Report G41- New Issues Entitlement Report (CEPBE01)

New Issues Reminder Section

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         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
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CEPBE01     XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX           NEW ISSUES ENTITLEMENT REPORT                                RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                            PAGE : Z,ZZ9


DATE : DDMMMYY

NEW ISSUES REMINDER (EIPO)
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STOCK                  ISIN             APPLICATION PERIOD       ALLOTMENT RESULT DATE  CURRENCY  STOCK PRICE     REFUND DATE
--------------------   ------------     -----------------------  ---------------------  --------  --------------- -----------
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX     YYYY-MM-DD - YYYY-MM-DD       YYYY-MM-DD          XXX     Z,ZZZ,ZZZ.ZZZZZ YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX     YYYY-MM-DD - YYYY-MM-DD       YYYY-MM-DD          XXX     Z,ZZZ,ZZZ.ZZZZZ YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX     YYYY-MM-DD - YYYY-MM-DD       YYYY-MM-DD          XXX     Z,ZZZ,ZZZ.ZZZZZ YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX     YYYY-MM-DD - YYYY-MM-DD       YYYY-MM-DD          XXX     Z,ZZZ,ZZZ.ZZZZZ YYYY-MM-DD


NEW ISSUES REMINDER (TENDERING)

                                                          TENDER       REFUND /       ALLOTMENT
STOCK                  ISIN           APPLICATION PERIOD   DATE    RECOLLECTION DATE    DATE       BID TYPE  PRICE BID(%)  CURRENCY
--------------------   ------------   ------------------  -------  -----------------  ---------    --------  ------------  --------
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   DDMMMYY - DDMMMYY   DDMMMYY      DDMMMYY         DDMMMYY        X         ZZ9.99       XXX
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   DDMMMYY - DDMMMYY   DDMMMYY      DDMMMYY         DDMMMYY        X         ZZ9.99       XXX
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   DDMMMYY - DDMMMYY   DDMMMYY      DDMMMYY         DDMMMYY        X         ZZ9.99       XXX
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   DDMMMYY - DDMMMYY   DDMMMYY      DDMMMYY         DDMMMYY        X         ZZ9.99       XXX


EIPO CONFIRMATION REMINDER
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STOCK                  ISIN           START DATE / TIME   END DATE / TIME     CURRENCY   UNCONFIRMED APPLICATION REFUND DATE
---------------------  ------------   -----------------   -----------------   --------   ------------------------------------
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   YYYY-MM-DD  HH:MM   YYYY-MM-DD  HH:MM     XXX                YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   YYYY-MM-DD  HH:MM   YYYY-MM-DD  HH:MM     XXX                YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   YYYY-MM-DD  HH:MM   YYYY-MM-DD  HH:MM     XXX                YYYY-MM-DD
XXXXX XXXXXXXXXXXXXXX  XXXXXXXXXXXX   YYYY-MM-DD  HH:MM   YYYY-MM-DD  HH:MM     XXX                YYYY-MM-DD
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New Issues Application Section

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        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
    ------------------------------------------------------------------------------------------------------------------------------------
1   CEPBE01     XXXXXX                                        HKSCC - CCASS                                      RUN DATE : DDMMMYY
2   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX           NEW ISSUES ENTITLEMENT REPORT                              RUN TIME : HH:MM:SS
3   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                          PAGE : Z,ZZ9
4
5
6   DATE : DDMMMYY
7
8   NEW ISSUES APPLICATION (EIPO)
9   =============================
10
11  STOCK       : XXXXX XXXXXXXXXXXXXXX        ISIN : XXXXXXXXXXXX   CURRENCY : XXX          SECOND STOCK PRICE  : Z,ZZZ,ZZZ.ZZZZZ
12  STOCK PRICE : Z,ZZZ,ZZ9.99999  REFUND DATE : YYYY-MM-DD  SHARE POSTING DATE : YYYY-MM-DD   SECOND REFUND DATE  : YYYY-MM-DD
13
14  APPL            BENEFICIAL OWNER            APPLICATION QUANTITY          SUCCESSFUL QTY         REFUND AMOUNT   TOTAL REFUND AMOUNT
15  INSTR NO        UNSUCCESSFUL REASON            APPLICATION MONEY  EPI NO   UNSUCCESS QTY  SECOND REFUND AMOUNT FIRM ID / BROKER NO.
16  --------- --------------------------------- --------------------  ------  --------------  -------------------- --------------------
17  XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX        ZZ,ZZZ,ZZZ,ZZ9  XXXXXX  ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99
18            XX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9.99          ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZZ.ZZ XXXXX XXXXX
19  XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX        ZZ,ZZZ,ZZZ,ZZ9  XXXXXX  ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99
20            XX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9.99          ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZZ.ZZ XXXXX XXXXX
21  XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX        ZZ,ZZZ,ZZZ,ZZ9  XXXXXX  ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99
22            XX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9.99          ZZ,ZZZ,ZZZ,ZZ9  Z,ZZZ,ZZZ,ZZZ,ZZZ.ZZ XXXXX XXXXX
23
24                              TOTAL               SUCCESSFUL            UNSUCCESSFUL                      REFUND          SECOND REFUND
25                ----------------------  -------------------       -----------------        ----------------------  --------------------
26  NO. OF APPL            ZZ,ZZZ,ZZ9             ZZ,ZZZ,ZZ9             ZZ,ZZZ,ZZ9
27  APPL QUANTITY   Z,ZZZ,ZZZ,ZZZ,ZZ9       Z,ZZZ,ZZZ,ZZZ,ZZ9       Z,ZZZ,ZZZ,ZZZ,ZZ9
28  APPL MONEY    ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99 ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99
29
30
31
32
33
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    +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234
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CEPBE01     XXXXXX                                    HKSCC - CCASS                                      RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          NEW ISSUES ENTITLEMENT REPORT                         RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                    PAGE : Z,ZZ9


