

Report G36 - Nominees Functions Input Activities Report (CCNPT01)

+ 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9 + 0 + 1 + 2 + 3
 123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012

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1. CCNPT01          XXXXXX                      HKSCC - CCASS                      RUN DATE : DDMMYY
2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX      NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT      RUN TIME : HH:MM:SS
3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX      (MARKET-XXXXXXXXXXXXXXXXXXXX)                 PAGE : Z,ZZ9
  
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4.
5.
6. DATE : DDMMYY
7.
  
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8. BENEFIT ENTITLEMENT / STRUCTURED PRODUCTS PAYMENT ELECTION

=====													
ACTION TYPE	INSTR.NO.	STS	ORIGINAL STOCK ISIN	HLDG TYPE	INSTRUCTION SNAPSHOT TOTAL	LP NO.	ANCM NO.	EVT NO.	ELECTED SHARES	----	ACTION BY USER	----	TERL TIME
----	----	----	-----	----	-----	----	----	----	-----	----	----	----	----
XXX (XXX)	X99999999	X	XXXXX XXXXXXXXXXXXXXXXXX	X	Z,ZZZ,ZZZ,ZZZ,ZZ9	XX99999999	X999999999	99	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXXX	XXXX	HH:MM	
			XXXXXXXXXXXXXX					99	Z,ZZZ,ZZZ,ZZZ,ZZ9				
			XXXXXXXXXXXXXX					99	Z,ZZZ,ZZZ,ZZZ,ZZ9				
XXX (XXX)	X99999999	X	XXXXX XXXXXXXXXXXXXXXXXX	X	Z,ZZZ,ZZZ,ZZZ,ZZ9	XX99999999	X999999999	99	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXXX	XXXX	HH:MM	
			XXXXXXXXXXXXXX					99	Z,ZZZ,ZZZ,ZZZ,ZZ9				
			XXXXXXXXXXXXXX					99	Z,ZZZ,ZZZ,ZZZ,ZZ9				

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21. TOTAL NO. OF RECORDS ADDED       : ZZ,ZZ9
22. TOTAL NO. OF RECORDS CHANGED    : ZZ,ZZ9
23. TOTAL NO. OF RECORDS DELETED    : ZZ,ZZ9
24. TOTAL NO. OF RECORDS AUTHORIZED : ZZ,ZZ9
25. TOTAL NO. OF RECORDS CANCELLED  : ZZ,ZZ9
  
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26. TAKEOVER OFFER

=====													
ACTION TYPE	INSTR.NO.	STATUS	ORIGINAL STOCK ISIN	ANCM. NO.	STK A/C	TAKE-UP QTY	----	ACTION BY USER	----	TERL	TIME	----	----
----	----	----	-----	----	----	-----	----	----	----	----	----	----	----
XXX (XXX)	X99999999	X	XXXXX XXXXXXXXXXXXXXXXXX	X99999999	XXXXXXXXXX	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXXX	XXXX	HH:MM				
			XXXXXXXXXXXXXX										
XXX (XXX)	X99999999	X	XXXXX XXXXXXXXXXXXXXXXXX	X99999999	XXXXXXXXXX	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXXX	XXXX	HH:MM				
			XXXXXXXXXXXXXX										
XXX (XXX)	X99999999	X	XXXXX XXXXXXXXXXXXXXXXXX	X99999999	XXXXXXXXXX	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXXX	XXXX	HH:MM				
			XXXXXXXXXXXXXX										

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39. TOTAL NO. OF RECORDS ADDED       : ZZ,ZZ9
40. TOTAL NO. OF RECORDS CHANGED    : ZZ,ZZ9
41. TOTAL NO. OF RECORDS DELETED    : ZZ,ZZ9
42. TOTAL NO. OF RECORDS AUTHORIZED : ZZ,ZZ9
: TOTAL NO. OF RECORDS CANCELLED  : ZZ,ZZ9
:
:
60.
  
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1. CCNPT01          XXXXXX          HKSCC - CCASS          RUN DATE: DDMMYY
2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT          RUN TIME: HH:MM:SS
3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          (MARKET-XXXXXXXXXXXXXXXX)          PAGE : Z,ZZ9
4.
5.
6. DATE : DDMMYY
7.
8. WARRANT SUBSCRIPTION
9. =====
10.
11. ACTION          ORIGINAL STOCK          SUBSCRIPTION          ---- ACTION BY ----
12. TYPE          INSTR.NO.  STATUS  ISIN          ANCM. NO.  STK A/C          QUANTITY          USER  TERL  TIME
13. -----
14. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
15. XXXXXXXXXX
16. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
17. XXXXXXXXXX
18. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
19. XXXXXXXXXX
20.
21. TOTAL NO. OF RECORDS ADDED          : ZZ,ZZ9
22. TOTAL NO. OF RECORDS CHANGED        : ZZ,ZZ9
23. TOTAL NO. OF RECORDS DELETED        : ZZ,ZZ9
24. TOTAL NO. OF RECORDS AUTHORIZED     : ZZ,ZZ9
25. TOTAL NO. OF RECORDS CANCELLED     : ZZ,ZZ9
:
60. REMARK: * INDICATES SUBSCRIPTION INSTRUCTION WITH CHATS PAYMENT
  
