

HKEx Hosting Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

23 March 2015 By Email

Our Ref: HDS/15/0304

Dear Subscriber,

Hosting Services Subscriber Participation for Regular System Recovery Rehearsal on 18 April 2015

Reference is made to the following HKEx circulars:

- Participant Circular (Ref. No. CT/017/15), Cash Trading, dated 18 March 2015
- Participant Circular (Ref. No. MO/DT/034/15), Derivatives Trading, dated 18 March 2015
- Client Notice (Ref. No. MDD/15/674), Market Data, dated 20 March 2015

During the Rehearsal, Hosting Service, including HSN Connectivity, will be temporarily suspended in order to simulate the Site failure.

HKEx Hosting Services Subscribers with HSN connections, e.g. who are also Exchange Participants, licensed Information Vendors or providing Approved ASP Services on HSN Connectivity to their Customers, are advised to participate the above System Recovery Rehearsal if applicable, using their business continuity and disaster recovery arrangements **outside** HKEx Hosting Services Data Centre to ensure that the contractual requirement under the clause 4.3(b) of Hosting Services Subscription Agreement is in place.

Please complete the "Hosting Services Subscriber Rehearsal and Business Continuity and Disaster Recovery Participation Confirmation Form" (**Appendix A**) and return it to HKEx Hosting Services – Service Desk on or before 2 April 2015.

After completion of the Rehearsal and drill, participants are advised to complete and return the "Hosting Services Subscriber Rehearsal and Business Continuity and Disaster Recovery Evaluation Reply Form" (**Appendix B**) to HKEx Hosting Services – Service Desk in addition to all the required reply submission as stated in respective HKEx circulars.

Should you have any questions, please feel free to contact our Service Desk hotline at (852) 2211 6080.

Service Desk,

HKEx Hosting Services Limited

Tel: (852) 2211 6080, Fax: (852) 2462 0722

Email: hsservicedesk@hkex.com.hk

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Appendix A

Hosting Services Subscriber Rehearsal and Business Continuity and Disaster Recovery Participation Confirmation Form

(Please complete and return this Form by fax or email on or before 02 April 2015)

Fax No.: +852 2462 0722 Email: hsservicedesk@hkex.com.hk

(1) Hosting Services – Subscriber Information		
Name of Subscriber :		
Name of Primary Point of Contact:	Phone No. :	
☐ Yes, will participate the System Recover recovery arrangements outside HKEx H	ry Rehearsal using own business continuity and disaster losting Services Data Centre.	
☐ Cash Trading ☐ D	erivatives Trading	
Please be reminded that Subscribers are al stated by Cash Trading, Derivatives Tradi	so required to complete and return all Participation Forms as ng, or Market Data Departments of HKEx	
☐ No, will not participate in any Rehearsa	l.	
(2) Contact Details of the Co-ordinator(s)		
First Contact	Secondary Contact	
Name	Name	
E-mail Address	E-mail Address	
Phone No.	Phone No.	
On the day of Rehearsal (18 April 2015) HKEx Hosting Services – Service Desk will con	ntact the above designated personnel for notification	
during the Rehearsal.		
Signature of Primary Point of Contact:	Date:	

Personal Information Collection Statement

"Personal Data" in this statement has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong ("PDPO"). The personal data collected on this form will be used solely for the purpose of the Rehearsal and be retained for such period as may be necessary for our verification and record purposes. Please refer to the HKEx's Privacy Policy Statement which is available on the HKEx website (www.hkex.com.hk) for further details.



Hosting Services Subscriber Rehearsal and Business Continuity and Disaster Recovery Evaluation Reply Form

(Please complete and return this Form by fax or email on or before 20 April 2015)

Fax No.: +852 2462 0722 Email: hsservicedesk@hkex.com.hk

Please be reminded that Subscribers are also required to complete and return all the "Rehearsal Evaluation Reply" Form as stated by Cash Trading, Derivatives Trading, or Market Data Departments of HKEx in their respective Rehearsal's Guidelines

` ′	Hosting Services – Subscriber Information
Nai	me of Subscriber :
Coı	ntact Person : Phone No. :
(2)	Rehearsal Result for participants by using own Business Continuity and Disaster Recovery Arrangement
	We have drilled and used the following device(s) outside HKEx Hosting Services Data Centre to successfully access:
	☐ Cash Trading, e.g. BSS via backup OG/OCG/CCOG, Off-floor terminal, MWS, NSTD Please specify:
	☐ Derivatives Trading, e.g. Click Station, OAPI via CG/NG Please specify:
	☐ Market Data, i.e. Feed handler (direct), data source (indirect) Please specify:
	We encountered problem(s) during the Rehearsal: Please specify:
Sub	oscriber Signature
— Naı	me:
Dat	

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