

Report G54- TSF FX Transaction/STK RLSE Activity / Status Report

```

+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
13 CSETF03      XXXXXX                                HKSCC - CCASS                                RUN DATE : DMMMYY 3
23 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX         TSF FX TRANSACTION/STK RLSE ACTIVITY / STATUS REPORT    RUN TIME : HH:MM:SS 3
33 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX                                     PAGE : Z,ZZ9      3
43
53
63 DATE : DMMMYY                                     3
73
83 STOCK RELEASE REQUEST MAINTENANCE ACTIVITY         3
93 =====                                           3
103
113 A)ACTION      STOCK / ISIN                                RCV STK A/C           REQ DTE              RMB FX AMT (RMB)     TIME / UPDATE BY /TERM ID 3
123 B)            STK RLSE REQ NO / PURPOSE                        STK RLSE REQ QTY     PMT STM DTE         PRELIM HKD RECV AMT (HKD)  STK PRICE
133 C)            STATUS      / SESSION  DLV STK A/C          DLV STK A/C BAL       RMB FX RATE
143 -----
153 A)XXXXXXXXXX  XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX  XXXXXXXX           DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   HH:MM  XXXXXXXX      XXXX 3
163 B)            XXXXXXXX      / XXXXXXXX          ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   Z,ZZZ,ZZ9.99999
173 C)            XXXXXXXX      / XX              XXXXXXXX           ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  ZZ,ZZ9.9999999999
183
193 A)XXXXXXXXXX  XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX  XXXXXXXX           DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   HH:MM  XXXXXXXX      XXXX 3
203 B)          B  XXXXXXXX      / XXXXXXXX          ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   Z,ZZZ,ZZ9.99999
213 C)            XXXXXXXX      / XX              XXXXXXXX           ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  ZZ,ZZ9.9999999999
223
233 A)            XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX  XXXXXXXX           DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   HH:MM  XXXXXXXX      XXXX 3
243 B)          A  XXXXXXXX      / XXXXXXXX          ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   Z,ZZZ,ZZ9.99999
253 C)            XXXXXXXX      / XX              XXXXXXXX           ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  ZZ,ZZ9.9999999999
263
273 A)XXXXXXXXXX  XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX  XXXXXXXX           DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   HH:MM  XXXXXXXX      XXXX 3
283 B)            XXXXXXXX      / XXXXXXXX          ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   Z,ZZZ,ZZ9.99999
293 C)            XXXXXXXX      / XX              XXXXXXXX           ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  ZZ,ZZ9.9999999999
303
313 A)XXXXXXXXXX  XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXX  XXXXXXXX           DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   HH:MM  XXXXXXXX      XXXX 3
323 B)            XXXXXXXX      / XXXXXXXX          ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  DMMMYY           ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99-   Z,ZZZ,ZZ9.99999
333 C)            XXXXXXXX      / XX              XXXXXXXX           ZZZ,ZZZ,ZZZ,ZZZ,ZZ9-  ZZ,ZZ9.9999999999
343
353
363 TOTAL NUMBER OF REQUEST ADDED                         : ZZZ,ZZ9         3
373 TOTAL NUMBER OF REQUEST CHANGED                       : ZZZ,ZZ9         3
383 TOTAL NUMBER OF REQUEST DELETED                       : ZZZ,ZZ9         3
393 TOTAL NUMBER OF REQUEST AUTHORIZED                   : ZZZ,ZZ9         3
403 TOTAL NUMBER OF REQUEST PURGED                       : ZZZ,ZZ9         3
413
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+

```

```

+ 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9 + 0 + 1 + 2 + 3
1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
-----+
13 CSETF03 XXXXXX HKSCC - CCASSS RUN DATE : DDMMYY 3
23 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX TSF FX TRANSACTION/STK RLSE ACTIVITY / STATUS REPORT RUN TIME : HH:MM:SS 3
33 XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX PAGE : Z,ZZ9 3
43
53
63 DATE : DDMMYY 3
73
83 TSF FX TRANSACTION / STOCK RELEASE REQUEST STATUS 3
93 ===== 3
103
113 A) STATUS TRD/RLSE DTE / STOCK ISIN QTY EARMARK/DE-EARMARK QTY TSF SHORTFALL QTY 3
123 B) TSF SHORTFALL STM DTE / FX TRAN/STK RLSE NO FINAL RMB FX RATE FX TRAN/STK RLSE AMT (RMB) 3
133 C) CNS SHORTFALL CNS O/S QTY/ PURPOSE/ B/S STK PRICE FX TRAN/STK RLSE AMT (HKD) 3
143 ----- 3
163 A)XXXXXXXXXXXXX DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 3
173 B) X DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
183 C) X ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X Z,ZZZ,ZZ9.99999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
193
213 A)XXXXXXXXXXXXX DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 3
223 B) X DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
233 C) X ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X Z,ZZZ,ZZ9.99999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
243
263 A)XXXXXXXXXXXXX DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- ZZZ,ZZZ,ZZZ,ZZZ,ZZ9 3
273 B) X DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
283 C) X ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X Z,ZZZ,ZZ9.99999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
293
303
313
323 TSF FX TRANSACTION STATUS - AFTER CORPORATE ACTION WITH CONVERTED STOCK CODE AND QUANTITY 3
333 ===== 3
343 A) DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- 3
353 B) DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
363 C) ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
373
383 A) DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- 3
393 B) DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
403 C) ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
413
423 A) DDMMYY XXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXX ZZZ,ZZZ,ZZZ,ZZZ,ZZ9- 3
433 B) DDMMYY XXXXXXXXXX ZZ,ZZ9.9999999999 ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
443 C) ZZ,ZZZ,ZZZ,ZZ9- XXXXXXXXXX X ZZZ,ZZZ,ZZZ,ZZZ,ZZZ.99- 3
453
: 3
: 3
: 3
603 *** END OF REPORT *** 3
-----+

```