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1. CCNPT01          XXXXXX          HKSCC - CCASS          RUN DATE : DDMMYY
2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT          RUN TIME : HH:MM:SS
3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          (MARKET-XXXXXXXXXXXXXXXX)          PAGE : Z,ZZ9
4.
5.
6. DATE : DDMMYY
7.
8. RIGHTS / EXCESS RIGHTS SUBSCRIPTION
9. =====
10.
11. ACTION          ORIGINAL STOCK          SUBSCRIPTION          ---- ACTION BY ----
12.  TYPE          INSTR.NO.  STATUS  ISIN          ANCM. NO.  STK A/C          QUANTITY          USER  TERL  TIME
13. -----
14. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
15. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
16. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
17. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
18. XXX (XXX)  X99999999*  X  XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
19.
20.
21. TOTAL NO. OF RECORDS ADDED          : ZZ,ZZ9
22. TOTAL NO. OF RECORDS CHANGED        : ZZ,ZZ9
23. TOTAL NO. OF RECORDS DELETED        : ZZ,ZZ9
24. TOTAL NO. OF RECORDS AUTHORIZED     : ZZ,ZZ9
25. TOTAL NO. OF RECORDS CANCELLED      : ZZ,ZZ9
:
60. REMARK: * INDICATES SUBSCRIPTION INSTRUCTION WITH CHATS PAYMENT
  
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1. CCNPT01 XXXXXX HKSCC - CCASS RUN DATE : DDMMYY
2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT RUN TIME : HH:MM:SS
3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX (MARKET-XXXXXXXXXXXXXXXX) PAGE : Z,ZZ9
4.
5.
6. DATE : DDMMYY
7.
8. OPEN OFFER / EXCESS OPEN OFFER SUBSCRIPTION
9. =====
10.
11. ACTION ORIGINAL STOCK SUBSCRIPTION ---- ACTION BY ----
12. TYPE INSTR.NO. STATUS ISIN ANCM. NO. STK A/C QUANTITY USER TERL TIME
13. -----
14. XXX (XXX) X99999999* X XXXXX XXXXXXXXXXXXXXXXXXXX X99999999 XXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 XXXXXXXX1XXXX HH:MM
15. XXXXXXXXXXXXXXXX
16. XXX (XXX) X99999999* X XXXXX XXXXXXXXXXXXXXXXXXXX X99999999 XXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 XXXXXXXX1XXXX HH:MM
17. XXXXXXXXXXXXXXXX
18. XXX (XXX) X99999999* X XXXXX XXXXXXXXXXXXXXXXXXXX X99999999 XXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 XXXXXXXX1XXXX HH:MM
19. XXXXXXXXXXXXXXXX
20.
21. TOTAL NO. OF RECORDS ADDED : ZZ,ZZ9
22. TOTAL NO. OF RECORDS CHANGED : ZZ,ZZ9
23. TOTAL NO. OF RECORDS DELETED : ZZ,ZZ9
24. TOTAL NO. OF RECORDS AUTHORIZED : ZZ,ZZ9
25. TOTAL NO. OF RECORDS CANCELLED : ZZ,ZZ9
:
60. REMARK: * INDICATES SUBSCRIPTION INSTRUCTION WITH CHATS PAYMENT

