

2020 HKEX MARKET DATA SEMINAR

Market Data
Markets Division

24 November 2020

HKEX
香港交易所

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Agenda

01 | **Market Overview**

Winnie Poon
Head of Market Data

02 | **Business Updates**

Sean Lee
Vice President, Data Licensing and Marketing, Market Data

03 | **HKEX Market Data Awards 2020**

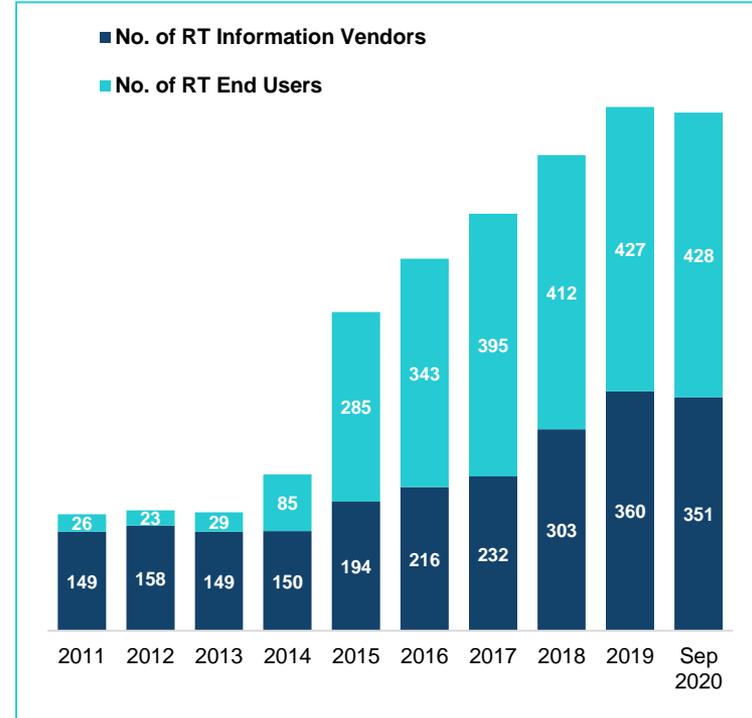
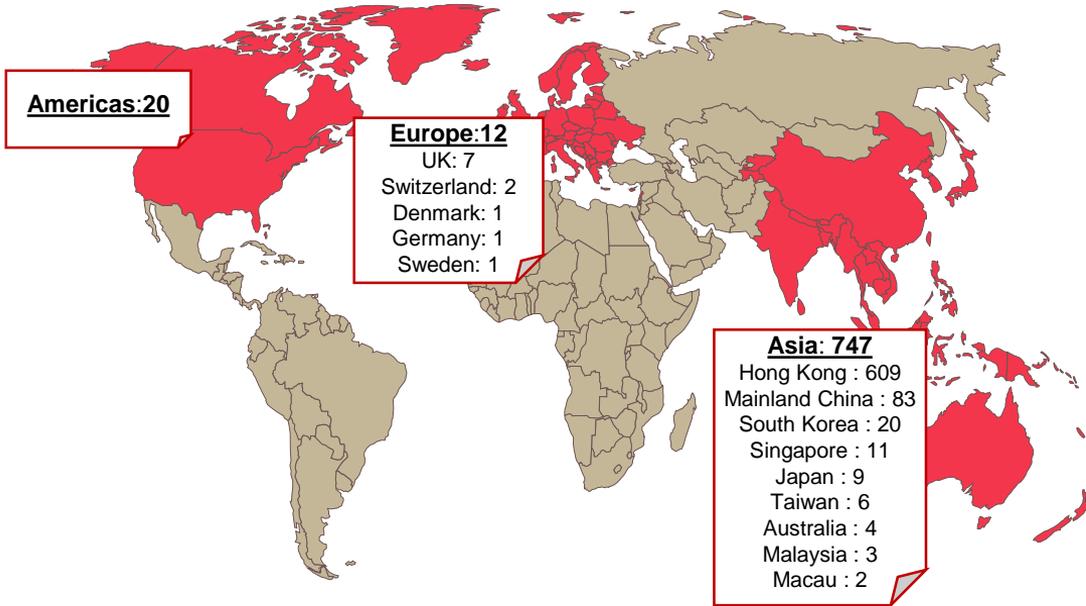
04 | **Q & A**



