

Shanghai and Shenzhen Stock Connect: Application Form for Withdrawal of Surplus Collateral

Date:

To: Cash and Derivatives Clearing Operations - Settlement

Hong Kong Securities Clearing Co Ltd ("HKSCC")

30/F, One Exchange Square

8 Connaught Place, Central, Hong Kong

Fax No. 2851 6921/2579 0095 Email: cscprepay@hkex.com.hk China Connect Hotline: 2979 7123

Re: Application for Withdrawal of Surplus Collateral (please tick as appropriate)

We refer to the collateral deposited by us with HKSCC in connection with our application(s) for the early release of China Connect Securities that are Securities-on-hold that is not redelivered to us (the "Surplus Collateral") and hereby request HKSCC to redeliver the following amount of Surplus Collateral to us by credit entries to our Money Ledgers on the same day:

HKD _____

USD _____

We understand and acknowledge that:

- 1. this form must be received by HKSCC no later than 2:00 p.m. on a Settlement Day either (i) by fax to 2851-6921 / 2579-0095 or (ii) by email to cscprepay@hkex.com.hk, followed by phone to China Connect Hotline 2979-7123;*
- 2. the withdrawal of any Surplus Collateral is subject to the conditions set out in the General Rules of CCASS and the CCASS Operational Procedures; and*
- 3. HKSCC reserves the right not to redeliver any Surplus Collateral at any time it considers appropriate.*

Authorized Signature (with company chop, ONLY applicable if it forms part of your signing instruction)

Participant ID: _____

Participant Name: _____

Contact Tel No.: _____

Contact Person: _____