USD ZZ,ZZZ,ZZZ,ZZ9.99-

ADDITIONAL MARKS AND COLLATERAL DUE TO EOT TO BE COLLECTED BY SEOCH : CNY ZZ,ZZZ,ZZZ,ZZ9.99-
 : HKD ZZ,ZZZ,ZZZ,ZZ9.99-
 : USD ZZ,ZZZ,ZZZ,ZZ9.99-

TOTAL NO OF RECORD(S) : Z,ZZ9

REMARKS:

- ^ ABNORMAL POSITION WILL BE EXCLUDED FROM MARKS CALCULATION.
- # THE POSITION IS FULLY OR PARTIALLY COVERED BY SPECIFIC CASH / STOCK COLLATERAL.
- @ NET FAVOURABLE MARKS IN ONE CURRENCY WILL OFFSET NET UNFAVOURABLE MARKS IN OTHER CURRENCIES. THE ORDER OF USAGE AND COVERAGE OF MARKS IN DIFFERENT CURRENCIES WILL BE ACCORDING TO THE ALPHABETICAL ORDER OF THE CURRENCY.
- @@ CREDIT LIMIT IS APPLIED, IF APPLICABLE, PRO RATA TO THE PENDING MARKS OF ALL CURRENCIES IN HKD EQUIVALENCE AFTER CROSS CURRENCY NETTING.
- ** PLEASE REFER TO "POSTING / COLLATERALISATION RESULT REPORT" (CCMPY02) FOR THE DETAILS OF COLLATERALISATION.

NOTES:

1. WHEN THERE IS NO ADDITIONAL MARKS AND COLLATERAL REQUIREMENT DUE TO EOT, MARKS AND COLLATERAL REQUIREMENT INCLUDING EOT WILL BE PASSED TO CCMS FOR COLLATERALISATION AND THE SHORTFALL THEREOF WILL BE COLLECTED BY HKSCC VIA DDI.
2. FOR CLEARING PARTICIPANTS HAVING A DESIGNATED SEOCH PARTICIPANT SETUP IN CCMS, ADDITIONAL MARKS AND COLLATERAL REQUIREMENT DUE TO EOT WILL BE COLLECTED BY SEOCH. FOR OTHERS, ALL MARKS AND COLLATERAL REQUIREMENT INCLUDING EOT WILL BE COLLECTED BY HKSCC VIA DDI.

DDI: DIRECT DEBIT INSTRUCTION EOT: EXERCISED OPTIONS TRADES

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COVERED POSITIONS INFORMATION :

COVERED BY SPECIFIC CASH COLLATERAL (SCC)

STM DATE	STOCK / ISIN CODE / CURRENCY	STM POS NUMBER	ORIGINAL POSITION QUANTITY	QUANTITY COVERED BY SCC	QUANTITY OBLIGED TO MTM
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (HKD)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (CNY)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (USD)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-

COVERED BY SPECIFIC STOCK COLLATERAL (SSC)

STM DATE	STOCK / ISIN CODE / CURRENCY	STM POS NUMBER	ORIGINAL POSITION QUANTITY	QUANTITY COVERED BY SSC	QUANTITY OBLIGED TO MTM
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (HKD)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (CNY)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (USD)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-
DDMMYY	XXXXX XXXXXXXXXXXXXXXX XXXXXXXXXXXXXXX (XXX)	XXXXXXXXXX	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-	ZZ,ZZZ,ZZZ,ZZ9-

SUMMARY OF MARKS ON PENDING AND DUE / OVERDUE POSITIONS BY MARKET :

MARKET	NET MARKS PENDING POS	NET MARKS DUE/OVERDUE POS	NET MARKS PENDING & DUE/OVERDUE POS
HKMK	CNY ZZ,ZZZ,ZZZ,ZZ9.99-	CNY ZZ,ZZZ,ZZZ,ZZ9.99-	CNY ZZ,ZZZ,ZZZ,ZZ9.99-
	HKD ZZ,ZZZ,ZZZ,ZZ9.99-	HKD ZZ,ZZZ,ZZZ,ZZ9.99-	HKD ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
XXXX	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-
TOTAL	CNY ZZ,ZZZ,ZZZ,ZZ9.99-	CNY ZZ,ZZZ,ZZZ,ZZ9.99-	CNY ZZ,ZZZ,ZZZ,ZZ9.99-
	HKD ZZ,ZZZ,ZZZ,ZZ9.99-	HKD ZZ,ZZZ,ZZZ,ZZ9.99-	HKD ZZ,ZZZ,ZZZ,ZZ9.99-
	USD ZZ,ZZZ,ZZZ,ZZ9.99-	USD ZZ,ZZZ,ZZZ,ZZ9.99-	USD ZZ,ZZZ,ZZZ,ZZ9.99-
	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-	XXX ZZ,ZZZ,ZZZ,ZZ9.99-

EXCHANGE RATE INFORMATION :

CNY 1 = HKD 1.15123456789 USD 1 = HKD 7.8123456789 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999
 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999
 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999 XXX 1 = HKD ZZZZ9.999999999

*** END OF REPORT ***