Market Overview



Real-Time Clients Breakdown



Market Data has over 700 Information Vendors and End-Users with countries of origin spanning across the globe

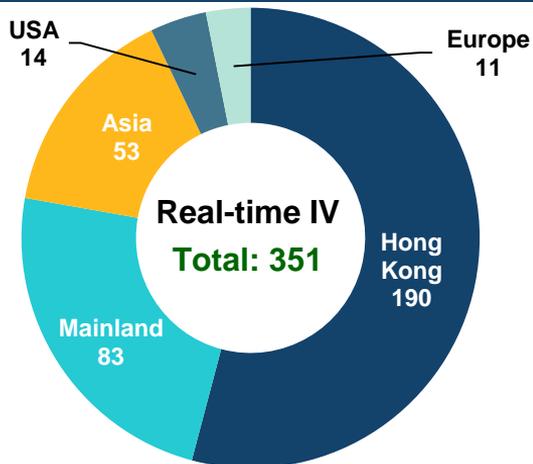
Exclude delayed data Information Vendors.



Real-Time Clients Breakdown

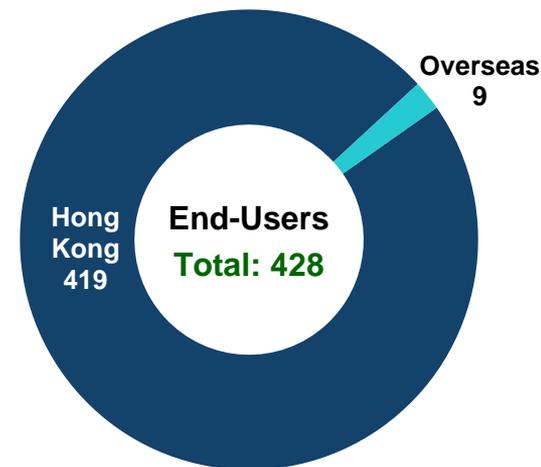
- Vendors come from different industry segments
- End-Users are mostly EPs

Market Data Vendor



Market Data End-User

By
Region



By
Industry

Industry	Count
Exchange Participants	411
Non-Exchange Participants	17

As of Sep 2020

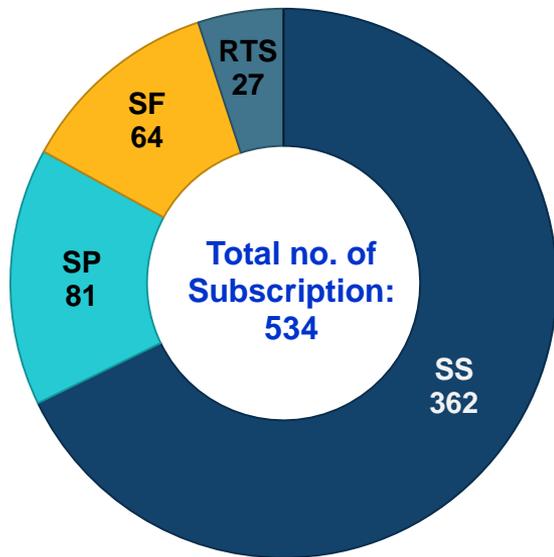
Information business	69
Exchange Participants & affiliates	162
Banks, buy-sides & other financial institutions	62
Mainland Brokers	25

Media	11
Telecommunications service providers	2
System vendors	17
Niche players	3



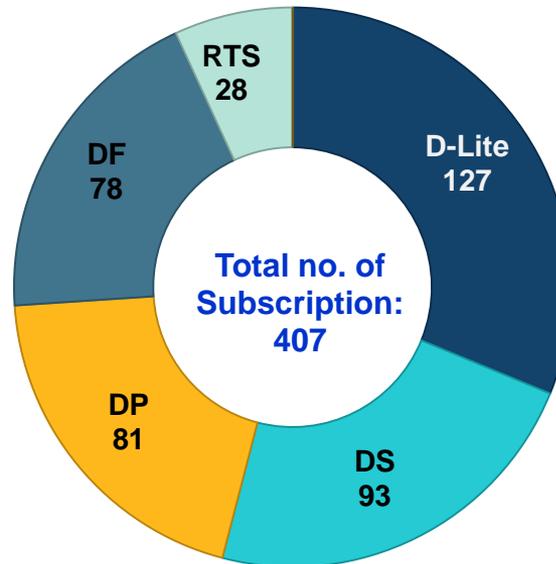
OMD Subscription Status of Direct Connection Clients

