



HKEx Hosting Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

05 September 2013
Our Ref: HDS/13/0901

By Email

Dear Sirs,

Hosting Services Subscriber Participation for Regular AMS/3 Rehearsal for System Recovery Under Emergency Situation on 14 September 2013

Reference is made on [Participant Circulars \(Ref. No. CT/022/13\)](#) issued by The Stock Exchange of Hong Kong Limited (“SEHK”) dated 23 August 2013. A regular AMS/3 rehearsal for system recovery under emergency situations (the “Rehearsal”) will be conducted on Saturday, 14 September 2013 between 9:00am and 12:45pm.

HKEx Hosting Services Subscribers who are also Exchange Participants of SEHK (“EP Subscribers”) are advised to participate the Rehearsal to drill the business continuity and disaster recovery arrangements in relation to the Subscribed Services to ensure the contractual requirement of the clause 4.3(b) of Hosting Services Subscription Agreement is in place.

EP Subscribers are advised to use Broker Supplied System (BSS) and Hosting Open Gateway (OG) in HKEx Hosting Services Data Centre to conduct the Rehearsal. Please follow the Guidelines, Rundown and Contingency Arrangements of the Rehearsal issued by SEHK.

After completion of the Rehearsal, EP Subscribers are suggested to complete and return the “Hosting Services EP Subscriber Rehearsal and Business Continuity and Disaster Recovery Arrangement Reply Form” (**Appendix A**) to Hosting Services on or before 16 September 2013.

Should you have any questions, please feel free to contact Nicole Lam at (852) 2211 6637 or Victor Lam at (852) 2211 6292

Hosting Services
IT Division
Hong Kong Exchanges and Clearing Limited

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Appendix A

Hosting Services EP Subscriber Rehearsal and

Business Continuity and Disaster Recovery Arrangement Reply Form

(Please complete and return this Form by fax or email on or before 16 September 2013)

Fax No. : +852 2295-4123 Email : hostingservices@hkex.com.hk

(EPs are also required to complete and return the “Rehearsal Evaluation Reply” Form as advised by Cash Trading of HKEx in the Guidelines of the Rehearsal)

(1) Hosting Services – EP Subscriber Information

Name of Subscriber : _____
Contact Person : _____
Contact Phone Number : _____

(2) Rehearsal Result

- We confirm that the Rehearsal has been **successfully conducted** by using the Broker Supplied System and Hosting Open Gateway in HKEx Hosting Services Data Centre
- We confirm that we **do not experience any HKEx Service Network (HSN) connectivity issue** during the Rehearsal
- We encountered the following problem(s) during the Rehearsal:

(3) EP Subscriber Business Continuity and Disaster Recovery Arrangement

- We declare that we have also used the following trading device and facility for business continuity and disaster recovery purpose
 - On-floor terminals in the Trading Hall
 - Off-floor terminals
 - Multi-workstation System (“MWS”)
 - Broker Supplied System
 - Others _____

Subscriber Signature

Name:

Date:

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