

Report G37b – Participant Voted Quantity Exception Report - Before Voting Deadline (CCNPT04)

+ 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9 + 0 + 1 + 2 + 3
 1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012

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1  CCNPT04      XXXXXX      HKSCC - CCASS      RUN DATE :  DDMMYY
2  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX PARTICIPANT VOTED QUANTITY EXCEPTION REPORT - BEFORE VOTING DEADLINE RUN TIME :  HH:MM:SS
3  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX (MARKET - XXXXXXXXXXXXXXXXX) PAGE :  Z,ZZ9
4
5
6  DATE :  DDMMYY
7
8  EXCEPTION OCCURRED AS OF SHAREHOLDING DATE
9  =====
10
11 (A) TOTAL INSTRUCTION HOLDINGS > HOLDINGS AS OF SHAREHOLDING DATE; RE-INPUT REQUIRED
12
13 STOCK          :  XXXXX XXXXXXXXXXXXXXXX  ANNOUNCEMENT NO.          :  XXXXXXXXX
14 MEETING DATE   :  DD MMM YY          VOTING END DATE / TIME    :  DD MMM YY / 16:15
15 SHAREHOLDING DATE :  DD MMM YY          HOLDINGS AS OF SHAREHOLDING DATE :  Z,ZZZ,ZZZ,ZZZ,ZZ9
16 TOTAL INSTRUCTION HOLDINGS :  Z,ZZZ,ZZZ,ZZZ,ZZ9  NO. OF CORP REP/PROXY INSTRUCTION:  ZZ,ZZ9
17 INSTRUCTION NO. (BEFORE/AFTER): XXXXXXXXX / XXXXXXXXX  STOCK A/C                :  XXXXXXXXX
18 MEETING TYPE   :  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
19
20 (B) TOTAL INSTRUCTION HOLDINGS > 0, HOLDINGS AS OF SHAREHOLDING DATE = 0; INSTRUCTION(S) CANCELLED
21
22 STOCK          :  XXXXX XXXXXXXXXXXXXXXX  ANNOUNCEMENT NO.          :  XXXXXXXXX
23 MEETING DATE   :  DD MMM YY          VOTING END DATE / TIME    :  DD MMM YY / 16:15
24 SHAREHOLDING DATE :  DD MMM YY          HOLDINGS AS OF SHAREHOLDING DATE :  Z,ZZZ,ZZZ,ZZZ,ZZ9
25 TOTAL INSTRUCTION HOLDINGS :  Z,ZZZ,ZZZ,ZZZ,ZZ9  NO. OF CORP REP/PROXY INSTRUCTION:  ZZ,ZZ9
26 INSTRUCTION NO. :  XXXXXXXXX          STOCK A/C                :  XXXXXXXXX
27 MEETING TYPE   :  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
28
29
30 POSSIBLE EXCEPTION OCCURRED AS OF THE DAY BEFORE SHAREHOLDING DATE;
31 INSTRUCTION HOLDINGS SHOULD BE MAINTAINED IN STOCK ACCOUNT UP TO SHAREHOLDING DATE
32 =====
33
34 (A) TOTAL INSTRUCTION HOLDINGS > HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE
35
36 STOCK          :  XXXXX XXXXXXXXXXXXXXXX  ANNOUNCEMENT NO.          :  XXXXXXXXX
37 MEETING DATE   :  DD MMM YY          VOTING END DATE / TIME    :  DD MMM YY / 16:15
38 SHAREHOLDING DATE :  DD MMM YY          HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE:  Z,ZZZ,ZZZ,ZZZ,ZZ9
39 TOTAL INSTRUCTION HOLDINGS :  Z,ZZZ,ZZZ,ZZZ,ZZ9  NO. OF CORP REP/PROXY INSTRUCTION :  ZZ,ZZ9
40 INSTRUCTION NO. :  XXXXXXXXX          STOCK A/C                :  XXXXXXXXX
41 MEETING TYPE   :  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
42
43 (B) TOTAL INSTRUCTION HOLDINGS > 0 , HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE = 0
44
45 STOCK          :  XXXXX XXXXXXXXXXXXXXXX  ANNOUNCEMENT NO.          :  XXXXXXXXX
46 MEETING DATE   :  DD MMM YY          VOTING END DATE / TIME    :  DD MMM YY / 16:15
47 SHAREHOLDING DATE :  DD MMM YY          HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE:  Z,ZZZ,ZZZ,ZZZ,ZZ9
48 TOTAL INSTRUCTION HOLDINGS :  Z,ZZZ,ZZZ,ZZZ,ZZ9  NO. OF CORP REP/PROXY INSTRUCTION :  ZZ,ZZ9
49 INSTRUCTION NO. :  XXXXXXXXX          STOCK A/C                :  XXXXXXXXX
50 MEETING TYPE   :  XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
51
52
53
54 *** END OF REPORT ***
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56
57
58
59
60
    
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