OMD-Cash



Securities Standard:	SS (12 connections from MMDH)
Securities Premium:	SP
Securities FullTick:	SF
OMD-C Additional RTS:	RTS

OMD-Derivatives

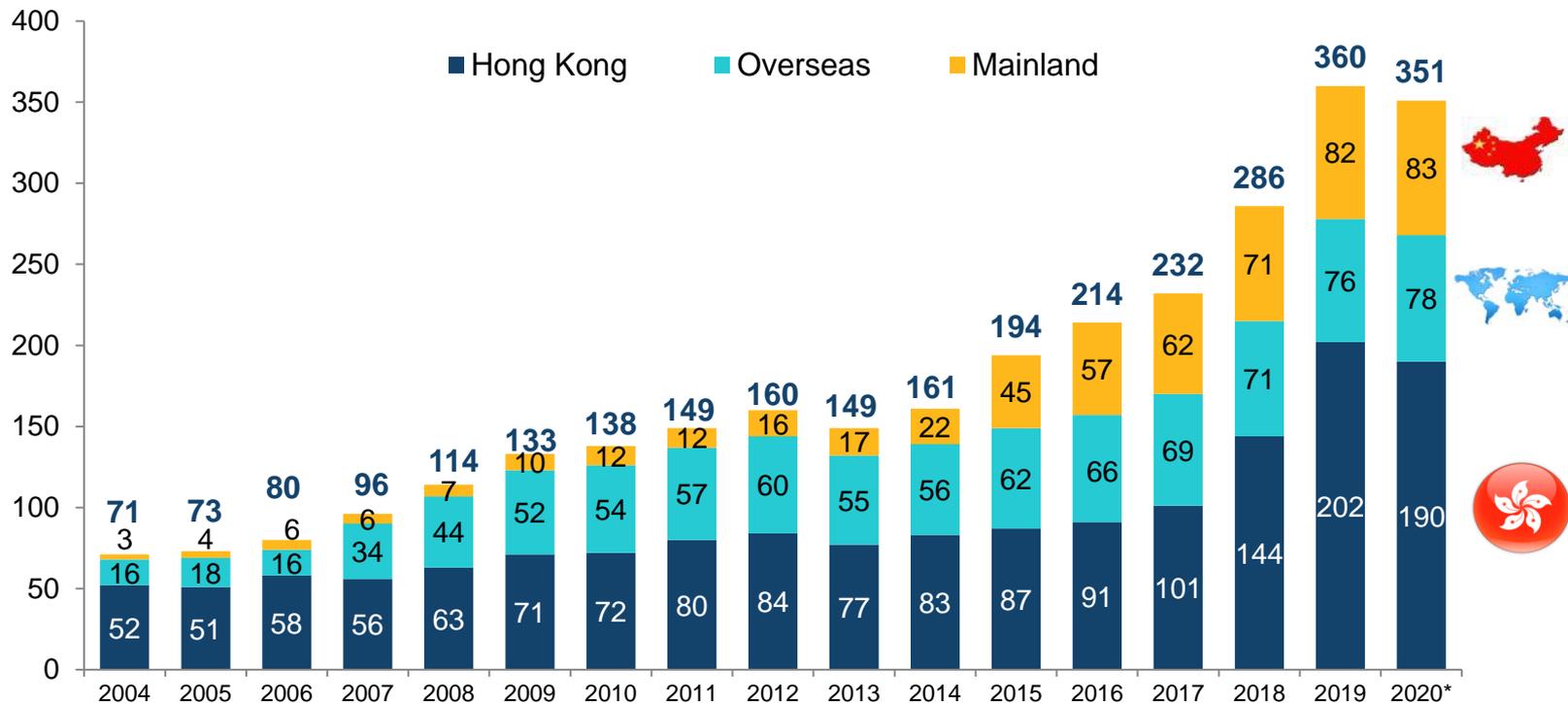


Derivatives Standard:	DS
Derivatives Premium:	DP
Derivatives FullTick:	DF
Derivatives Lite:	D-Lite
OMD-D Additional RTS	RTS



Real-Time IVs

- Number of IVs nearly doubled in 6 years
- Mainland IV increased from 22 in 2014 to 83 in Sep 2020



