

Next Generation Programme Documentation for Clearing Participants

Documents	Publication Dates	Location
Townhall Presentation Material <i>(NextGen programme overview)</i>	2018 Jan Published <i>(updated in 2019 May)</i>	NextGen Post Trade Programme Overview
NextGen Further Information	2018 July Published <i>(updated in 2019 Jun)</i>	NextGen Further Information
NextGen Risk Models	2018 Apr (IM model)	NextGen Risk Management
NextGen Risk Models Workshop	2018 Jun	NextGen Risk Management
NextGen Risk Models-Stress Testing Seminar	2018 Dec	NextGen Risk Management
NextGen Risk Model – Initial Margin and Stress Testing Seminar	2019 Aug	NextGen Risk Management
NextGen Post Trade Platform-Risk Management Town Hall	2019 Oct	NextGen Post Trade Platform-Risk Management Town Hall
Client Connect Service Overview/ eService Coverage	2019 Apr	NextGen Client Connect
Client Connect Briefing Materials	2019 May	NextGen Client Connect
Client Connect User Onboarding Documents	2019 May	NextGen Client Connect
User Manuals & Technical Specifications	Publication Dates	Location
Client Connect Familiarization Guidelines	2019 May	Client Connect Familiarization Guidelines

Client Connect User Manual	2019 May	Client Connect User Manual
Client Connect FAQ	2019 June	Client Connect FAQ
Initial Margin Calculation Guide	Updated in 2020 Jan	Initial Margin Calculation Guide
Stress Test Value Calculation Guide	Updated in 2020 Jan	Stress Test Value Calculation Guide
HKSCC Report Access Platform (RAP) Technical Guide	Updated in 2020 Jan	HKSCC Report Access Platform (RAP) Technical Guide
PC and Network Requirement	Updated in 2020 Jan	PC and Network Requirement
Registration Form for HKSCC Report Access Platform	2020 Jan	Registration Form for HKSCC Report Access Platform (RAP)
Risk Parameter File (RPF) Sample	2020 Mar	Risk Parameter File (RPF) Sample
List of Reports and Data Files, with samples	Q2 2020	To be advised
HKSCC Connectivity & Configuration Guide	Q2 2020	To be advised
NGRM User Guide for Participants (including Margin Simulator function)	Q2 2020	To be advised
Rules	Publication Dates	Location
Clearing House Rules Updates	Q2 2020	To be advised

(The above timeline serves for indicative purpose and is subject to changes at HKEX discretion. Clearing Participants shall always refer to the latest announcements from HKEX.)