DATE : DDMMMYY

NEW ISSUES APPLICATION (TENDERING)
==================================

STOCK       : XXXXX XXXXXXXXXXXXXXX       ISIN : XXXXXXXXXXXX TENDER DATE   : DDMMMYY    ALLOTMENT STATUS   : XXX    CURRENCY : XXX
REFUND/RECOLLECTION DATE : DDMMMYY               ALLOTMENT DATE: DDMMMYY  FINAL ACCEPTED PRICE(%): ZZZ.ZZ

INSTR NO        BENEFICIAL OWNER                  APPLICATION QTY              BROKERAGE  SUCCESSFUL QTY    REFUND/RECOLLECT AMOUNT
PRICE BID       UNSUCCESSFUL REASON                  TENDER MONEY  TRAN LEVY & TRADE FEE   UNSUCCESS QTY    FIRM ID / BROKER NO.
--------- -------------------------------- ---------------------  ---------------------  -------------- --------------------------
XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-
ZZ9.99%   XX XXXXXXXXXXXXXXXXXXXXXXXXXXXX  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 XXXXX XXXXX
XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-
ZZ9.99%   XX XXXXXXXXXXXXXXXXXXXXXXXXXXXX  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 XXXXX XXXXX
XXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-
ZZ9.99%   XX XXXXXXXXXXXXXXXXXXXXXXXXXXXX  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZ,ZZZ,ZZZ,ZZ9 XXXXX XXXXX

                                      TOTAL            SUCCESSFUL            UNSUCCESSFUL         REFUND/RECOLLECT AMT
                         ----------------------  ------------------        -----------------      -------------------------
NO. OF APPL                        ZZ,ZZZ,ZZ9            ZZ,ZZZ,ZZ9              ZZ,ZZZ,ZZ9
APPL QUANTITY              Z,ZZZ,ZZZ,ZZZ,ZZ9      Z,ZZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9
APPL MONEY               ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-
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EIPO Unconfirmed Application Refund Section

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        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
      123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
      ------------------------------------------------------------------------------------------------------------------------------------
1     CEPBE01     XXXXXX                                        HKSCC - CCASS                                      RUN DATE : DDMMMYY
2     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX           NEW ISSUES ENTITLEMENT REPORT                             RUN TIME : HH:MM:SS
3     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                        PAGE : Z,ZZ9
4
5
6     DATE : DDMMMYY
7
8     EIPO CONFIRMATION - UNCONFIRMED APPLICATION REFUND
9     ==================================================
10
11    STOCK : XXXXX XXXXXXXXXXXXXXX   ISIN : XXXXXXXXXXXX   CURRENCY : XXX   UNCONFIRMED APPLICATION REFUND DATE : YYYY-MM-DD
12
13                                                                                                   REFUND AMOUNT FOR
14    APPL INSTR NO        BENEFICIAL OWNER          APPLICATION QUANTITY  ALLOTTED QTY    UNCONFIRMED QTY   UNCONFIRMED APPLICATION
15    ------------- -------------------------------- --------------------  --------------  ----------------  --------------------------
16    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
17    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
18    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
19    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
20    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
21    XXXXXXXXX     XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX       ZZ,ZZZ,ZZZ,ZZ9  ZZ,ZZZ,ZZZ,ZZ9    ZZ,ZZZ,ZZZ,ZZ9        Z,ZZZ,ZZZ,ZZZ,ZZ9.99
22
23    NO. OF UNCONFIRMED APPLICATIONS                  :        ZZZ,ZZZ,ZZ9
24    TOTAL UNCONFIRMED QUANTITY                       :    ZZZ,ZZZ,ZZZ,ZZ9
25    TOTAL REFUND AMOUNT FOR UNCONFIRMED APPLICATIONS : ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99
26
27
28                                                          *** END OF REPORT ***
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