* As of Sep 2020



Business Updates



HKEX Market Data Promotions

Stock Connect	One-Year Fixed Fee Programme	Daily Charge Service Programme
	Fixed Fee Programme for Southbound Brokers	Marketing Programme for Mobile Application Service
	Enhanced Volume Discount Scheme	Promotion Programme on Issuer Information Feed Service (“IIS News”)
Securities	Mainland China Discount Programme	OMD Free Trial Programme
Derivatives	Marketing Programme for New Derivatives Products	OMD Free Trial Programme
	Derivatives Market Data Distribution Partnership Programme	

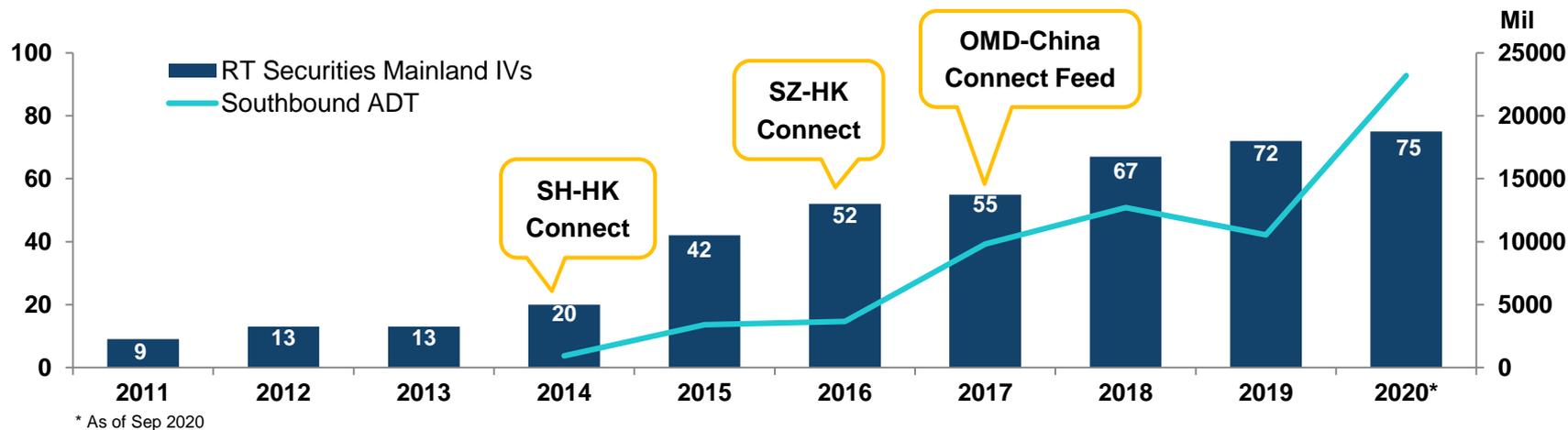
OMD Free Trial Programme extended to 31 December 2022

Other programmes to 31 December 2021



Stock Connect related Marketing Programmes

- These programmes facilitate the penetration of HKEX market data into Mainland



2014	2015	2016	2017
<ul style="list-style-type: none"> • 1-depth Data Exchange[^] • Stock Connect Free Trial • Volume Discount 	<ul style="list-style-type: none"> • Fixed Fee for SB Brokers • Daily Charge Service • IIS Promotion 	<ul style="list-style-type: none"> • One-year Fixed Fee • Enhanced Volume Discount 	<ul style="list-style-type: none"> • Mobile Application Service



Mainland Market Data Coverage

General Trading Platform

- **1-depth Southbound data**
 - ❖ Free data for Southbound Brokers
- **5-depth data**
 - ❖ Fixed Fee Service for Southbound Brokers
- **10-depth data**
 - ❖ Mobile Application Programme



