





# Report G37a – Participant Voted Quantity Exception Report - On Voting Deadline (CCNPT03)

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+ 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9 + 0 + 1 + 2 + 3
123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
01 CCNPT03          XXXXXX                               HKSCC - CCASS                               RUN DATE :  DDMMYY
02 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX PARTICIPANT VOTED QUANTITY EXCEPTION REPORT ON VOTING DEADLINE RUN TIME :  HH:MM:SS
03 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX (MARKET - XXXXXXXXXXXXXXXX)                               PAGE :    Z,ZZ9
04
05
06 DATE :  DDMMYY
07
08 (A) TOTAL INSTRUCTION HOLDINGS > HOLDINGS AS OF SHAREHOLDING DATE; INSTRUCTION(S) SCALED DOWN
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10
11 INSTR. NO.          STK A/C          SHARE QTY          NO. IN          PROXY          PROXY          PROXY          PROXY
12 NAME OF CORP/PROXY  REPRESENTED       BY CR/P           PROXY FORM      "FOR"          "AGAINST"      "ABSTAIN/WITHHOLD" "NON VOTED"
13 -----
14 XXXXXXXXX (BEFORE) XXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9
15
16 XX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
17 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
18 XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
19
20 XXXXXXXXX (AFTER ) XXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9
21
22 XX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
23 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
24 XXXXXXXXXXXX Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9 Z,ZZZ,ZZZ,ZZZ,ZZ9
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26
27 (B) TOTAL INSTRUCTION HOLDINGS > 0 , HOLDINGS AS OF SHAREHOLDING DATE = 0; INSTRUCTION(S) CANCELLED
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29 STOCK               : XXXXX XXXXXXXXXXXXXXXX          ANNOUNCEMENT NO.           : XXXXXXXXX
30 MEETING DATE        : DD MMM YY                   VOTING END DATE / TIME     : DD MMM YY / 16:15
31 SHAREHOLDING DATE   : DD MMM YY                   HOLDINGS AS OF SHAREHOLDING DATE : Z,ZZZ,ZZZ,ZZZ,ZZ9
32 TOTAL INSTRUCTION HOLDINGS: Z,ZZZ,ZZZ,ZZZ,ZZ9          NO. OF CORP REP/PROXY INSTRUCTION: ZZ,ZZ9
33 INSTRUCTION NO.     : XXXXXXXXX                   STOCK A/C                  : XXXXXXXXX
34 MEETING TYPE        : XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
35
36 STOCK               : XXXXX XXXXXXXXXXXXXXXX          ANNOUNCEMENT NO.           : XXXXXXXXX
37 MEETING TYPE / DATE : XXX / DD MMM YY           VOTING END DATE / TIME     : DD MMM YY / 16:15
38 SHAREHOLDING DATE   : DD MMM YY                   HOLDINGS AS OF SHAREHOLDING DATE : Z,ZZZ,ZZZ,ZZZ,ZZ9
39 TOTAL INSTRUCTION HOLDINGS: Z,ZZZ,ZZZ,ZZZ,ZZ9          NO. OF CORP REP/PROXY INSTRUCTION: ZZ,ZZ9
40 INSTRUCTION NO.     : XXXXXXXXX                   STOCK A/C                  : XXXXXXXXX
41 MEETING TYPE        : XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
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