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CNPT01		HKSCC - CCASS			RUN DATE : DDDMMYY		
XX		NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT			RUN TIME : HH:MM:SS		
XX		(MARKET-XXXXXXXXXXXXXXXXXXXX)			PAGE : Z,ZZ9		
DATE : DDDMMYY							
CORPORATE VOTING							
=====							
ACTION		ANCM NO.	STATUS	INSTR SNSH HLDG	"NON VOTED"		
TYPE		DESCRIPTION	SUMMARY	"ABSTAIN/WITHHOLD"	ACTION BY		
STOCK A/C		"DEMAND POLL"	"FOR"	"AGAINST"	SHARE QTY OF CR/P		
NO. IN PROXY FORM		"ABSTAIN/WITHHOLD"	"ABSTAIN/WITHHOLD"	"NON VOTED"	USER TERL TIME		
ISIN		NAME OF CORP REP / PROXY	ADDRESS OF CORP REP / PROXY	CR/P STATUS	PROXY "ABSTAIN/WITHHOLD" PROXY "NON VOTED"		
NO. IN PROXY FORM		PROXY "FOR"	PROXY "AGAINST"	PROXY "ABSTAIN/WITHHOLD"	PROXY "NON VOTED"		
XXX (XXX)	X99999999	XXXXX XXXXXXXXXXXXXXXX	X99999999	X	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXX	XXXX HH:MM
	XXXXXXXXX	XXXXXXXXXXXXXXX					
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
XXX (XXX)	X99999999	XXXXX XXXXXXXXXXXXXXXX	X99999999	X	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXX	XXXX HH:MM
	XXXXXXXXX	XXXXXXXXXXXXXXX					
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
		XXXXX	XX	X			
		XX	XX		Z,ZZZ,ZZZ,ZZZ,ZZ9		
		XX	XX				
		XX	XX				
		XX	XX				
		XX	XX				
		XX	XX				
XXX (XXX)	X99999999	XXXXX XXXXXXXXXXXXXXXX	X99999999	X	Z,ZZZ,ZZZ,ZZZ,ZZ9	XXXXXXXXX	XXXX HH:MM
	XXXXXXXXX	XXXXXXXXXXXXXXX					
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
		XXXXXXXXXXXXXXX	XX				
		Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	Z,ZZZ,ZZZ,ZZZ,ZZ9	
		XXXXX	XX	X			
		XX	XX		Z,ZZZ,ZZZ,ZZZ,ZZ9		
		XX	XX				

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1. CCNPT01      XXXXXX      HKSCC - CCASS      RUN DATE : DDMMYY
2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX      NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT      RUN TIME : HH:MM:SS
3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX      (MARKET-XXXXXXXXXXXXXXXX)      PAGE : Z,ZZ9
4.
5.
6. DATE : DDMMYY
7.
8. REDEMPTION
9. =====
10.
11. ACTION              ORIGINAL STOCK              ----- ACTION BY -----
12.  TYPE              INSTR.NO.  STATUS      ISIN              ANCM. NO.  STK A/C      REDEMPTION QTY      USER      TERL  TIME
13. -----
14. XXX (XXX)  X99999999  X      XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
15. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
16. XXX (XXX)  X99999999  X      XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
17. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
18. XXX (XXX)  X99999999  X      XXXXX XXXXXXXXXXXXXXXXXXXX  X99999999  XXXXXXXX  Z,ZZZ,ZZZ,ZZZ,ZZ9  XXXXXXXX  XXXX  HH:MM
19. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
20.
21. TOTAL NO. OF RECORDS ADDED      : ZZ,ZZ9
22. TOTAL NO. OF RECORDS CHANGED    : ZZ,ZZ9
23. TOTAL NO. OF RECORDS DELETED    : ZZ,ZZ9
24. TOTAL NO. OF RECORDS AUTHORIZED : ZZ,ZZ9
25. TOTAL NO. OF RECORDS CANCELLED  : ZZ,ZZ9
26.
27.
28.
29.
30.
31.
32.
33.
34.
35.
36.
37.
38.
39.
:
:
:
60.
  
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 123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012

1. CCNPT01 XXXXXX HKSCC - CCASS RUN DATE : DDMMYY
 2. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT RUN TIME : HH:MM:SS
 3. XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX (MARKET-XXXXXXXXXXXXXXXX) PAGE : Z,ZZ9

4.
 5.
 6. DATE : DDMMYY

7.
 8. INTRA-DAY PAYMENT STANDING INSTRUCTION
 9. =====

ACTION TYPE	CURRENCY	NOMINEES PAYMENT	RFD OVERPAID CASH PREPAYMENT	CNS MONEY OBLIGATIONS	PAYMENT AMOUNT THRESHOLD	STATUS	USER	TERL	ACTION BY TIME
14.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
15.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
16.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
17.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
18.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
19.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
20.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
21.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
22.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM
23.	XXX (XXX)	XXX	X	X	X	Z,ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99	X	XXXXXXXXXX	XXXX HH:MM

24.
 25.
 26. TOTAL NO. OF RECORDS ADDED : ZZ,ZZ9
 27. TOTAL NO. OF RECORDS CHANGED : ZZ,ZZ9
 28. TOTAL NO. OF RECORDS DELETED : ZZ,ZZ9
 29. TOTAL NO. OF RECORDS AUTHORIZED : ZZ,ZZ9
 30. TOTAL NO. OF RECORDS CANCELLED : ZZ,ZZ9

31.
 32.
 33.
 34.
 35.
 :
 :
 :
 60.