Professional Trading

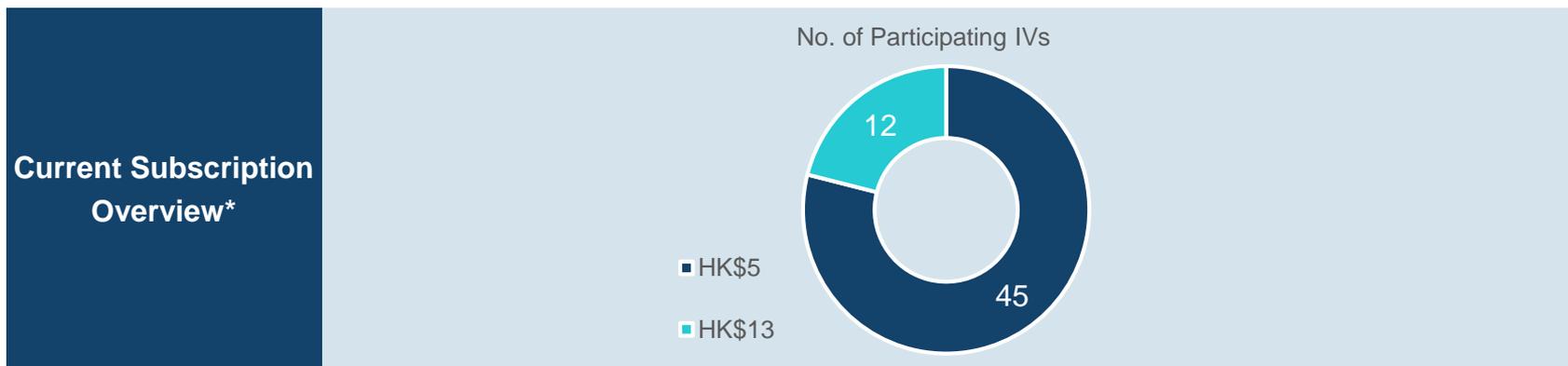
- **10-depth data**
 - ❖ Terminal subscription
 - ❖ One-Year Fixed Fee Programme

General Awareness

- **Basic Market Prices (BMP)**
- **Issuer News**

Marketing Programmes for Mobile Application

Duration	June 2017 - <u>31 December 2021</u>
Objective	To further promote the visibility and penetration of securities market data in the Mainland
Subscriber Fee	1) Discounted Fee: HK\$13 2) Volume Discounted Fee: HK\$5 - With a minimum subscription of 60,000 units per annum
Redistribution Fee Waiver	Applicable to Southbound Broker IVs approved for the HK\$5 plan only effective 1 January 2021



* As of Aug 2020

Visit our [Website](#) for the complete list of participating IVs



Marketing Programmes – Securities & Stock Connect



Promotion Programme on IIS News

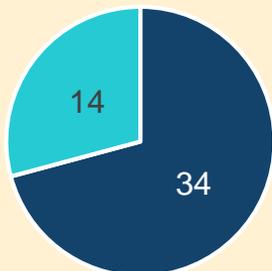
1 March 2015 to **31 December 2021**

IIS News could be offered on IV's Third Party Services

Redistribution Fee (HK\$45,000 per quarter) is applicable to all IVs effective 1 January 2021

Overview

48 IIS News IVs (as of Sep 2020)



■ Indirect IIS IVs ■ Direct IIS IVs



Fixed Fee Programme for Southbound Brokers

1 March 2015 to **31 December 2021**

HK\$125,000 per Southbound Broker per month

Eligible stocks: 5 depths streaming data of SEHK equities

19 Southbound Brokers

Visit our [Website](#) for the complete list of participating IVs



One-year Fixed Fee Programme

1 January 2018 to **31 December 2021**

Eligibility: IVs who join the Mainland China Discount Programme

For subscribers who are physically located in **Mainland China region***

Unlimited streaming L2 data for Mainland subscribers

HK\$12 million per year

4 participating vendors (as of Oct 2020)

* Excluding Hong Kong, Macau and Taiwan regions

Marketing Programmes – Derivatives

Marketing Programme for New Derivatives Products

- September 2013 – **31 December 2021**

- Display Real-Time Data:

HKEX's new Currency Products, new Equity Products, new Commodities Products, CESC Index Futures*



8 Promotion Regions (effective 1 January 2021):

Mainland China, Korea, Taiwan, Malaysia, India, Thailand Vietnam & Singapore



Subscriber Fees Waiver:

- Streaming Level 1 and Level 2 Data
- BMP Service
 - Website, mobile applications and 3rd party website/mobile services



58 participating IVs as of September 2020

Visit the [New Derivatives Products Programme](#) page for more details.



