

HKSCC is going to enhance the settlement arrangements for Multi-counter Eligible Securities in CCASS by adopting a single tranche multiple counter arrangement (the “Enhancement”).

A one-off migration will be carried out before the launch of the Enhancement. Stock and Cash collateral relating to the other currency counters in CCASS will be converted into domain settlement counters.

Upon completion of migration, CCASS reports will be available for downloading and verification. Participants should check the related reports and inform HKSCC for any discrepancies found.

1. Collateral Account Balance Report (CCMCA02)

For the case of stock collateral:

Before Migration - 30 Aug 2024 (Friday)

The report will show both general stock and specific stock on-hold under their corresponding trading counters.

CCASS STOCK - SPECIFIC STOCK ON-HOLD									
STK CODE	ISIN CODE	DEP CCY	MKT VALUE	TOTAL QTY	FREE BAL QTY	ON HOLD QTY	STM DATE	TO BE REL QTY	
9618	KYG8208B1014	CDP HKD	1,000.00	100	0	100	02SEP24	0	
9940*		CDP HKD	0.00	10	0	10	02SEP24	0	
9941*		CDP CNY	0.00	10	0	10	02SEP24	0	
89618	KYG8208B1196	CDP CNY	1,000.00	100	0	100	02SEP24	0	
CCASS STOCK - GENERAL STOCK			TDMV(HKD) :		0.00				
STK CODE	ISIN CODE	CCY	MKT VALUE	QUANTITY					
9618	KYG8208B1014	HKD	100.00	10					
9940*		HKD	0.00	1					
9941*		CNY	0.00	1					
89618	KYG8208B1196	CNY	100.00	10					

After Migration - 2 Sep 2024 (Monday)

For specific stock on-hold,
 Quantity of 9618: 100→200
 Quantity of 89618: 100→0

For general stock,
 Quantity of 9618: 10→20
 Quantity of 89618: 10→0

The report will show both general stock and specific stock on-hold under domain settlement counter.

CCASS STOCK - SPECIFIC STOCK ON-HOLD									
STK CODE	ISIN CODE	DEP CCY	MKT VALUE	TOTAL QTY	FREE BAL QTY	ON HOLD QTY	STM DATE	TO BE REL QTY	
9618	KYG8208B1014	CDP HKD	2,000.00	200	0	200	02SEP24	0	
9940		CDP HKD	0.00	10	0	10	02SEP24	0	
9941		CDP CNY	0.00	10	0	10	02SEP24	0	
89618	KYG8208B1196	CDP CNY	0.00	0	0	0	02SEP24	0	
CCASS STOCK - GENERAL STOCK			TDMV(HKD) :		0.00				
STK CODE	ISIN CODE	CCY	MKT VALUE	QUANTITY					
9618	KYG8208B1014	HKD	200.00	20					
9940		HKD	0.00	1					
9941		CNY	0.00	1					

For the case of cash collateral:

Before Migration - 30 Aug 2024 (Friday)

The report will show both specific cash collateral on-hold under their corresponding trading counters.

i.e.

9618 – HKD 1500

89618 – CNY 1500

SPECIFIC CASH COLLATERAL ON-HOLD
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STK CODE	ISIN CODE	CCY	CONFIRMED ON HOLD SC	PENDING ON HOLD SC	CONFIRMED FREE SC	PENDING FREE SC	TRADE DATE	STM DATE
9618	KYG8208B1014	HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9940*		HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9941*		CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
89618	KYG8208B1196	CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24

After Migration - 2 Sep 2024 (Monday)

For 9618 – HKD 1500 → HKD 1500 + CNY 1500

For 89618 – CNY 1500 → 0

The report will only show specific cash collateral on-hold under domain settlement counter.

SPECIFIC CASH COLLATERAL ON-HOLD
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STK CODE	ISIN CODE	CCY	CONFIRMED ON HOLD SC	PENDING ON HOLD SC	CONFIRMED FREE SC	PENDING FREE SC	TRADE DATE	STM DATE
9618	KYG8208B1014	HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9618	KYG8208B1014	CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9940		HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9941		CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
89618	KYG8208B1196	CNY	0.00	0.00	0.00	0.00	29AUG24	02SEP24

2. Statement of Collateral Account (CCMDS01)

For the case of stock collateral:

Before Migration - 30 Aug 2024 (Friday)

The report will show both general stock and specific stock on-hold under their corresponding trading counters.

STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
9618		10	100	0	(OPENING)
		10	100	0	(CLOSING)

STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
89618		10	100	0	(OPENING)
		10	100	0	(CLOSING)

On Migration Day - 31 Aug 2024 (Saturday)

The report will demonstrate an increase in both general and specific stock on hold for the domain counter, alongside a decrease in general and specific stock on-hold for the other currency counter

For 9618:

General stock on-hold: 10 → 20

Specific stock on-hold: 100 → 200

For 89618:

General stock on-hold: 10 → 0

Specific stock on-hold: 100 → 0

STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
9618		10	100	0	(OPENING)
	11:53	10			CA-CORPORATE ACTION (GEN)
	11:53		100		CA-CORPORATE ACTION (SPS)
		20	200	0	(CLOSING)
STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
9940		1	10	0	(OPENING)
		1	10	0	(CLOSING)
STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
9941		1	10	0	(OPENING)
		1	10	0	(CLOSING)
STOCK CODE	TIME	GENERAL	SPECIFIC	SPECIFIC TO BE RELEASED	MOVEMENT TYPE & DESCRIPTION
89618		10	100	0	(OPENING)
	11:53	-10			CA-CORPORATE ACTION (GEN)
	11:53		-100		CA-CORPORATE ACTION (SPS)
		0	0	0	(CLOSING)

For the case of cash collateral:

Before Migration - 30 Aug 2024 (Friday)

The report will show specific cash collateral under their corresponding trading counters.

For 9618:

HKD: 1500

For 89618:

CNY: 1500

CCY	STK CODE	TIME	CONFIRMED SPECIFIC CASH	PENDING SPECIFIC CASH	MOVEMENT TYPE & DESCRIPTION
HKD	9618		0.00	1,500.00	(OPENING)
		10:02	1,500.00	-1,500.00	CM-SPECIFIC CASH DDI CONFIRMED
			1,500.00	0.00	(CLOSING)

CCY	STK CODE	TIME	CONFIRMED SPECIFIC CASH	PENDING SPECIFIC CASH	MOVEMENT TYPE & DESCRIPTION
CNY	89618		0.00	1,500.00	(OPENING)
		10:02	1,500.00	-1,500.00	CM-SPECIFIC CASH DDI CONFIRMED
			1,500.00	0.00	(CLOSING)

On Migration Day - 31 Aug 2024 (Saturday)

The report will show separate cash collaterals in different currencies under domain settlement counter.

For 9618:

HKD: 1500

CNY: 1500

SPECIFIC CASH COLLATERAL ON-HOLD
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STK CODE	ISIN CODE	CCY	CONFIRMED ON HOLD SC	PENDING ON HOLD SC	CONFIRMED FREE SC	PENDING FREE SC	TRADE DATE	STM DATE
9618	KYG8208B1014	HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9618	KYG8208B1014	CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9940		HKD	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
9941		CNY	1,500.00	0.00	0.00	0.00	29AUG24	02SEP24
89618	KYG8208B1196	CNY	0.00	0.00	0.00	0.00	29AUG24	02SEP24

3. Participant Collateral Account Movement Report (CCMMV01)

For the case of stock collateral:

On Migration Day - 31 Aug 2024 (Saturday)

The report will demonstrate the movement in general and specific stock on-hold from other currency counter to domain settlement counter.

For 9618:

General stock on-hold: +10

Specific stock on-hold: +100

For 89618:

General stock on-hold: -10

Specific stock on-hold: -100

CCASS STOCK
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STK CODE	ISIN CODE	DEPO	CU	TRAN DATE/TIME	TYPE	CUSTOMER ACC	STM DATE	QUANTITY	TRAN REF	UPDATED BY
9618	KYG8208B1014	CDP	GEN	17FEB25/11:53	CA			10		PBCMSV01
	KYG8208B1014	CDP	SPS	17FEB25/11:53	CA	B01002	02SEP24	100		PBCMSV01
TOTAL MV QUANTITY:								110		
89618	KYG8208B1196	CDP	GEN	17FEB25/11:53	CA			-10		PBCMSV01
	KYG8208B1196	CDP	SPS	17FEB25/11:53	CA	B01002	02SEP24	-100		PBCMSV01
TOTAL MV QUANTITY:								-110		

For the case of cash collateral:

On Migration Day - 31 Aug 2024 (Saturday)

The report will demonstrate the movement in special cash collateral from other currency counter to domain settlement counter.

For 9618:
+CNY 1500

For 89618:
-CNY 1500

SPECIFIC CASH COLLATERAL
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CCY	CU	STK CODE	ISIN CODE	TRAN DATE/TIME/ TRAN REF	TRADE DATE / STM DATE	ON-HOLD SPECIFIC CASH / TYPE / UPDATED BY	FREE SPECIFIC CASH / REMARKS	PENDING SPECIFIC CASH	SPECIFIC CASH COLLECTED
CNY	SPC	9618	KYG8208B1014	17FEB25/11:53	29AUG24	1,500.00	0.00	0.00	0.00
					02SEP24	CA PBCMSV02	FROM STK 89618		
CNY	SPC	89618	KYG8208B1196	17FEB25/11:53	29AUG24	-1,500.00	0.00	0.00	0.00
					02SEP24	CA PBCMSV02	TO STK 9618		
TOTAL MV BAL (CNY):						0.00	0.00	0.00	0.00

