G57 - TSF Exception Handling Fee Report

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CSETF13   XXXXXX                                    HKSCC - CCASS                                   RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX     TSF EXCEPTION HANDLING FEE REPORT                    RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                              PAGE : Z,ZZ9

DATE : DDMMMYY

EXCEPTION HANDLING FEE FOR FAILED PAYMENT BY 3 PM
=================================================

FX TRANS PAYMENT CCY & AMT    FX TRANS TYPE                FEE CALCULATION AMT(HKD)        FEE AMT(HKD)
--------------------------    -------------------------    ------------------------    -----------------
XXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    XXXXXXXXXXXXXXXXXXXXXXXXX      ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    ZZ,ZZZ,ZZZ,ZZ9.99

XXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    XXXXXXXXXXXXXXXXXXXXXXXXX      ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    ZZ,ZZZ,ZZZ,ZZ9.99

XXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    XXXXXXXXXXXXXXXXXXXXXXXXX      ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99    ZZ,ZZZ,ZZZ,ZZ9.99
                                                                                       ------------------
                                                                                 TOTAL: ZZZ,ZZZ,ZZZ,ZZ9.99
```

G57 - TSF Exception Handling Fee Report

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CSETF13   XXXXXX                                        HKSCC - CCASS                                        RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          TSF EXCEPTION HANDLING FEE REPORT                          RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                        PAGE : Z,ZZ9

DATE : DDMMMYY

EXCEPTION HANDLING FEE FOR FAILED CNS SETTLEMENT
================================================

                          TSF POS AMT(RMB)/    CNS POS AMT(RMB)/          CNS POS FOR           CNS POS FOR          UNFULFILLED
STOCK / ISIN                TSF POS AMT(HKD)   CNS SETTLED AMT(RMB)          TSF (RMB)             TSF (HKD)       TSF AMT (RMB)
---------------------  -----------------------  --------------------  ------------------  --------------------  ------------------
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
XXXXX XXXXXXXXXXXXXX  ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-    ZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
      XXXXXXXXXXXX     ZZZ,ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZ,ZZZ,ZZZ,ZZ9.99-
                                                                  -------------------  -------------------  -------------------
                                                            TOTAL: ZZZ,ZZZ,ZZZ,ZZ9.99-  ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZ9.99-

                                       FEE CALCULATION AMOUNT(HKD):  ZZ,ZZZ,ZZZ,ZZ9.99

                                                   FEE AMOUNT(HKD):  ZZ,ZZZ,ZZZ,ZZ9.99
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G57 - TSF Exception Handling Fee Report

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CSETF13   XXXXXX                                          HKSCC - CCASS                                          RUN DATE : DDMMMYY
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX          TSF EXCEPTION HANDLING FEE REPORT                              RUN TIME : HH:MM:SS
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                                                             PAGE : Z,ZZ9

DATE : DDMMMYY

EXCEPTION HANDLING FEE FOR EARMARK SHORTFALL
============================================

                                                                                                CNS RECEIVING   FEE CALCULATION
STOCK / ISIN                  TSF POS QTY      TSF POS AMT (HKD)   TSF SHORTFALL QTY   OUTSTAND QTY         AMT (HKD)  FEE AMT(HKD)
--------------------- ------------------- --------------------- ------------------- -------------- ----------------- -------------
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX
XXXXX XXXXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZZ,ZZ9.99- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9 ZZ,ZZZ,ZZZ,ZZ9.99 ZZ,ZZZ,ZZ9.99
      XXXXXXXXXXXX                                                                                                  --------------
                                                                                                          TOTAL: ZZZ,ZZZ,ZZ9.99

                                                   *** END OF REPORT ***
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