* As updated by HKEX-IS from time to time

Marketing Programmes - OMD Free Trial Programme

- November 2015 to **31 December 2022**
- **3 calendar** months free trial duration
- Real-Time Data: Securities Premium (SP) and Securities FullTick (SF)
Derivatives Premium (DP) and Derivatives FullTick (DF)
- Historical Data: Historical Full Book for both Securities and Derivatives markets
- Corporate internal usage only

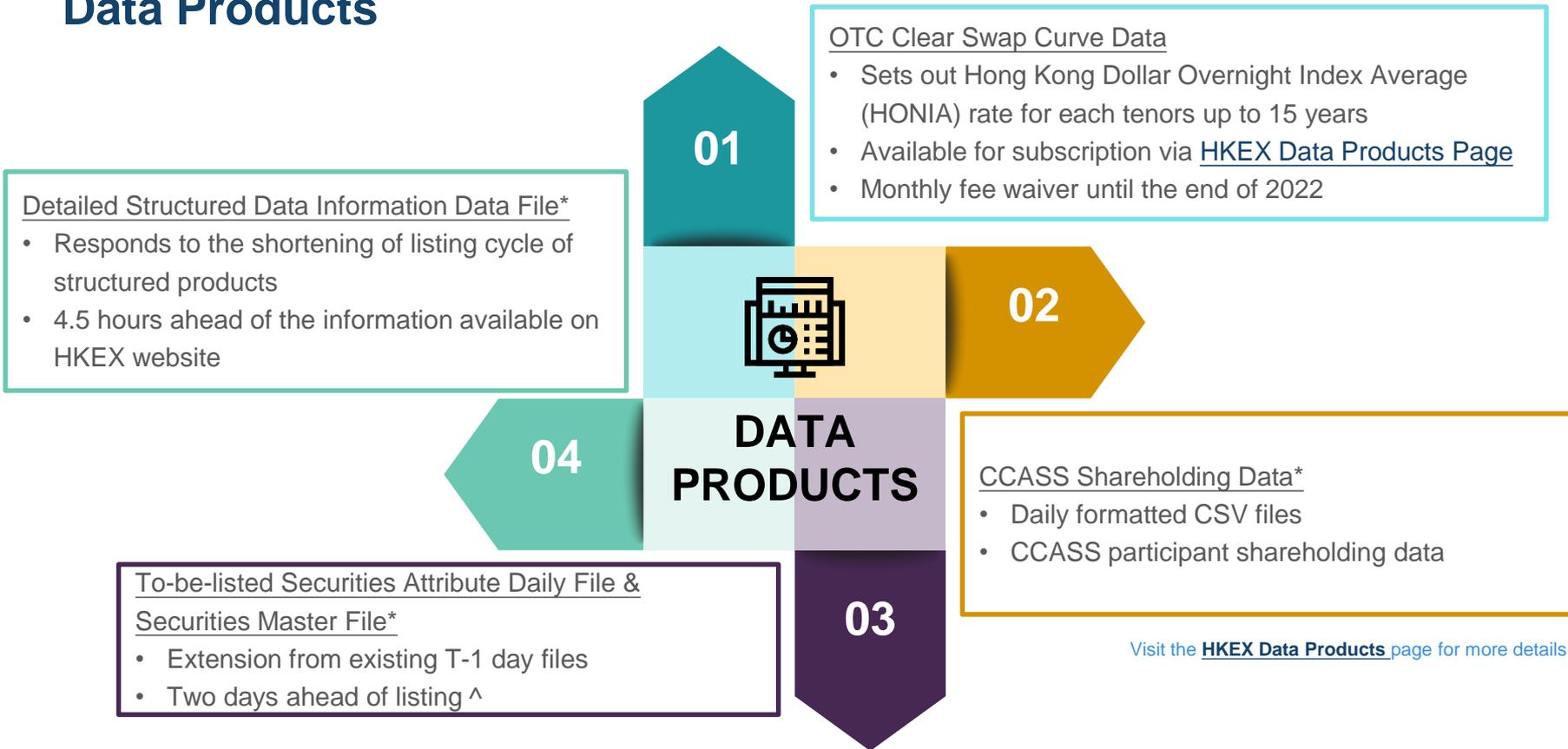


**Application
Eligibility**

- **Indirect connection End-Users via Market Data ASPs**
- **Each client firm can only trial once** for each eligible datafeed/ historical full book data
- **Existing real-time OMD FullTick datafeed client firms can free trial OMD Premium datafeed** of the same market:
 - Guarantee continuous subscription on OMD FullTick datafeed for at least 6 months after the end of 3 calendar months free trial on OMD Premium datafeed

**Effective
1 November 2020**

Data Products



Visit the [HKEX Data Products](#) page for more details.



* To be launched

^ Final listing may change per issuers. Clients can refer to Securities Master File for finalized tradable securities.

