



香港交易所

HKEX HOSTING SERVICES LIMITED

(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

Date: 29 July 2016
Our Ref: HDS/16/0706

By Email

Dear Subscriber,

Hosting Services Subscriber Participation for Regular System Recovery Rehearsal on 27 August 2016

Reference is made to the following HKEX circulars:

- [Participant Circular \(Ref. No. CT/038/16\)](#), Cash Trading, dated 28 July 2016
- [Participant Circular \(Ref. No. MO/DT/084/16\)](#), Derivatives Trading, dated 27 July 2016
- [Client Notice \(Ref. No. MDD/16/1710\)](#), Market Data, dated 29 July 2016

During the Rehearsal, **Hosting Services, including Market Systems Connectivity via HSN, will be temporarily suspended in order to simulate the Site failure.**

All HKEX Hosting Subscribers, particularly those with HSN Connectivity, are cordially invited to participate in the Rehearsal by using their business continuity and disaster recovery arrangements **outside** HKEX Hosting Services Data Centre. This is to ensure the HKEX Hosting Subscriber's obligations under the clause 4.3(b) of Hosting Services Subscription Agreement is an essential measure in place to maintain alternative means of access to the trading, clearing, and settlement systems and/or the market data dissemination systems of the HKEX Group.

The objective of the Rehearsal is to also enable HKEX Hosting Subscribers and related parties to familiarize themselves with contingency procedures and related operational matters for their business continuity and disaster recovery arrangements under emergency situations.

Please complete the "*Confirmation Form of Hosting Services Subscriber Participation for Regular System Recovery Rehearsal*" (**Appendix A**) and return it to HKEX Hosting Services – Service Desk on or before 12 August 2016. After completion of the Rehearsal and drill, please complete the "*Evaluation Reply Form of Hosting Services Subscriber Participation for Regular System Recovery Rehearsal*" (**Appendix B**) and return it to HKEX Hosting Services – Service Desk on or before 29 August 2016 in addition to all other required reply submission as stated in respective HKEX circulars.

Should you have any questions, please feel free to contact our Service Desk hotline at (852) 2211 6080.

Service Desk,
HKEX Hosting Services Limited
Tel: (852) 2211 6080, Fax: (852) 2462 0722
Email: hsservicedesk@hkex.com.hk



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Appendix A

**Confirmation Form of
Hosting Services Subscriber Participation for Regular System Recovery Rehearsal**

(Please complete and return this Form by fax or email on or before 12 August 2016)

Fax No.: (852) 2462 0722 Email: hsservicedesk@hkex.com.hk

(1) Subscriber Information

Name of Subscriber: _____

Name of Primary Point of Contact: _____ Phone No.: _____

Yes, will participate the System Recovery Rehearsal using own business continuity and disaster recovery arrangements **outside** HKEX Hosting Services Data Centre.

Cash Trading Derivatives Trading Market Data

Please be reminded that Subscribers are also required to complete and return all Participation Forms as stated by Cash Trading, Derivatives Trading, or Market Data Departments of HKEX

No, will not participate in any Rehearsal.

(2) Contact Details of the Co-ordinator(s)

First Contact	Secondary Contact
Name	Name
E-mail Address	E-mail Address
Phone No.	Phone No.

On the day of Rehearsal (27 August 2016)

HKEX Hosting Services – Service Desk will contact the above designated personnel for notification during the Rehearsal if necessary.

Signature of Primary Point of Contact: _____ Date: _____

Personal Information Collection Statement

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”). The personal data collected on this form will be used solely for the purpose of the Rehearsal and be retained for such period as may be necessary for our verification and record purposes. Please also refer to HKEX Privacy Policy Statement http://www.hkex.com.hk/eng/global/privacy_policy.htm.

**Evaluation Reply Form of
Hosting Services Subscriber Participation for Regular System Recovery Rehearsal**

(Please complete and return this Form by fax or email on or before 29 August 2016)

Fax No.: (852) 2462 0722 Email: hsservicedesk@hkex.com.hk

Please be reminded that Subscribers are also required to complete and return all the "Rehearsal Evaluation Reply Form" as stated by Cash Trading, Derivatives Trading, or Market Data Departments of HKEX in their respective Rehearsal's Guidelines

(1) Subscriber Information

Name of Subscriber: _____

Name of Primary Point of Contact: _____ Phone No.: _____

(2) Rehearsal Result for participants by using own Business Continuity and Disaster Recovery Arrangement

We have drilled and used the following device(s) **outside** HKEX Hosting Services Data Centre to successfully access:

Cash Trading, e.g. BSS via backup OCG/CCOG, NSTD

Please specify: _____

Derivatives Trading, e.g. Click Station, OAPI via CG/NG, PTRM Workstation

Please specify: _____

Market Data, i.e. Feed handler (direct), data source (indirect)

Please specify: _____

We encountered problem(s) during the Rehearsal:

Please specify: _____

Signature of Primary Point of Contact: _____ Date: _____

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