HKEX Market Data Awards 2020



HKEX Market Data Awards 2020 – Excellent Full Services Vendor Award 全市場傑出貢獻資訊供應商

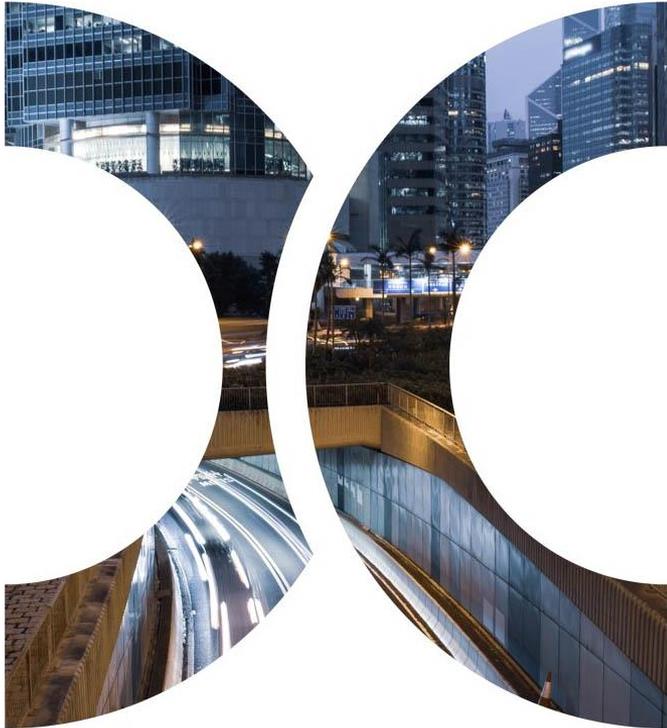
(awardees in alphabetical order)

- **AAStocks.com Limited**
- **Bloomberg Finance L.P.**
- **DB Power Online Limited**
- **ET Net Limited**
- **ICE Data Connectivity & Feeds, Inc.**
- **Infocast Limited**
- **MegaHub Limited**
- **N2N-AFE (Hong Kong) Limited**
- **Refinitiv Limited**
- **Telecom Digital Data Limited**



HKEX Market Data Awards 2020 - 衍生產品市場數據合作伙伴

(awardees in alphabetical order)



- **East Money Information Co., Ltd**
- **Hexin Flush Financial Information Network Ltd.**
- **Shanghai DZH Limited**
- **Shanghai Pobo Network Data Information Co.,Ltd**
- **Shanghai Qianlong Advanced Technology Co Ltd**
- **Webstock Information Systems Limited**
- **Wind Information Co Ltd**
- **Zhengzhou Esunny Information Technology Co., Ltd.**

HKEX Market Data Awards 2020

(awardees in alphabetical order)

創新內地市場服務信息商

- Eddid Information Technology Co., Ltd.
- Fuyuan Securities Limited
(Formerly known as 9F Primasia Securities Limited)
- Livermore Inc.
- Ningxia Xiangshang Rongke Technology and Development Co., Ltd.
- Snowball (Beijing) Technology Development Co., Ltd
- Tele-Trend Konson (Hong Kong) Limited
- uSmart Securities Limited
- Valuable Capital Limited

卓越內地市場服務信息商

- East Money Information Co., Ltd
- Futu Network Technology Limited
- Hexin Flush Financial Information Network Ltd.
- Wind Information Co Ltd



HKEX Market Data Awards 2020

(awardees in alphabetical order)

港股通傑出信息服務券商

- China Investment Securities Co., Ltd
- China Merchants Securities Co., Ltd.
- China Securities Co., Ltd
- Dongxing Securities Co.,Ltd
- Everbright Securities Company Ltd
- Founder Securities Co Ltd
- Guosen Securities Co., Ltd.
- Orient Securities Company Limited

港股通卓越信息服務券商

- Changjiang Securities Limited
- China Galaxy Securities Company Limited
- GF Securities Co., Ltd
- Guotai Junan Securities Co., Ltd.
- Haitong Securities Co.,Ltd
- Industrial Securities Co., Ltd
- Shenwan Hongyuan Securities Co., Ltd
- Zhongtai Securities Co.,Ltd.

港股通創新信息服務券商

- Huatai Securities Co., Ltd
- Ping An Securities Company Ltd.
- Sinolink Securities Co., Ltd



Q&A





Thank you

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